

[] Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. *See* Instruction 1(b).

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

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## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Report	ing Person *	2. Issuer N	Name and	Ticl	ker or T	rading	g Symbol	5. Relationship of Reporting Pe (Check all applicable)	rson(s) to	Issuer	
Zuckerberg Mark		Facebook Inc [ FB ]							(Chook an approacts)		
(Last) (First)	3. Date of Earliest Transaction (MM/DD/YYYY)										
~.~ ~. ~~~ ~ ~~~				0.10		1		_X_ Officer (give title below) COB and CEO	_ Other (sp	ecify below)	
C/O FACEBOOK, INC.	, 1601 WILLOW	/		9/2	20/202	1		002 <b></b> 020			
ROAD (Street)		4 If Amou	ndmant F	lata (	Onicina	l Eilad	0.01/00/00/0	(Y) 6. Individual or Joint/Group Fil	ina (Cl. 1	A 1: 11 T: \	
(Silect)		4. II Allie	nament, L	vale (	Origina	rned	I (MM/DD/YYY	6. Individual of Joint/Group Fil	ing (Check	Applicable Line)	
MENLO PARK, CA 940							X_Form filed by One Reporting Person Form filed by More than One Reporting Person				
(City) (State)	(Zip)							Form fried by More than One Repor	illig Ferson		
	Table I - Non-	.Derivativ <i>e</i>	Securiti	es A	canired	l. Disr	osed of or l	Beneficially Owned			
1.Title of Security	2. Trans. Date		3. Trans. C				quired (A) or	5. Amount of Securities Beneficially Owned	6.	7. Nature of	
(Instr. 3)		Execution Date, if any	(Instr. 8)		Disposed (Instr. 3,	d of (D)	•	Following Reported Transaction(s) (Instr. 3 and 4)	Ownership Form:		
					(msu. 5,	T unu 3		(mst. 5 and 1)	Direct (D)	Ownership	
			~ .			(A) or			or Indirect (I) (Instr.	(Instr. 4)	
			Code	V	Amount	(D)	Price		4)	By CZI	
Class A Common Stock	9/20/2021		C		56000	A	\$0	56000	I	Holdings, LLC (1)	
										By CZI	
Class A Common Stock	9/20/2021		S <sup>(2)</sup>		3593	D	\$350.5392 (3)	52407	I	Holdings, LLC (1)	
										By CZI	
Class A Common Stock	9/20/2021		S <sup>(2)</sup>		3429	D	\$351.2655 (4)	48978	I	Holdings, LLC (1)	
										By CZI	
Class A Common Stock	9/20/2021		S <sup>(2)</sup>		8205	D	\$352.5999 (5)	40773	I	Holdings, LLC (1)	
										By CZI	
Class A Common Stock	9/20/2021		s <sup>(2)</sup>		7945	D	\$353.3354 <u>(6)</u>	32828	I	Holdings, LLC (1)	
										By CZI	
Class A Common Stock	9/20/2021		S <sup>(2)</sup>		5648	D	\$354.5434 <sup>(7)</sup>	27180	I	Holdings, LLC (1)	
										By CZI	
Class A Common Stock	9/20/2021		S <sup>(2)</sup>		12403	D	\$355.5885 (8)	14777	I	Holdings, LLC (1)	
										By CZI	
Class A Common Stock	9/20/2021		S <sup>(2)</sup>		5102	D	\$356.4727 <sup>(9)</sup>	9675	I	Holdings, LLC (1)	
										By CZI	
Class A Common Stock	9/20/2021		S <sup>(2)</sup>		2231	D	\$357.6046 (10)	7444	I	Holdings, LLC (1)	
										By CZI	
Class A Common Stock	9/20/2021		S <sup>(2)</sup>		2424	D	\$359.0738 (11)	5020	I	Holdings, LLC (1)	
										By CZI	
Class A Common Stock	9/20/2021		s <sup>(2)</sup>		5020	D	\$360.0257 (12)	0	I	Holdings, LLC (1)	
										By Chan	
Class A Common Stock	9/20/2021		S <sup>(13)</sup>		1039	D	\$350.3655 (14)	1044807	I	Zuckerberg Initiative	
										Foundation (15)	
	0.00.0004		-(12)		004		(16)	40,4000	_	By Chan Zuckerberg	
Class A Common Stock	9/20/2021		S <sup>(13)</sup>		984	D	\$351.2435 (16)	1043823	I	Initiative Foundation (15)	
										By Chan	
Class A Common Stock	9/20/2021		S <sup>(13)</sup>		2477	D	\$352.7617 (17)	1041346	I	Zuckerberg Initiative	
										Foundation (15)	
				l						By Chan	

