

[] Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. *See* Instruction 1(b).

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL OMB Number: 3235-0287 Estimated average burden hours per response... 0.5

## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Pe	rson *	2. Issuer N	Name and	Ticl	ker or T	rading	g Symbol	5. Relationship of Reporting Po (Check all applicable)	erson(s) to	Issuer
Zuckerberg Mark		Faceboo	ok Inc [	FB	1			(Спеск ан аррисаоте)		
	iddle)	3. Date of	`Earliest T	Frans	saction (	(MM/DI	D/YYYY)		10% Owr	ner secify below)
C/O FACEBOOK, INC., 160 ROAD	1 WILLOW	7		11/	25/202	20		COB and CEO	Other (sp	eerry below)
(Street)		4. If Ame	ndment, D	Date (	Origina	l Filed	(MM/DD/YYY	Y) 6. Individual or Joint/Group Fi	ling (Check	Applicable Line
MENLO PARK, CA 94025								X _ Form filed by One Reporting Persor Form filed by More than One Report		
(City) (State) (Zi	p)	1								
	Table I - Non-	-Derivative	Securiti	es A	cquired	l, Disp	osed of, or	Beneficially Owned		
1.Title of Security (Instr. 3)	2. Trans. Date	2A. Deemed Execution Date, if any	3. Trans. C (Instr. 8)	ode	4. Secur Disposed (Instr. 3,	d of (D)		5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	Ownership Form:	Beneficial
			Code	V	Amount	(A) or (D)	Price		Direct (D) or Indirect (I) (Instr. 4)	Ownership (Instr. 4)
Class A Common Stock	11/25/2020		С		36000	A	\$0	36000	I	By CZI Holdings, LLC (1)
Class A Common Stock	11/25/2020		S <sup>(2)</sup>		1800	D	\$273.3894 (3)	34200	I	By CZI Holdings, LLC (1)
Class A Common Stock	11/25/2020		S <sup>(2)</sup>		6900	D	\$274.3261 <sup>(4)</sup>	27300	I	By CZI Holdings, LLC (1)
Class A Common Stock	11/25/2020		S <sup>(2)</sup>		16584	D	\$275.2906 (5)	10716	I	By CZI Holdings, LLC (1)
Class A Common Stock	11/25/2020		S <sup>(2)</sup>		10016	D	\$275.9534 <sup>(6)</sup>	700	I	By CZI Holdings, LLC (1)
Class A Common Stock	11/25/2020		S <sup>(2)</sup>		700	D	\$277.3059 <sup>(7)</sup>	0	I	By CZI Holdings, LLC (1)
Class A Common Stock	11/25/2020		S <sup>(8)</sup>		950	D	\$273.4984 <sup>(9)</sup>	3818960	I	By Chan Zuckerberg Initiative Foundation (10)
Class A Common Stock	11/25/2020		S <sup>(8)</sup>		4300	D	\$274.6393 (11)	3814660	I	By Chan Zuckerberg Initiative Foundation (10)
Class A Common Stock	11/25/2020		S <sup>(8)</sup>		7490	D	\$275.5847 (12)	3807170	I	By Chan Zuckerberg Initiative Foundation (10)
Class A Common Stock	11/25/2020		S <sup>(8)</sup>		1010	D	\$276.1980 (13)	3806160	I	By Chan Zuckerberg Initiative Foundation (10)
Class A Common Stock	11/25/2020		S <sup>(8)</sup>		250	D	\$277.7100 (14)	3805910	I	By Chan Zuckerberg Initiative Foundation (10)
Class A Common Stock	11/25/2020		s <sup>(15)</sup>		470	D	\$273.6485 (16)	47959	I	By Mark Zuckerberg, Trustee Of The Mark Zuckerberg 2014 GRAT No. 3 Dated 5/8/2014 (17)
										By Mark Zuckerberg, Trustee Of The

