
**UNITED STATES
SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

FORM 6-K

**REPORT OF FOREIGN PRIVATE ISSUER
PURSUANT TO RULE 13a-16 OR 15d-16 OF THE
SECURITIES EXCHANGE ACT OF 1934**

For the month of December, 2021

Commission File Number: 001-39937

ZIM Integrated Shipping Services Ltd.

(Exact Name of Registrant as Specified in Its Charter)

9 Andrei Sakharov Street

P.O. Box 15067

Matam, Haifa 3190500, Israel

+972 (4) 865-2000

(Address of principal executive office)

Indicate by check mark whether the registrant files or will file annual reports under cover of Form 20-F or Form 40-F:

Form 20-F ☒

Form 40-F ☐

Indicate by check mark if the registrant is submitting the Form 6-K in paper as permitted by Regulation S-T Rule 101(b)(1):

Yes ☐

No ☒

Indicate by check mark if the registrant is submitting the Form 6-K in paper as permitted by Regulation S-T Rule 101(b)(7):

Yes ☐

No ☒

On December 1, 2021, and in connection with the payment of a dividend previously announced by the Company expected to take place on December 27, 2021 (the “Dividend”), the Company has issues a press release announcing it has secured a tax ruling from the Israeli Tax Authority (“ITA”) with respect to the tax withholding procedures relating to the payment of the Dividend (the “Ruling”). A copy of this press release is attached herewith as Exhibit 99.1.

In addition, and as in accordance with the Ruling and the Company’s press release, A copy of the following forms: (i) “Declaration of Status For Israeli Income Tax Purposes”; (ii) “Beneficiary’s Details”; and (iii) “Additional Beneficiary’s Details – Controlling Person” in connection with the payment of the Dividend are attached herewith as Exhibits 99.2, 99.3 and 99.4, respectively.

The information in this Form 6-K (including Exhibits 99.1, 99.2, 99.3 and 99.4) shall not be deemed “filed” for purposes of Section 18 of the Securities Exchange Act of 1934 (the “Exchange Act”) or otherwise subject to the liabilities of that section, nor shall it be deemed incorporated by reference in any filing under the Securities Act of 1933 or the Exchange Act.

SIGNATURES

Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the undersigned, thereunto duly authorized.

ZIM INTEGRATED SHIPPING SERVICES LTD.

By: /s/ Noam Nativ

Noam Nativ

EVP General Counsel and Corporate Secretary

Date: December 1, 2021

EXHIBIT INDEX

EXHIBIT NO.	DESCRIPTION
<u>99.1</u>	<u>Press Release dated December 1, 2021</u>
<u>99.2</u>	<u>Form of Declaration of Status For Israeli Income Tax Purposes</u>
<u>99.3</u>	<u>Form of Beneficiary's Details</u>
<u>99.4</u>	<u>Additional Beneficiary's Details – Controlling Person</u>



Press Release



ZIM Updates on Withholding Tax Procedures on a December 2021 Cash Dividend

HAIFA, Israel, December 1, 2021 – ZIM Integrated Shipping Services Ltd. (“ZIM” or the “Company”) (NYSE: ZIM), a global container liner shipping company, announces that it secured a tax ruling (the “Ruling”) from the Israeli Tax Authority (“ITA”) with respect to the tax withholding procedures relating to the payment of dividend to its shareholders (“Shareholders”) expected to take place on December 27, 2021, as previously announced by the Company (the “Dividend”). As a result of the Ruling, certain Shareholders may be eligible to a reduced Israeli withholding tax rate with respect to their share of this dividend distribution, in comparison to the generally applicable withholding tax rate (the “Reduced Withholding Tax Rate”), under certain terms and conditions as set forth below.

The description provided below is not intended to constitute a complete analysis of withholding tax rate procedures relating to the distribution of the Dividend, nor does it address the actual tax liability of any shareholder, but merely relates to the Israeli withholding tax procedures relating to the distribution of the Dividend. Shareholders are advised to consult their own tax and financial advisors concerning the tax consequences of each particular situation, as well as any tax consequences that may arise under the laws of any state, local, foreign or other taxing jurisdiction. For the avoidance of doubt, the Agent (as defined below) has been retained by ZIM for the purpose coordinating certain procedures relating to the Ruling, and it is NOT intended that the Agent will provide any tax advice to any Shareholders, who are encouraged to consult their own tax and financial advisors.

