

# FORM 4

[ ] Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

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### STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or  
Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person *		2. Issuer Name and Ticker or Trading Symbol		5. Relationship of Reporting Person(s) to Issuer (Check all applicable)	
<b>BAUER DOUGLAS F.</b>		<b>Tri Pointe Homes, Inc. [ TPH ]</b>		<input checked="" type="checkbox"/> Director <input type="checkbox"/> 10% Owner <input checked="" type="checkbox"/> Officer (give title below) <input type="checkbox"/> Other (specify below) <b>Chief Executive Officer</b>	
(Last) (First) (Middle)		3. Date of Earliest Transaction (MM/DD/YYYY)			
<b>C/O TRI POINTE HOMES, INC., 940 SOUTHWOOD BLVD, SUITE 200</b>		<b>8/24/2021</b>			
(Street)		4. If Amendment, Date Original Filed (MM/DD/YYYY)		6. Individual or Joint/Group Filing (Check Applicable Line)	
<b>INCLINE VILLAGE, NV 89451</b>				<input checked="" type="checkbox"/> Form filed by One Reporting Person <input type="checkbox"/> Form filed by More than One Reporting Person	
(City) (State) (Zip)					

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

1. Title of Security (Instr. 3)	2. Trans. Date	2A. Deemed Execution Date, if any	3. Trans. Code (Instr. 8)		4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)			5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	V	Amount	(A) or (D)	Price			
Common Stock	8/24/2021		S		22978	D	\$24.29 (1)	756158	I	See Note (2)
Common Stock	8/25/2021		S		93465	D	\$24.19 (3)	662693	I	See Note (2)
Common Stock	8/25/2021		M		76308	A	\$17	214891	D	
Common Stock	8/25/2021		S		76308	D	\$24.16 (4)	138583	D	
Common Stock	8/26/2021		M		500	A	\$17	139083	D	
Common Stock	8/26/2021		S		500	D	\$24.10	138583	D	

**Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)**

1. Title of Derivate Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Trans. Date	3A. Deemed Execution Date, if any	4. Trans. Code (Instr. 8)		5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)		6. Date Exercisable and Expiration Date		7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form of Derivative Security: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				
Stock Option (Right to Buy)	\$17.0	8/25/2021		M		76308		(5)	1/30/2023	Common Stock	76308.0	\$0	6916	D	
Stock Option (Right to Buy)	\$17.0	8/26/2021		M		500		(5)	1/30/2023	Common Stock	500.0	\$0	6416	D	

#### Explanation of Responses:

- The sales price is a weighted average price. The shares were sold in multiple transactions ranging from \$24.25 to \$24.41, inclusive. The reporting person undertakes to provide Tri Pointe Homes, Inc. or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the range.
- By The Bauer Revocable Trust.
- The sales price is a weighted average price. The shares were sold in multiple transactions ranging from \$24.15 to \$24.38, inclusive. The reporting person undertakes to provide Tri Pointe Homes, Inc. or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the range.
- The sales price is a weighted average price. The shares were sold in multiple transactions ranging from \$24.10 to \$24.38, inclusive. The reporting person undertakes to provide Tri Pointe Homes, Inc. or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the range.
- On January 30, 2013, Mr. Bauer was granted an option to purchase a total of 94,067 shares of common stock, which vested in three equal installments on January 30, 2014, 2015 and 2016.

#### Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
<b>BAUER DOUGLAS F.</b> <b>C/O TRI POINTE HOMES, INC.</b> <b>940 SOUTHWOOD BLVD, SUITE 200</b> <b>INCLINE VILLAGE, NV 89451</b>	<b>X</b>		<b>Chief Executive Officer</b>	

**Signatures**

/s/ Glenn J. Keeler, attorney-in-fact

8/26/2021

\*\*Signature of Reporting Person

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

\* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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