1.Title of Security (Instr. 3)		2. Trans.	I	2A. Deemed Execution Date, if any	on	3. Trans. C (Instr. 8)	Dispose		rrities Acquired (A) or ed of (D) 3, 4 and 5)		5. Amount of Securities Bene Following Reported Transact (Instr. 3 and 4)			Ownership Form:	Beneficial	
							Code	v	Amount	(A) or (D)	Price				Direct (D) or Indirect (I) (Instr. 4)	Ownership (Instr. 4)
Class A Commor	Stock		11/25/2	020			<b>S</b> <sup>(15)</sup>		2040	D	\$274.6768 (18)	2	45919		I	Mark Zuckerberg 2014 GRAT No. 3 Dated 5/8/2014 (17)
Class A Commor	ı Stock		11/25/2	020			<b>S</b> <sup>(15)</sup>		3270	D	\$275.6322 (19)	2	42649		I	By Mark Zuckerberg, Trustee Of Th Mark Zuckerberg 2014 GRAT No. 3 Dated 5/8/2014 (17)
Class A Commor	ı Stock		11/25/2	020			S <sup>(15)</sup>		310	D	\$276.2429 <sup>(20)</sup>		42339		I	By Mark Zuckerberg, Trustee Of Th Mark Zuckerberg 2014 GRAT No. 3 Dated 5/8/2014 (17)
Class A Commor	ı Stock		11/25/2	020			S <sup>(15)</sup>		160	D	\$278.0131 (21)	2	42179		I	By Mark Zuckerberg, Trustee Of TI Mark Zuckerberg 2014 GRAT No. 3 Dated 5/8/2014 (17)
Class A Common	Stock		11/25/2	020			S <sup>(22)</sup>		410	D	\$273.4778 <sup>(23)</sup>	l	34690		I	By Chan Zuckerberg Initiative Advocacy (24)
Class A Commor	Stock		11/25/2	020			S <sup>(22)</sup>		1450	D	\$274.4794 <sup>(25)</sup>	2	33240		I	By Chan Zuckerberg Initiative Advocacy (24)
Class A Commor	Stock		11/25/2	020			S <sup>(22)</sup>		3130	D	\$275.4515 (26)	1	30110		I	By Chan Zuckerberg Initiative Advocacy (24)
Class A Commor	Stock		11/25/2	020			S <sup>(22)</sup>		900	D	\$276.1648 (27)	1	29210		1	By Chan Zuckerberg Initiative Advocacy (24)
Class A Commor	Stock		11/25/2	020			S <sup>(22)</sup>		110	D	\$277.8573 <sup>(28)</sup>	1	29100		I	By Chan Zuckerberg Initiative Advocacy (24)
Class A Commor	ı Stock												89059		I	By Mark Zuckerberg, Trustee Of Th Mark Zuckerberg Trust Dated July 7, 2006 (29)
	T	able II - De	erivative S	ecurit	ies Bo	enefi	cially Ow	ned	( <i>e.g.</i> , p	uts, ca	lls, warran	ts, options, c	convertible	e securities)		
I. Title of Derivate Security (Instr. 3)	f 2. 3. Trans. 3A. 4. Co		4. Tran Code (Instr. 8	Deriva Securi (A) or (D)				6. Date Exercisable an Expiration Date		7. Title and Securities U Derivative (Instr. 3 and	Amount of Juderlying Security (Instr. 5)  8. Price of Derivative Security (Instr. 5)		Securities Beneficially Owned Following	Security: Direct (D)	11. Nature of Indirect Beneficial Ownership (Instr. 4)	
CIL P	,			Code	v	(A)	(D)	Da Ex	te ercisable	Expirati Date	Title	Amount or Number of Shares		Reported Transaction(s) (Instr. 4)	or Indirect (I) (Instr. 4)	n car
Class B Common Stock (30)	(30)	11/25/2020		С			36000		(30)	(30)	Class A Common Stock	36000	\$0	355360025	I	By CZI Holdings, LLC (1)
Class B Common Stock (30)	(30)								(30)	(30)	Class A Common Stock	5676058		5676058	I	By Mark Zuckerberg, Trustee Of Th Mark Zuckerberg Trust Dated July 7, 2006 (29)

	Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)														
1. Title of Derivate Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Trans. Date	3A. Deemed Execution Date, if any	4. Trans. Code (Instr. 8)		Deriva Securit (A) or (D)	curities Acquired ) or Disposed of		7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		Derivative Security (Instr. 5)	Securities Beneficially Owned	Ownership Form of Derivative	Beneficial	
				Code	V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		Reported Transaction(s) (Instr. 4)	or Indirect (I) (Instr. 4)	
Class B Common Stock (30)	(30)							(30)	(30)	Class A Common Stock	1908602		1908602	I	By Chan Zuckerberg Initiative Foundation (10)

#### **Explanation of Responses:**

- (1) Shares held of record by CZI Holdings, LLC ("CZI"). Mark Zuckerberg, Trustee of the Mark Zuckerberg Trust dated July 7, 2006 ("2006 Trust"), is the sole member of CZI. Mr. Zuckerberg is the sole trustee of the 2006 Trust and, therefore, is deemed to have sole voting and investment power over the securities held by CZI.
- (2) The sales reported were effected by CZI pursuant to its Rule 10b5-1 trading plan.
- (3) The reported price in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$272.74 to \$273.73 per share. The holder undertakes to provide to the issuer, any security holder of the issuer, or the staff of the Securities and Exchange Commission, upon written request, full information regarding the number of shares sold at each separate price within the range set forth in this footnote.
- (4) The reported price in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$273.75 to \$274.67 per share. The holder undertakes to provide to the issuer, any security holder of the issuer, or the staff of the Securities and Exchange Commission, upon written request, full information regarding the number of shares sold at each separate price within the range set forth in this footnote.
- (5) The reported price in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$274.75 to \$275.74 per share. The holder undertakes to provide to the issuer, any security holder of the issuer, or the staff of the Securities and Exchange Commission, upon written request, full information regarding the number of shares sold at each separate price within the range set forth in this footnote.
- (6) The reported price in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$275.75 to \$276.73 per share. The holder undertakes to provide to the issuer, any security holder of the issuer, or the staff of the Securities and Exchange Commission, upon written request, full information regarding the number of shares sold at each separate price within the range set forth in this footnote.
- (7) The reported price in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$277.21 to \$277.55 per share. The holder undertakes to provide to the issuer, any security holder of the issuer, or the staff of the Securities and Exchange Commission, upon written request, full information regarding the number of shares sold at each separate price within the range set forth in this footnote.
- (8) The sales reported were effected by Mark Zuckerberg, Trustee and Settlor of the Chan Zuckerberg Initiative Foundation ("CZI Foundation"), formerly known as the Chan Zuckerberg Foundation, pursuant to its Rule 10b5-1 trading plan.
- (9) The reported price in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$272.96 to \$273.90 per share. The holder undertakes to provide to the issuer, any security holder of the issuer, or the staff of the Securities and Exchange Commission, upon written request, full information regarding the number of shares sold at each separate price within the range set forth in this footnote.
- (10) Shares held of record by CZI Foundation. The reporting person is deemed to have voting and investment power over the shares held by CZI Foundation, but has no pecuniary interest in these shares.
- (11) The reported price in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$274.05 to \$275.04 per share. The holder undertakes to provide to the issuer, any security holder of the issuer, or the staff of the Securities and Exchange Commission, upon written request, full information regarding the number of shares sold at each separate price within the range set forth in this footnote.
- (12) The reported price in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$275.05 to \$276.0301 per share. The holder undertakes to provide to the issuer, any security holder of the issuer, or the staff of the Securities and Exchange Commission, upon written request, full information regarding the number of shares sold at each separate price within the range set forth in this footnote.
- (13) The reported price in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$276.05 to \$276.93 per share. The holder undertakes to provide to the issuer, any security holder of the issuer, or the staff of the Securities and Exchange Commission, upon written request, full information regarding the number of shares sold at each separate price within the range set forth in this footnote.
- (14) The reported price in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$277.21 to \$278.01 per share. The holder undertakes to provide to the issuer, any security holder of the issuer, or the staff of the Securities and Exchange Commission, upon written request, full information regarding the number of shares sold at each separate price within the range set forth in this footnote.
- (15) The sales reported were effected by Mark Zuckerberg, Trustee of The Mark Zuckerberg 2014 GRAT No. 3, dated 5/8/2014 pursuant to its Rule 10b5-1 trading plan.
- (16) The reported price in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$273.11 to \$274.10 per share. The holder undertakes to provide to the issuer, any security holder of the issuer, or the staff of the Securities and Exchange Commission, upon written request, full information regarding the number of shares sold at each separate price within the range set forth in this footnote.
- (17) Shares held of record by Mark Zuckerberg, Trustee of The Mark Zuckerberg 2014 GRAT No. 3, dated 5/8/2014.
- (18) The reported price in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$274.12 to \$275.11 per share. The holder undertakes to provide to the issuer, any security holder of the issuer, or the staff of the Securities and Exchange Commission, upon written request, full information regarding the number of shares sold at each separate price within the range set forth in this footnote.
- (19) The reported price in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$275.13 to \$276.12 per