Background

On November 17, 2021, ZIM announced a dividend payment of \$2.50 per ordinary share (approximately \$296 million), to holders of the ordinary shares as of December 16, 2021. Payment of the Dividend is expected to be made on December 27, 2021 (the “Payment Date”).



Press Release



General Withholding Tax Treatment under Israeli Law

As set out in the Company's Annual Report on Form 20-F filed with the Commission on March 22, 2021, with respect to dividends sourced from regular earnings, under the Israeli Tax Ordinance and regulations issued under the Israeli Tax Ordinance (collectively, "ITO"), the current Israeli rate of withholding tax on dividends paid by an Israeli company is 30% for distributions to a "substantial shareholder" (in general, being someone who holds, directly or indirectly, by himself or together with others, at least 10% of one or more of the means of control in the company) and 25% with respect to distributions to all other holders of Ordinary Shares ("Withholding Tax"). Notwithstanding the foregoing, as a result of the Ruling and subject to its terms and conditions, certain Shareholders, both Israeli and non-Israeli, may be eligible to a reduced Israeli withholding tax rate on their share of this dividend distribution, in comparison to the generally applicable withholding tax rate described above, (the "Reduced Withholding Tax Rate"), under certain terms and conditions as set forth below.

Summary of the Main Terms of the Ruling

The following is a summary of some of the key terms of the Ruling. **It is emphasized that the description below does not purport to exhaust all the terms and conditions included in the Ruling and is not a complete translation of the Ruling. In order to enjoy the Reduced Withholding Tax Rate, Shareholders must comply with all the terms of the Ruling, a copy of which in the Hebrew language as well as an unofficial non-binding English translation thereof can be obtained free of charge by email by approaching the Agent (as defined below) at the contact details provided below.**

1. On the Payment Date the Company will withhold 25% of the Dividend amount and will remit the tax amount to the Agent, to be handled by the Agent in accordance with the terms and conditions of the Ruling.
 2. The remaining 75% of the Dividend amount will be remitted by the Company to its transfer agent, American Stock Transfer & Trust Company, LLC ("AST"), which will transfer the said amount to the Shareholders (including through brokers who hold in brokerage accounts ZIM shares on behalf of Shareholders).
 3. A Shareholder who is a resident of a country with which Israel has a tax treaty ("Treaty State") (based on a declaration to be provided by such Shareholder) and is the beneficial owner of the Dividend, as well as a Shareholder who is a foreign (*i.e.*, non-Israeli) resident of a country with which Israel does NOT have a tax treaty and is the beneficial owner of the Dividend, may apply to the Agent requesting a Reduced Tax Withholding Rate. Such application must be received by the Agent between the Payment Date and **January 7, 2022** ("Change of Rate Period").
 4. A Shareholder who declared that he or she is a resident of a Treaty State and is the beneficial owner of the Dividend may apply to the Agent during the Change of Rate Period only (subject to complying with all the documentation requirements detailed below) requesting the receipt of the monetary difference between the tax amount remitted to the Agent (at a rate of 25%) and the amount represented by the withholding tax rate set forth in the tax treaty between Israel and such Treaty State or by the limited withholding tax rate applicable to such dividend payment under the ITO, to the extent applicable.
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Press Release



