

UNITED STATES
SECURITIES AND EXCHANGE COMMISSION
WASHINGTON, DC 20549

FORM 8-K

CURRENT REPORT
PURSUANT TO SECTION 13 OR 15(D) OF
THE SECURITIES EXCHANGE ACT OF 1934

Date of report (Date of earliest event reported) March 25, 2024

Rocket Companies, Inc.

(Exact name of registrant as specified in its charter)

Delaware

001-39432

84-4946470

(State or other jurisdiction
of incorporation)

(Commission
File Number)

(I.R.S. Employer
Identification No.)

1050 Woodward Avenue
Detroit, MI 48226

(Address of principal executive offices) (Zip Code)

(313) 373-7990

(Registrant's Telephone Number, Including Area Code)

(Former Name or Former Address, if Changed Since Last Report)

Check the appropriate box below if the Form 8-K filing is intended to simultaneously satisfy the filing obligation of the registrant under any of the following provisions (see General Instruction A.2. below):

- ☐ Written communications pursuant to Rule 425 under the Securities Act (17 CFR 230.425)
- ☐ Soliciting material pursuant to Rule 14a-12 under the Exchange Act (17 CFR 240.14a-12)
- ☐ Pre-commencement communications pursuant to Rule 14d-2(b) under the Exchange Act (17 CFR 240.14d-2(b))
- ☐ Pre-commencement communications pursuant to Rule 13e-4(c) under the Exchange Act (17 CFR 240.13e-4(c))

Securities registered pursuant to Section 12(b) of the Act:

Title of each class	Trading Symbol	Name of each exchange on which registered
Class A common stock, par value \$0.00001 per share	RKT	New York Stock Exchange

Indicate by check mark whether the registrant is an emerging growth company as defined in Rule 405 of the Securities Act of 1933 (17 CFR §230.405) or Rule 12b-2 of the Securities Exchange Act of 1934 (17 CFR §240.12b-2).

Emerging growth company ☐

If an emerging growth company, indicate by check mark if the registrant has elected not to use the extended transition period for complying with any new or revised financial accounting standards provided pursuant to Section 13(a) of the Exchange Act. ☐

Item 5.02 Departure of Directors or Certain Officers; Election of Directors; Appointment of Certain Officers; Compensatory Arrangements of Certain Officers.

On March 25, 2024, Rocket Companies, Inc. (the “Company”) appointed Noah Edwards as the Company’s Chief Accounting Officer. Mr. Edwards will replace Brian Brown, who will continue as the Company’s Chief Financial Officer and Treasurer.

Mr. Edwards, age 40, has served as the Company’s Senior Vice President of Accounting since November 2022. Prior to then, Mr. Edwards served as Director of Accounting for Rocket Mortgage, LLC from March 2018 to August 2020, and Vice President of Accounting for the Company from August 2020 to November 2022. Prior to joining Rocket Mortgage, LLC, Mr. Edwards held various accounting and financial reporting leadership roles at large privately held and publicly traded companies, and also spent six years as a manager at Deloitte serving large publicly traded clients.

As Chief Accounting Officer, Mr. Edwards will receive a base salary of \$358,000, will continue to vest in his outstanding equity awards and will be eligible for other compensation and benefits provided to similarly situated team members.

Neither Mr. Edwards nor any of his immediate family members has had (or proposes to have) a direct or indirect interest in a transaction in which the Company or any of the Company’s subsidiaries was (or is to be) a participant, that would be required to be disclosed under Item 404(a) of SEC Regulation S-K.

SIGNATURES

Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

Date: March 28, 2024

ROCKET COMPANIES, INC.

By: /s/ Tina V. John
Name: Tina V. John
Title: General Counsel and Secretary