

# FORM 4

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549**

OMB APPROVAL  
OMB Number: 3235-0287  
Estimated average burden  
hours per response... 0.5

[ ] Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or  
Section 30(h) of the Investment Company Act of 1940

<b>1. Name and Address of Reporting Person -*</b>  <b>Van Hove Caroline F.</b>  (Last) (First) (Middle)  <b>420 S. FAIRVIEW AVENUE, SUITE 200</b>  (Street)  <b>SANTA BARBARA, CA 93117</b>  (City) (State) (Zip)	<b>2. Issuer Name and Ticker or Trading Symbol</b>  <b>Sientra, Inc. [ SIEN ]</b>	<b>5. Relationship of Reporting Person(s) to Issuer</b> (Check all applicable)  <input checked="" type="checkbox"/> Director <span style="float:right">_____ 10% Owner</span> _____ Officer (give title below) <span style="float:right">_____ Other (specify below)</span>
<b>3. Date of Earliest Transaction (MM/DD/YYYY)</b>  <p align="center"><b>11/8/2020</b></p>		<b>6. Individual or Joint/Group Filing (Check Applicable Line)</b>  <input checked="" type="checkbox"/> Form filed by One Reporting Person _____ Form filed by More than One Reporting Person
<b>4. If Amendment, Date Original Filed (MM/DD/YYYY)</b>		

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

1. Title of Security (Instr. 3)	2. Trans. Date	2A. Deemed Execution Date, if any	3. Trans. Code (Instr. 8)		4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)			5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	V	Amount	(A) or (D)	Price			
Common Stock	11/8/2020		A		100000 (1)	A	\$0.00	143986	D	

**Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)**

1. Title of Derivate Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Trans. Date	3A. Deemed Execution Date, if any	4. Trans. Code (Instr. 8)		5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)		6. Date Exercisable and Expiration Date		7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form of Derivative Security: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				
Employee Stock Option (Right to Buy)	\$4.41	11/8/2020		A		100000		(2)	11/8/2030	Common Stock	100000	\$0.00	100000	D	
Performance Rights	(3)	11/8/2020		A		100000		(3)	11/8/2030	Common Stock	100000	\$0.00	100000	D	

**Explanation of Responses:**

- (1) Each restricted stock unit represents a contingent right to receive one share of Sientra, Inc. Class A Common Stock. The restricted stock units shall vest as follows: 25,000 on on the first anniversary of the grant date; 25,000 on on the second anniversary of the grant date; 25,000 on on the third anniversary of the grant date; and 25,000 on on the fourth anniversary of the grant date
- (2) The option shall vest as follows: 25,000 shares of common stock on the first anniversary of the grant date; the remaining 75,000 shares of common stock shall vest in thirty-six (36) equal consecutive monthly installments beginning on the thirteenth (13th) month following the grant date.
- (3) Each performance right restricted stock unit ("PRSU") represents a contingent right to "receive one share of common stock of Sientra, Inc. The PRSUs shall vest as follows: (i) 25,000 PRSUs shall vest on the first business day following the 30-day volume weighted average price of the Company's common stock (the "Target VWAP") exceeding \$10 per share; (ii) 25,000 PRSU's shall vest on the first business day following the Target VWAP exceeding \$15 per share; (iii) 25,000 shall vest on the first business day following the Target VWAP exceeding \$20 per share; (iv) 25,000 shall vest on the first business day following the Target VWAP exceeding \$25 per share.

**Reporting Owners**

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Van Hove Caroline F. 420 S. FAIRVIEW AVENUE, SUITE 200 SANTA BARBARA, CA 93117	X			

**Signatures**

/s/ Caroline F. Van Hove, by Oliver Bennett, as Attorney-in-Fact

11/13/2020

\*\*Signature of Reporting Person

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

\* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.