5. A Shareholder who did not declare that it, he or she is a resident of a Treaty State and is the beneficial owner of the Dividend, may apply to the Agent during the Change of Rate Period only (subject to complying with all the documentation requirements detailed below) requesting the receipt of the monetary difference between the tax amount remitted to the Agent (at a rate of 25%) and the amount represented by the withholding tax rate applicable to such dividend payment under the ITO or by the limited withholding tax rate applicable to such dividend payment under the ITO, to the extent applicable.
 6. **Any Shareholder who claims to be entitled to a Reduced Tax Withholding Rate in accordance with the foregoing, will be required to provide the Agent with all relevant documentation as detailed in the Ruling and attached as exhibits 99.2, 99.3 and 99.4 to the Company's Current Report on form 6-K filed on December 1, 2021 with the Securities and Exchange Commission (SEC) on no later than January 7, 2022 (the end of the Change of Rate Period), including but not limited to, bank account details to which the dividend payment should be transferred, number of ZIM shares owned by the Shareholder in such account, identification document, and confirmation of residence issued by the taxing authority of the state of tax residence.**
 7. In addition to the foregoing, the Shareholder will provide a written declaration in the form annexed to this announcement which will include declarations as to the following: (i) the Shareholder's tax residence, (ii) the Shareholder's beneficial ownership of the dividend, (iii) the investment in ZIM shares has not been made through a permanent establishment in Israel, (iv) the holding of ZIM shares is made for the Shareholder's own account and not for the account of others, and (v) the payment will not be made to a permanent establishment of the Shareholder outside of the Shareholder's tax residence.
 8. A non-Israeli corporate Shareholder (excluding a Shareholder covered by section 9 below) that requests a Reduced Tax Withholding Rate, will also need to provide the Agent with its updated shareholders register as of December 16, 2021, and a statement confirming that more than 75% of its shareholders, directly or indirectly, are individuals of its state of residence.
 9. A publicly traded non-Israeli corporate Shareholder whose shares are traded on a stock market outside of Israel and is a resident of a Treaty State, or a direct or indirect subsidiary of such Shareholder, will also provide the Agent with a declaration that it is a resident of such Treaty State or another non-Israeli state, as applicable.
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Press Release



10. An Israeli corporate Shareholder which is entitled to a Reduced Tax Withholding Rate (including an exemption from withholding tax at source), will be able to apply to the Agent no later than **January 7, 2022** (the end of the Change of Rate Period) and enclose an applicable valid ITA issued certificate setting forth a Reduced Tax Withholding Rate or an exemption from withholding tax. In addition, such Shareholder will enclose its certificate of incorporation and all other documents required as set forth above, *mutatis mutandis* as requested by the Agent.
11. The Agent is entitled to request from the Shareholders applying for a Reduced Tax Withholding Rate additional documents in its discretion insofar as they are required to establish the tax residence of the Shareholder or its entitlement to exemption and/or to a Reduced Tax Withholding Rate.
12. Notwithstanding the foregoing, no refund of excess tax withholding shall be affected by the Agent with respect to any Shareholder holding more than 5% of the issued share capital of the Company, or whose entitlement to dividend from the Company pursuant to the Dividend exceeds \$500,000, other than in accordance with a specific approval issued by the ITA.
13. The transfer of the amounts withheld, excluding the amounts returned to the Shareholders, as aforementioned, shall be conducted by the Agent. Subject to receipt by the Agent of your required documentation, the Agent will return the amounts withheld to the Shareholders as detailed above to the account at which the dividend payment was made within 30 days from the date the amounts withheld are paid to the ITA.
14. The Ruling is aimed to address solely the issue of tax withholding procedures and should not be construed as setting the actual tax liability of any Shareholder with respect to the Dividend or otherwise.

Appointment of Israeli Tax Withholding Agent

In order to facilitate the implementation of the procedures set forth in the Ruling for the benefit of its Shareholders, the Company appointed **ESOP Management & Trust Services Ltd** to serve as a processing agent for the benefit of the Shareholders in connection with the distribution of the Dividend (the "Agent"). Shareholders are asked to note that the Agent will not provide tax advice to any Shareholders, who are encouraged to consult their own tax and financial advisors. Contact information of the Agent is provided at the bottom of this announcement. **We encourage you to contact the Agent if you need any clarifications in filling-in the forms required under the Ruling to obtain a Reduced Withholding Tax Rate, or if you have any questions concerning the process. Please note that the Agent (as defined below) will not provide any tax advice to any Shareholder, who should consult their own tax and financial advisors.**



Press Release



In order to be eligible to benefit from a Reduced Withholding Tax Rate, Shareholders must provide the Agent with all documentation required under the Ruling not later than **January 7, 2022**. The relevant forms included in the Ruling are and attached as exhibits 99.2, 99.3 and 99.4 to the Company's Current Report on form 6-K filed on December 1, 2021 with the SEC.