- share. The holder undertakes to provide to the issuer, any security holder of the issuer, or the staff of the Securities and Exchange Commission, upon written request, full information regarding the number of shares sold at each separate price within the range set forth in this footnote.
- (20) The reported price in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$276.16 to \$276.42 per share. The holder undertakes to provide to the issuer, any security holder of the issuer, or the staff of the Securities and Exchange Commission, upon written request, full information regarding the number of shares sold at each separate price within the range set forth in this footnote.
- (21) The reported price in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$277.72 to \$278.36 per share. The holder undertakes to provide to the issuer, any security holder of the issuer, or the staff of the Securities and Exchange Commission, upon written request, full information regarding the number of shares sold at each separate price within the range set forth in this footnote.
- (22) The sales reported were effected by Chan Zuckerberg Initiative Advocacy ("CZI Advocacy"), formerly known as Chan Zuckerberg Advocacy, pursuant to its Rule 10b5-1 trading plan.
- (23) The reported price in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$272.96 to \$273.91 per share. The holder undertakes to provide to the issuer, any security holder of the issuer, or the staff of the Securities and Exchange Commission, upon written request, full information regarding the number of shares sold at each separate price within the range set forth in this footnote.
- (24) Shares held of record by CZI Advocacy. The reporting person is deemed to have voting and investment power over the shares held by CZI Advocacy, but has no pecuniary interest in these shares.
- (25) The reported price in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$273.96 to \$274.93 per share. The holder undertakes to provide to the issuer, any security holder of the issuer, or the staff of the Securities and Exchange Commission, upon written request, full information regarding the number of shares sold at each separate price within the range set forth in this footnote.
- (26) The reported price in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$274.97 to \$275.95 per share. The holder undertakes to provide to the issuer, any security holder of the issuer, or the staff of the Securities and Exchange Commission, upon written request, full information regarding the number of shares sold at each separate price within the range set forth in this footnote.
- (27) The reported price in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$275.97 to \$276.93 per share. The holder undertakes to provide to the issuer, any security holder of the issuer, or the staff of the Securities and Exchange Commission, upon written request, full information regarding the number of shares sold at each separate price within the range set forth in this footnote.
- (28) The reported price in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$277.73 to \$278.01 per share. The holder undertakes to provide to the issuer, any security holder of the issuer, or the staff of the Securities and Exchange Commission, upon written request, full information regarding the number of shares sold at each separate price within the range set forth in this footnote.
- (29) Shares held of record by Mark Zuckerberg, Trustee of the 2006 Trust.
- (30) The Class B Common Stock is convertible into the issuer's Class A Common Stock on a 1-for-1 basis (a) at the holder's option or (b) upon certain transfers of such shares and has no expiration date.

### Reporting Owners

reporting o where								
Paparting Owner Name / Address	Relationships							
Reporting Owner Name / Address	Director	10% Owner	Officer	Other				
Zuckerberg Mark C/O FACEBOOK, INC. 1601 WILLOW ROAD MENLO PARK, CA 94025	X		COB and CEO					

#### **Signatures**

/s/ Michael Johnson, attorney-in-fact for Mark Zuckerberg 11/30/2020

\*\*Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.