

**UNITED STATES  
SECURITIES AND EXCHANGE COMMISSION**  
Washington, D.C. 20549

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**FORM 8-K**

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**CURRENT REPORT**

Pursuant to Section 13 or 15(d) of  
the Securities Exchange Act of 1934

Date of Report (Date of earliest event reported) January 15, 2021

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**Tri Pointe Homes, Inc.**  
(Exact name of registrant as specified in its charter)

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Delaware  
(State or other jurisdiction  
of incorporation)

1-35796  
(Commission  
File Number)

61-1763235  
(IRS Employer  
Identification No.)

940 Southwood Blvd, Suite 200  
Incline Village, Nevada 89451  
(Address of principal executive offices) (Zip Code)

Registrant's telephone number, including area code (775) 413-1030

TRI Pointe Group, Inc.  
19540 Jamboree Road, Suite 300  
Irvine, California 92612  
(Former name or former address, if changed since last report.)

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Check the appropriate box below if the Form 8-K filing is intended to simultaneously satisfy the filing obligation of the registrant under any of the following provisions:

- Written communications pursuant to Rule 425 under the Securities Act (17 CFR 230.425)
- Soliciting material pursuant to Rule 14a-12 under the Exchange Act (17 CFR 240.14a-12)
- Pre-commencement communications pursuant to Rule 14d-2(b) under the Exchange Act (17 CFR 240.14d-2(b))
- Pre-commencement communications pursuant to Rule 13e-4(c) under the Exchange Act (17 CFR 240.13e-4(c))

Securities registered pursuant to Section 12(b) of the Act:

Title of each class	Trading Symbol(s)	Name of each exchange on which registered
Common Stock, par value \$0.01 per share	TPH	New York Stock Exchange

Indicate by check mark whether the registrant is an emerging growth company as defined in Rule 405 of the Securities Act of 1933 (§230.405 of this chapter) or Rule 12b-2 of the Securities Exchange Act of 1934 (§240.12b-2 of this chapter).

Emerging growth company

If an emerging growth company, indicate by check mark if the registrant has elected not to use the extended transition period for complying with any new or revised financial accounting standards provided pursuant to Section 13(a) of the Exchange Act.

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**Item 5.03 Amendments to Articles of Incorporation or Bylaws; Change in Fiscal Year**

On January 15, 2021, Tri Pointe Homes, Inc. (fka TRI Pointe Group, Inc.), a Delaware corporation, filed with the Secretary of State of the State of Delaware a Certificate of Amendment (the "Certificate of Amendment") to its Amended and Restated Certificate of Incorporation to change its corporate name from "TRI Pointe Group, Inc." to "Tri Pointe Homes, Inc." effective as of January 15, 2021. A copy of the Certificate of Amendment is attached as Exhibit 3.1 to this Current Report on Form 8-K.

**Item 9.01 Financial Statements and Exhibits**

- (d) Exhibits
  - 3.1 [Certificate of Amendment to Amended and Restated Certificate of Incorporation of Tri Pointe Homes, Inc.](#)
  - 104 Cover Page Interactive Data File, formatted in Inline XBRL

**SIGNATURES**

Pursuant to the requirements of the Securities Exchange Act of 1934, as amended, the registrant has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

Date: January 21, 2021

Tri Pointe Homes, Inc.

By: /s/ David C. Lee

David C. Lee,  
Vice President, General Counsel and Secretary

**CERTIFICATE OF AMENDMENT**  
**OF**  
**AMENDED AND RESTATED CERTIFICATE OF INCORPORATION**  
**OF**  
**TRI POINTE GROUP, INC.,**  
**a Delaware corporation**

TRI Pointe Group, Inc. (the "Corporation"), a corporation organized and existing under and by virtue of the General Corporation Law of the State of Delaware (the "DGCL"), certifies that:

1. This Certificate of Amendment of its Amended and Restated Certificate of Incorporation was duly adopted by the Board of Directors of the Corporation in accordance with Section 242(b)(1) of the DGCL, which provides that no meeting or vote of the stockholders shall be required to adopt an amendment to the certificate of incorporation that only effects changes of a corporation's name.

2. The Amended and Restated Certificate of Incorporation of the Corporation is hereby amended to reflect a change in the name of the Corporation by amending and restating **ARTICLE I** in its entirety as follows:

**“ARTICLE I**  
**NAME**

“The name of the Corporation is Tri Pointe Homes, Inc. (hereinafter called the "Corporation").”

IN WITNESS WHEREOF, the Corporation has caused this Certificate of Amendment of Amended and Restated Certificate of Incorporation to be executed this 15th day of January, 2021.

By: /s/ David C. Lee  
Name: David C. Lee  
Title: Secretary