If a Shareholder fails to provide the Agent with all the documentation required by January 7, 2022, the Agent will not be able to attend to such Shareholder's application and will not be able to return any amounts originally remitted on behalf of such Shareholder nor provide any confirmation of tax withholding to such a Shareholder, either in connection with the Ruling or in connection with any other tax filing by such Shareholder.

ZIM's Agent Contact Information:

ESOP Management & Trust Services Ltd.

Tel No: +972-3-7536823

Fax No: +972-3-7602636

Email: esop-helpdesk@esop.co.il

About ZIM

ZIM is a global container liner shipping company with leadership positions in the markets where it operates. Founded in Israel in 1945, ZIM is one of the oldest shipping liners, with over 76 years of experience, providing customers with innovative seaborne transportation and logistics services with a reputation for industry leading transit times, schedule reliability and service excellence.



Press Release



ZIM Contacts

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lberman@igbir.com

ZIM Integrated Shipping Services Ltd.
dividends distribution
CLAIM FOR REDUCED RATE OF WITHHOLDING TAX
IN ISRAEL ON DIVIDEND PAYMENTS TO A NON-ISRAELI TAX RESIDENT

You are receiving this form "Declaration of Status for Israeli Income Tax Purposes" as a holder of shares of ZIM Integrated Shipping Services Ltd. ("Shares" and "ZIM"), in connection with the payment of dividends to the shareholders of ZIM on December 27, 2021.

By completing this form in a manner that would substantiate your eligibility for a reduced rate of Israeli withholding tax with respect to this dividend distribution, you will allow ZIM and its Israeli tax agent, to withhold tax in Israel from the dividend distribution made to you at a reduced tax rate.

This form shall be completed and signed by the recipient of the dividend or by an authorized officer or representative of the recipient.

This claim is made pursuant to the Double Tax Convention between Israel and the country of residence of the recipient of the dividend.

PART A: RECIPIENT DECLARATION

RECIPIENT INFORMATION

Full name of the recipient:	For Individuals: Identity Number, Social Security No., or Passport No./ For Legal Entities: Registration No. /Corporation No.	Type of Investor: Legal Entity <input type="checkbox"/> Individual <input type="checkbox"/> Trust Beneficiary <input type="checkbox"/>
With respect to an individual		With respect to a legal entity
Date of birth:		The country in which it was incorporated:
Country of residence:		The country in which control and management are conducted:
Country of citizenship:		
Country issuing passport:		
Income Tax File number of recipient in place of residence: _____		
Address of local income tax assessing office in recipient's place of residence: _____		
The recipient is a fiscal resident of (insert country) _____ since (insert date). _____.		
Permanent Address (country, city, street, house or apartment number): _____		
Mailing Address:	Investor's Telephone number:	
	Telephone number of authorized signatory:	

With regard to an Individual:

I declare that I am not an Israeli resident because (please mark all applicable boxes):

<input type="checkbox"/>	1.	The State of Israel is not my permanent place of residence.
<input type="checkbox"/>	2.	The State of Israel is neither my place of residence nor my family's place of residence.
<input type="checkbox"/>	3.	My ordinary or permanent place of activity is not within the State of Israel, and I do not have a permanent establishment in the State of Israel.
<input type="checkbox"/>	4.	I do not engage in any occupation within the State of Israel.
<input type="checkbox"/>	5.	I do not own a business or part of a business within the State of Israel.
<input type="checkbox"/>	6.	This year, I did not stay and I do not intend to stay in Israel for 183 days or more.
<input type="checkbox"/>	7.	This year, I did not stay in Israel and I also do not intend to stay in Israel for 30 days or more and my total stay in Israel this year and in the two preceding years will not reach 425 days.
<input type="checkbox"/>	8.	I am not insured with the National Insurance Institute in the State of Israel.
<input type="checkbox"/>	9.	I am the sole beneficial owner of the dividend income.