1.Title of Security (Instr. 3)		2. Trans.		2A. Deemed	3. Trans. Code (Instr. 8)		Acquired, Disposed of, or 1  4. Securities Acquired (A) or						6.	7. Nature of		
			E	Execution Date, if any			Disposed of (D) (Instr. 3, 4 and 5)			Following Report (Instr. 3 and 4)				Indirect Beneficial Ownership		
						Code	v	Amount	(A) or (D)	Price				or Indirect (I) (Instr. 4)	(Instr. 4)	
Class A Common	Stock		9/20/20	021			S(13)		2037	D	\$353.5152 (18	0)	1039309		I	Zuckerberg Initiative Foundation (15)
Class A Common	Stock		9/20/20	021			S <sup>(13)</sup>		1428	D	\$354.6840 (19	2)	1037881		I	By Chan Zuckerberg Initiative Foundation (12)
Class A Common	Stock		9/20/20	021			S <sup>(13)</sup>		4015	D	\$355.7595 (20	<u>))</u>	1033866		I	By Chan Zuckerberg Initiative Foundation
Class A Common	Stock		9/20/20	021			S <sup>(13)</sup>		675	D	\$356.9319 (2)	)	1033191		I	By Chan Zuckerberg Initiative Foundation
Class A Common	Stock		9/20/20	021			S <sup>(13)</sup>		413	D	\$357.9602 (22	2)	1032778		I	By Chan Zuckerberg Initiative Foundation
Class A Common	Stock		9/20/20	021			S <sup>(13)</sup>		724	D	\$359.3248 (23		1032054		I	By Chan Zuckerberg Initiative Foundation
Class A Common Stock		9/20/20	0/2021			S <sup>(13)</sup>		1108	D	\$360.0706 (24	1030946		I	By Chan Zuckerberg Initiative Foundation		
Class A Common Stock 9		9/20/20	021			S <sup>(13)</sup>		100	D	\$361.0200		1030846		I	By Chan Zuckerberg Initiative Foundation (12)	
Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)																
1. Title of Derivate Security (Instr. 3)  2. Conversion or Exercise Price of Derivative Security  3. Trans. Date			3A. Deemed Execution Date, if any	4. Trans Code (Instr. 8	Deriva Securi (A) or (D)				Derivativ			Juderlying Security Security Security (Instr. 5) Geneficially Owned Following		Securities Beneficially Owned Following	Security: Direct (D)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	v	(A)	(D)	Da: Exc		Expirati Date	on Title	Amount or Number of Shares		Reported Transaction(s) (Instr. 4)	or Indirect (I) (Instr. 4)	
Class B Common Stock (25)	(25)	9/20/2021		C			56000		(25)	(25)	Class A Commo Stock		\$0	346565686	I	By CZI Holdings, LLC (1)
Class B Common Stock (25)	(25)								(25)	(25)	Class A Commo Stock			1908602	I	By Chan Zuckerberg Initiative Foundation (1)

### **Explanation of Responses:**

Shares held of record by CZI Holdings, LLC ("CZI"). Mark Zuckerberg, Trustee of the Mark Zuckerberg Trust dated July 7, 2006 ("2006 Trust"), is the sole member of CZI. Mr. Zuckerberg is the sole trustee of the 2006 Trust and, therefore, is deemed to have sole voting and investment power over the securities held by CZI.

- The sales reported were effected by CZI pursuant to its Rule 10b5-1 trading plan. **(2)**
- The reported price in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$350.01 to \$350.93 per share. The holder undertakes to provide to the issuer, any security holder of the issuer, or the staff of the Securities and Exchange Commission, upon written request, full information regarding the number of shares sold at each separate price within the range set forth in this footnote.
- The reported price in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$351.05 to \$352.00 per share. The holder undertakes to provide to the issuer, any security holder of the issuer, or the staff of the Securities and Exchange Commission, upon written request, full information regarding the number of shares sold at each separate price within the range set forth in this footnote.
- The reported price in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$352.06 to \$353.01 per share. The holder undertakes to provide to the issuer, any security holder of the issuer, or the staff of the Securities and Exchange Commission, upon written request, full information regarding the number of shares sold at each separate price within the range set forth in this footnote.
- The reported price in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$353.06 to \$353.91 per share. The holder undertakes to provide to the issuer, any security holder of the issuer, or the staff of the Securities and Exchange Commission, upon written request, full information regarding the number of shares sold at each separate price within the range set forth in this footnote.
- The reported price in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$354.07 to \$355.05 per **(7)**