With regard to a Legal Entity:

I declare that the Legal Entity is a non - Israeli resident because (please mark all applicable boxes):

<input type="checkbox"/>	1.	Over 75% of the shareholders are individuals with the same residency as the Legal Entity (attached declaration of shareholders)
<input type="checkbox"/>	2.	It is not registered /incorporated with the Registrar of Companies in Israel.
<input type="checkbox"/>	3.	It is not registered with the Registrar of non profit organizations in Israel. (Amutot)
<input type="checkbox"/>	4.	The control of the legal entity is not executed in Israel.
<input type="checkbox"/>	5.	The management of the legal entity is not in Israel.
<input type="checkbox"/>	6.	The legal entity does not have a permanent enterprise in Israel and the entity does not have a permanent establishment in the State of Israel
<input type="checkbox"/>	7.	No Israeli resident holds, directly or indirectly via shares or through a trust or in any other manner, alone or with another who is an Israeli resident, one or more of the "means of control" of the legal entity, as specified below, at a rate exceeding 25%. The term "means of control" refers to the following: (a) the right to participate in profits; (b) the right to appoint a director; (c) the right to vote; (d) the right to share in the assets of the entity at the time of its liquidation; (e) the right to direct the manner of exercising one of the rights specified above.

<input type="checkbox"/>	8.	The legal entity is the sole beneficial owner of the dividend income.
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DETAILS OF INCOME RECEIVED

Place of receipt (country, city, bank account number, Amount)

Country	City	Bank name and account number	Amount

The recipient declares that all the information provided above is accurate and complete.

Date of Signature

Signature of Recipient

PART B: CERTIFICATION OF FOREIGN INCOME TAX AUTHORITY

This part shall be completed and signed by the income tax authorities of the recipient’s place of residence

1. I certify that:

a. the recipient of the income is a fiscal resident of (insert country)_____;

b. the recipient regularly reports his income as required, the most recent income tax return filed being for the year _____;

c. the income concerned ☐ is/ ☐ is not subject to tax in (insert the recipient’s country of residence) _____.

Date of Signature

Signature

Official Stamp

2. Address of certifying official: _____
3. Position or Title of certifying official: _____
4. Name of Income Tax Authority official making this certification: _____



PART C: DOCUMENTATION

With regard to an Individual - List all documents attached –:

1. Copy of passport
2. Bank statement from the date of entitlement to the dividend including bank account details of the account holder, bank name and account number and the number of listed shares held by the shareholder.
3. Bank statement from the date of payment of the dividend, including bank account details of the account holder, bank account number and the amount of the dividend received.
4. Required Details Form – attached.
5. US tax form (W8/w9)

With regard to a Legal Entity - List all documents attached:

1. Copy of Certificate of Incorporation
2. List of shareholders of the Legal Entity and declarations regarding their state of residency for tax purposes.
3. Bank statement from the date of entitlement to the dividend including bank account details of the account holder, bank name and account number and the number of listed shares held by the shareholder.
4. Bank statement from the date of payment of the dividend, including bank account details of the account holder, bank account number and the amount of the dividend received.
5. Required Details Form – attached.
6. US tax form (W8/w9) of the entity
7. Controlling Person Required Details Form – attached.
8. Copy of passport of the Controlling Person
9. US tax form (W8/w9) of the Controlling person



Beneficiary's Details – ESOP

Name of the company: _____

Dear Beneficiary,

ESOP would like to thank you to for joining us and welcome you onboard.

In order to set up an account on your behalf, please fill out the information requested within the form.

Part 1 – Identification of Beneficiary (in case of Legal Entity, please fill Annex I)

Beneficiary Information:

Full Name of Beneficiary: *(First and Last Name/Legal name of the corporation):* _____

ID/Passport No. /Incorporation No.: _____

Date of Birth/Incorporation Date: _____

Place of Birth/Incorporation place: **Town:** _____ **Country:** _____

Current Residence: **Address:** _____

Town: _____ **country:** _____ **Postal code/zip code:** _____

Mailing Address: (please only complete if different from above) _____

Email: _____

Telephone #1: _____ **Telephone #2:** _____

Please attach:

- ✓ **A copy of your identification card or your passport or your incorporation certificate.**
* Israeli Citizen is requested to provide a copy of the identification card
- ✓ **A proof of ownership of your bank account (void check or any other statement).**
- ✓ **Please attach:** W-9 / W-8BEN / W-8BEN-E / W-8IMY / W-8EXP / W-8ECI
*such forms can be downloaded from www.irs.gov



Asset
Management

Pension
Funds

Mutual
Funds

KSM
KSM TRUST COMPANY

Brokerage
Services

Underwriting
LTD

Financial
Products

Mortgage
Consulting

Part 2 – Country/Jurisdiction of Residence for Tax Purposes and related Taxpayer Identification Number or equivalent number* (“TIN”)

Please complete the following table indicating (i) what is the Beneficiary tax residence and (ii) the Beneficiary's TIN for each country/jurisdiction indicated.

* If a TIN is unavailable please provide the appropriate reason **A, B or C** where indicated below:

Reason A - The country/jurisdiction where the Beneficiary is resident does not issue TINs to its residents. **Reason B** - The Beneficiary is otherwise unable to obtain a TIN or equivalent number (Please explain why you are unable to obtain a TIN in the below table if you have selected this reason)

Reason C - No TIN is required. (Note. Only select this reason if the domestic law of the relevant jurisdiction does not require the collection of the TIN issued by such jurisdiction)

	Country/Jurisdiction of tax residence	TIN	If no TIN available enter Reason A, B or C
1			
2			
3			

Please explain why you are unable to obtain a TIN if you selected Reason B above:

1	
2	
3	

- Please note, additional information may be needed in accordance with the Beneficiary's self-certification above.
- For more information please consult your tax adviser or the information at the OECD automatic exchange of information portal www.oecd.org/tax/automatic-exchange

Part 3 – Wire information

Currency of choice:

Attention: you should choose whether you wish to receive the net proceed in NIS or in US dollar. Please note that the wire commission for USD will be charged in USD.

Please mark only one alternative:

☐ I would like to receive the
Payment in **NIS**

☐ I would like to receive the
payment in **US dollar**

*Additional charges may apply by the receiving bank in case of USD wires such as intermediary bank fee
(Please contact your bank for additional info)

Mandatory details – international wire:

1. Bank Name : _____
2. Account Name : _____
3. Account Number : _____
4. Swift code : _____
5. ABA (routing #) : _____
6. IBAN: _____

In case of a Sub-Account:

7. For Further credit to (Account name) : _____
8. Sub-Account Number: _____

Mandatory details – domestic wire:

1. Bank Name : _____
2. Account Name : _____
3. Branch Number : _____
4. Account Number : _____
5. IBAN: _____

Part 4 – Declarations and Signature

I understand that the information supplied by me is covered by the full provisions of the terms and conditions governing my relationship with ESOP Management & Trust Services Ltd. ("ESOP").

I acknowledge that the information contained in this form may be provided to the tax authorities of the country/jurisdiction described in this form above and exchanged with tax authorities of another country/jurisdiction or countries/jurisdictions in which I may be tax resident pursuant to intergovernmental agreements to exchange financial account information.

I certify that I am the Beneficiary) of all the account(s) to which this form relates to.

For natural person only - I declare that I am not holding Senior Public Position¹ and I am not a Politically Exposed Person² and I will update you if the above will change in the future.

I declare that all statements made in this declaration are, to the best of my knowledge and belief, correct and complete.

I undertake to advise ESOP of any change in circumstances which affects the tax residency status of the individual identified in this form and to provide with an updated form.

Signature: _____

Print name: _____

Date: _____

Note: If you are not the Beneficiary please indicate the capacity in which you are signing the form. If signing under a power of attorney please also attach a certified copy of the power of attorney.

Capacity: _____

For additional info, please contact us at: esop-heldesk@esop.co.il
972-3-7536823 Domestic calls: 1700-70-37-67

¹ "Senior public position"- Including head of state, president, city mayor, judge, member of parliament, senior party member, member of the government, senior officer in the army or police, senior official in government companies, senior position in international organizations or anyone who performs such a role even if the title is different.

² "Politically Exposed Person"- Holds a senior public position for the past five years, including a family member of such a person or a corporation under his control or a business partner of any of the above.

"foreign Politically Exposed Person"- Politically Exposed Person who is a foreign resident.