- share. The holder undertakes to provide to the issuer, any security holder of the issuer, or the staff of the Securities and Exchange Commission, upon written request, full information regarding the number of shares sold at each separate price within the range set forth in this footnote.
- (8) The reported price in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$355.09 to \$356.03 per share. The holder undertakes to provide to the issuer, any security holder of the issuer, or the staff of the Securities and Exchange Commission, upon written request, full information regarding the number of shares sold at each separate price within the range set forth in this footnote.
- (9) The reported price in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$356.14 to \$357.13 per share. The holder undertakes to provide to the issuer, any security holder of the issuer, or the staff of the Securities and Exchange Commission, upon written request, full information regarding the number of shares sold at each separate price within the range set forth in this footnote.
- (10) The reported price in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$357.15 to \$358.04 per share. The holder undertakes to provide to the issuer, any security holder of the issuer, or the staff of the Securities and Exchange Commission, upon written request, full information regarding the number of shares sold at each separate price within the range set forth in this footnote.
- (11) The reported price in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$358.61 to \$359.50 per share. The holder undertakes to provide to the issuer, any security holder of the issuer, or the staff of the Securities and Exchange Commission, upon written request, full information regarding the number of shares sold at each separate price within the range set forth in this footnote.
- (12) The reported price in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$359.73 to \$360.39 per share. The holder undertakes to provide to the issuer, any security holder of the issuer, or the staff of the Securities and Exchange Commission, upon written request, full information regarding the number of shares sold at each separate price within the range set forth in this footnote.
- (13) The sales reported were effected by Mark Zuckerberg, Trustee and Settlor of the Chan Zuckerberg Initiative Foundation ("CZI Foundation"), formerly known as the Chan Zuckerberg Foundation, pursuant to its Rule 10b5-1 trading plan.
- (14) The reported price in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$350.03 to \$351.02 per share. The holder undertakes to provide to the issuer, any security holder of the issuer, or the staff of the Securities and Exchange Commission, upon written request, full information regarding the number of shares sold at each separate price within the range set forth in this footnote.
- (15) Shares held of record by CZI Foundation. The reporting person is deemed to have voting and investment power over the shares held by CZI Foundation, but has no pecuniary interest in these shares.
- (16) The reported price in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$351.05 to \$351.71 per share. The holder undertakes to provide to the issuer, any security holder of the issuer, or the staff of the Securities and Exchange Commission, upon written request, full information regarding the number of shares sold at each separate price within the range set forth in this footnote.
- (17) The reported price in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$352.14 to \$353.07 per share. The holder undertakes to provide to the issuer, any security holder of the issuer, or the staff of the Securities and Exchange Commission, upon written request, full information regarding the number of shares sold at each separate price within the range set forth in this footnote.
- (18) The reported price in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$353.20 to \$354.07 per share. The holder undertakes to provide to the issuer, any security holder of the issuer, or the staff of the Securities and Exchange Commission, upon written request, full information regarding the number of shares sold at each separate price within the range set forth in this footnote.
- (19) The reported price in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$354.20 to \$355.00 per share. The holder undertakes to provide to the issuer, any security holder of the issuer, or the staff of the Securities and Exchange Commission, upon written request, full information regarding the number of shares sold at each separate price within the range set forth in this footnote.
- (20) The reported price in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$355.29 to \$356.245 per share. The holder undertakes to provide to the issuer, any security holder of the issuer, or the staff of the Securities and Exchange Commission, upon written request, full information regarding the number of shares sold at each separate price within the range set forth in this footnote.
- (21) The reported price in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$356.39 to \$357.20 per share. The holder undertakes to provide to the issuer, any security holder of the issuer, or the staff of the Securities and Exchange Commission, upon written request, full information regarding the number of shares sold at each separate price within the range set forth in this footnote.
- (22) The reported price in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$357.50 to \$358.22 per share. The holder undertakes to provide to the issuer, any security holder of the issuer, or the staff of the Securities and Exchange Commission, upon written request, full information regarding the number of shares sold at each separate price within the range set forth in this footnote.
- (23) The reported price in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$358.90 to \$359.875 per share. The holder undertakes to provide to the issuer, any security holder of the issuer, or the staff of the Securities and Exchange Commission, upon written request, full information regarding the number of shares sold at each separate price within the range set forth in this footnote.
- (24) The reported price in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$359.90 to \$360.535 per share. The holder undertakes to provide to the issuer, any security holder of the issuer, or the staff of the Securities and Exchange Commission, upon written request, full information regarding the number of shares sold at each separate price within the range set forth in this footnote.
- (25) The Class B Common Stock is convertible into the issuer's Class A Common Stock on a 1-for-1 basis (a) at the holder's option or (b) upon certain transfers of such shares and has no expiration date.

#### Remarks:

The first of two Forms 4 being filed to report transactions by the reporting person occurring on September 20, 2021. The Class A Common Stock and Class B Common Stock holdings for the Mark Zuckerberg Trust dated July 7, 2006 are reported on the second of these two forms.

#### **Reporting Owners**

Panorting Owner Name / Address		Relati	onships		
Reporting Owner Name / Address	Director	10% Owner	Officer	Other	

Zuckerberg Mark C/O FACEBOOK, INC. 1601 WILLOW ROAD	X	X	COB and CEO	
MENLO PARK, CA 94025				

#### **Signatures**

/s/ Michael Johnson, attorney-in-fact for Mark Zuckerberg 9/22/2021

\*\*Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.