Annex I – Legal Entity

Please provide the Legal Entity's (not applicable to individual account holders) Status by ticking **one** of the following boxes (please consult with your tax adviser):

1. (a) Financial Institution – Investment Entity

- i. An Investment Entity located in a Non-Participating Jurisdiction and managed by another Financial Institution ☐
- ii. Other Investment Entity ☐

- (b) Financial Institution – Depository Institution, Custodial Institution or Specified Insurance Company ☐

If you have ticked (a) or (b) above, please provide, if held, the Account Holder's Global Intermediary Identification Number ("GIIN") obtained for FATCA purposes.

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- (c) Active NFE – a corporation the stock of which is regularly traded on an established securities market or a corporation which is a related entity of such a corporation ☐

If you have ticked (c), please provide the name of the established securities market on which the corporation is regularly Traded: _____

If you are a Related Entity of a regularly traded corporation, please provide the name of the regularly traded corporation that the Entity in (c) is a Related Entity of: _____

- (d) Active NFE – a Government Entity or Central Bank ☐
- (e) Active NFE – an International Organization ☐
- (f) Active NFE – other than (c)-(e) (for example a start-up NFE or a non-profit NFE) ☐
- (g) Passive NFE ☐

2. Please fill a separate form for each "Controlling Person"³.

³ "controlling person":

(1) An individual with the ability to direct the actions of the corporation, whether alone or together with others or through them, whether directly or indirectly, including an ability arising from the articles of association of the corporation, by virtue of a contract in writing, verbally or otherwise, or an ability arising from any other source, except for the ability arising only from the fulfilling of the position of an office holder in the corporation:

(2) Without derogating from the generality of the above said in paragraph (1), an individual will be deemed to be of controlling interest in the corporation if such person holds 25% or more of any type of means of control, and no other person holds the means of control of that type at a rate which is greater than his/her holdings: for this purpose, 'holdings' - including holding together with others as defined in the Securities Law;

(3) Without derogating from the generality of that stated in paragraphs (1) and- (2), in a corporation in which there is no individual as stated, the chairman of the board of directors in the company and a comparable office holder and the CEO, will be deemed to be as the controlling persons. If there are none of the above, the office holder who holds effective control in the corporation will be deemed the controlling person.

ESOP-EXCELLENCE

Beneficiary's Details – Controlling Person - ESOP

Full Name of the legal entity which I am a Controlling Person¹ in:

Dear Beneficiary,

As The "Controlling Person" of an entity, please fill out the information requested within the form.

Part 1 – Identification of Beneficiary (in case of Legal Entity, please fill Annex I)

Beneficiary Information:

Full Name of Beneficiary: (First and Last Name/Legal name of the corporation):

ID No. /Passport No. /Incorporation No.:

Date of Birth/Incorporation Date:

Place of Birth/Incorporation place: Town:

Country:

Current Residence: Address:

Town: _____ country: _____ Postal code/zip code: _____

Mailing Address: (please only complete if different from above)

Email:

Telephone #1:

Telephone #2:

¹ "controlling person":

(1) An individual with the ability to direct the actions of the corporation, whether alone or together with others or through them, whether directly or indirectly, including an ability arising from the articles of association of the corporation, by virtue of a contract in writing, verbally or otherwise, or an ability arising from any other source, except for the ability arising only from the fulfilling of the position of an office holder in the corporation:

(2) Without derogating from the generality of the above said in paragraph (1), an individual will be deemed to be of controlling interest in the corporation if such person holds 25% or more of any type of means of control, and no other person holds the means of control of that type at a rate which is greater than his/her holdings: for this purpose, 'holdings' - including holding together with others as defined in the Securities Law;

(3) Without derogating from the generality of that stated in paragraphs (1) and- (2), in a corporation in which there is no individual as stated, the chairman of the board of directors in the company and a comparable office holder and the CEO, will be deemed to be as the controlling persons. If there are none of the above, the office holder who holds effective control in the corporation will be deemed the controlling person.



Asset
Management

Pension
Funds

Mutual
Funds

KSM

Brokerage
Services

Underwriting
LTD

Financial
Products

Mortgage
Consulting

25 Efaal St., Kiriath Arie, Petah Tikva, 4951125 Israel | Tel: +972-3-5757088 | Fax: +972-3-5757044 | www.esop.co.il

Please attach:

- ✓ **A copy of your identification card or your passport or your incorporation certificate.**

* Israeli Citizen is requested to provide a copy of the identification card

- ✓ **Please attach:** W-9 / W-8BEN / W-8BEN-E / W-8IMY / W-8EXP / W-8ECI

*such forms can be downloaded from www.irs.gov

Part 2 – Country/Jurisdiction of Residence for Tax Purposes and related Taxpayer Identification Number or equivalent number* (“TIN”)

Please complete the following table indicating (i) what is the Beneficiary tax residence and (ii) the Beneficiary's

TIN for each country/jurisdiction indicated.

* If a TIN is unavailable please provide the appropriate reason **A, B or C** where indicated below:

Reason A - The country/jurisdiction where the Beneficiary is resident does not issue TINs to its residents.

Reason B - The Beneficiary is otherwise unable to obtain a TIN or equivalent number

(Please explain why you are unable to obtain a TIN in the below table if you have selected this reason)

Reason C - No TIN is required. (Note. Only select this reason if the domestic law of the relevant jurisdiction does not require the collection of the TIN issued by such jurisdiction)

	Country/Jurisdiction of tax residence	TIN	If no TIN available enter Reason A, B or C
1			
2			
3			

Please explain why you are unable to obtain a TIN if you selected Reason **B** above:

1	
2	
3	

- Please note, additional information may be needed in accordance with the Beneficiary's self-certification above.

- For more information please consult your tax adviser or the information at the OECD automatic exchange of information portal www.oecd.org/tax/automatic-exchange



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Part 3 – Type of Controlling Person

(Please only complete this section if you are tax resident in one or more Reportable Jurisdictions according to the CRS)

Please provide the Controlling Person's Status by ticking the appropriate box.	
a. Controlling Person of a legal person – control by ownership	
b. Controlling Person of a legal person – control by other means	
c. Controlling Person of a legal person – senior managing official	
d. Controlling Person of a trust – settlor	
e. Controlling Person of a trust – trustee	
f. Controlling Person of a trust – protector	
g. Controlling Person of a trust – beneficiary	
h. Controlling Person of a trust – other	
i. Controlling Person of a legal arrangement (non-trust) – settlor-equivalent	
j. Controlling Person of a legal arrangement (non-trust) – trustee-equivalent	
k. Controlling Person of a legal arrangement (non-trust) – protector-equivalent	
l. Controlling Person of a legal arrangement (non-trust) – beneficiary-equivalent	
m. Controlling Person of a legal arrangement (non-trust) – other-equivalent	



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Part 4 – Declarations and Signature

I understand that the information supplied by me is covered by the full provisions of the terms and conditions governing my relationship with ESOP Management & Trust Services Ltd. ("ESOP").

I acknowledge that the information contained in this form may be provided to the tax authorities of the country/jurisdiction described in this form above and exchanged with tax authorities of another country/jurisdiction or countries/jurisdictions in which I may be tax resident pursuant to intergovernmental agreements to exchange financial account information.

I certify that I am the Beneficiary of all the account(s) to which this form relates to.

I declare that I am not holding Senior Public Position² and I am not a Politically Exposed Person³ and I will update you if the above will change in the future.

I declare that all statements made in this declaration are, to the best of my knowledge and belief, correct and complete.

I undertake to advise ESOP of any change in circumstances which affects the tax residency status of the individual identified in this form and to provide with an updated form.

Signature: _____

Print name: _____

Date: _____

Note: If you are not the Beneficiary please indicate the capacity in which you are signing the form. If signing under a power of attorney please also attach a certified copy of the power of attorney.

Capacity: _____

For additional info, please contact us at: esop-helddesk@esop.co.il
972-3-7536823 Domestic calls: 1700-70-37-67

² "Senior public position"- Including head of state, president, city mayor, judge, member of parliament, senior party member, member of the government, senior officer in the army or police, senior official in government companies, senior position in international organizations or anyone who performs such a role even if the title is different.

³ "Politically Exposed Person"- Holds a senior public position for the past five years, including a family member of such a person or a corporation under his control or a business partner of any of the above.

"foreign Politically Exposed Person"- Politically Exposed Person who is a foreign resident



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