

[] Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. *See* Instruction 1(b).

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

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## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of	2. Issuer	Name an	d Tic	ker or Tra	ading	Symbol	5. Relationship of Reporting Person(s) to Issuer (Check all applicable)				
Zuckerberg Marl	k		Facebo	ok Inc	[FE	3]					
(Last)	(First)	(Middle)	3. Date of	f Earliest	Tran	saction (M	M/DD	YYYY)		_ 10% Owne	
						/=/2020			_X_ Officer (give title below) COB and CEO	Other (spec	city below)
C/O FACEBOOF	<b>X</b> , INC., 1	601 WILLOW	V		11	/5/2020	)		002 020		
ROAD	(Street)		4 TC A	1 4	D /	0 : : 11	C'1 1		. C. I I. I		
	(Silect)		4. If Ame	endment,	Date	Original I	filed (	(MM/DD/YYYY	6. Individual or Joint/Group File	ng (Check	Applicable Line)
MENLO PARK,	CA 9402	5							X _ Form filed by One Reporting Person		
(City)	(State)	(Zip)							Form filed by More than One Report	ing Person	
		Table I - Non-	-Derivativ	e Securit	ies A	cauired.	Dispo	sed of, or B	eneficially Owned		
1.Title of Security		2. Trans. Date		3. Trans. C		4. Securitie			5. Amount of Securities Beneficially	6.	7. Nature of
(Instr. 3)			Execution Date, if any	(Instr. 8)		Disposed of (Instr. 3, 4 a			Owned Following Reported Transaction(s) (Instr. 3 and 4)	Ownership Form:	Indirect Beneficial
			,			(			(	Direct (D)	Ownership
							(A) or			or Indirect (I) (Instr.	(IIISII. 4)
				Code	V	Amount	(D)	Price		4)	By Mark
											Zuckerberg,
		5/0/2020			**	(1)					Trustee Of The Mark
Class A Common Stock		5/8/2020		G	V	89059 (1)	D	\$0	0	I	Zuckerberg 2014 GRAT
											No. 2 Dated
											5/8/2014 (2) By Mark
											Zuckerberg,
		5/0/2020				(1)			000-0	_	Trustee Of The Mark
Class A Common Stock		5/8/2020		G	V	89059 (1)	A	\$0	89059	I	Zuckerberg Trust Dated
											July 7,
											2006 (3) By CZI
Class A Common Stock		11/5/2020		C		204700	A	\$0	204700	I	Holdings
											LLC (4)
Class A Common Stock		11/5/2020		G	v	204700 (5)	D	80	0	I	By CZI Holdings
											LLC (4)
Class A. Cassassas Starle		11/0/2020		S(6)		401	В	0070 (7)	2075072		By Chan Zuckerberg
Class A Common Stock		11/9/2020		Stor		491	D	\$278.6518 (7)	3975072	I	Initiative Foundation (8)
											By Chan
Class A Common Stock		11/9/2020		s(6)		371	D	\$280.1422 (9)	3974701		Zuckerberg Initiative
											Foundation (8)
											By Chan
Class A Common Stock		11/9/2020		S(6)		329	D	\$280.9763 (10)	3974372	1	Zuckerberg Initiative
											Foundation (8)
				(6)				(11)			By Chan Zuckerberg
Class A Common Stock		11/9/2020		S <sup>(6)</sup>		1055	D	\$282.5917 (11)	3973317		Initiative Foundation (8)
											By Chan
Class A Common Stock		11/9/2020		S(6)		1442	D	\$283.5876 (12)	3971875		Zuckerberg Initiative
											Foundation (8)
											By Chan
Class A Common Stock		11/9/2020		S <sup>(6)</sup>		372	D	\$284.4719 (13)	3971503		Zuckerberg Initiative
											Foundation (8)
				10				(14)			By Chan Zuckerberg
Class A Common Stock		11/9/2020		S <sup>(6)</sup>		486	D	\$285.5126 (14)	3971017	1	Initiative
				ļ	ļ						Foundation (8)

1.Title of Security (Instr. 3)				2. Trans. Date		e 2A. Deemed Execution Date, if any		3. Trans. Code (Instr. 8)		4. Securiti Disposed (Instr. 3, 4	of (D)	red (A) or	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)		Form:	Beneficial	
			Code V					Amount	(A) or (D) Price					Ownership (Instr. 4)			
lass A Common Stock			11/9/20	20			S <sup>(6)</sup>		1752	D	\$286.9485 (15	7	3969265		I	By Chan Zuckerberg Initiative Foundation	
Class A Common	A Common Stock 11/9/2020		20			S <sup>(6)</sup>		2549	D	\$287.9251 (16	)	3966716		I	By Chan Zuckerberg Initiative Foundation		
Class A Common Stock			11/9/20	20	,		S <sup>(6)</sup>		1549	D	\$288.8136 (17	)	3965167		I	By Chan Zuckerberg Initiative Foundation	
Class A Common Stock			11/9/20	20			S <sup>(6)</sup>		2298	D	\$289.9653 (18	)	3962869		I	By Chan Zuckerberg Initiative Foundation	
Class A Common	A Common Stock 11/9/2020		20			S <sup>(6)</sup>		847	D	\$291.0049 (19	)	3962022		I	By Chan Zuckerberg Initiative Foundation		
Class A Common Stock			11/9/20	20			S <sup>(6)</sup>		459	D	\$291.9495 (20)	)	3961563		I	By Chan Zuckerberg Initiative Foundation	
	T	able II - De	eriva	ative So	ecuri	ties l	Benefi	icially O	wne	d ( <i>e.g.</i> , pu	ıts, cal	ls, warrant	s, options, co	nvertible	securities)		l
(Instr. 3) or Exe Price of Deriva	2. Conversion or Exercise Price of Derivative Security	n Date E		execution Co		Deriv Secur (A) o (D)		umber of vative rities Acquired or Disposed of r. 3, 4 and 5)		6. Date Exer Expiration D		Securities I Derivative	Title and Amount of curities Underlying erivative Security nstr. 3 and 4)		8. Price of Derivative Security (Instr. 5)  8. Price of derivative Securities Securities Beneficially Owned Following	Ownership Form of Derivative Security: Direct (D)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
					Code	e V	(A)	(D)			Expiration Date	Title	Amount or Number of Shares		Reported Transaction(s) (Instr. 4)	or Indirect (I) (Instr. 4)	
Class B Common Stock (21)	<u>(21)</u>	11/5/2020			C			204700	)	<u>(21)</u>	(21)	Class A Commor Stock	204700	\$0	355799225	I	By CZI Holdings LLC (4)
Class B Common Stock <sup>(21)</sup>	(21)									(21)	(21)	Class A Commor Stock	5676058		5676058	I	By Mark Zuckerberg Trustee Of The Mark Zuckerberg Trust Dated July 7, 2006 (3)
Class B Common Stock (21)	(21)									(21)	(21)	Class A Commor Stock	1908602		1908602	I	By Chan Zuckerberg Initiative

#### **Explanation of Responses:**

Stock (21)

(1) This transaction represents a transfer of shares that were beneficially owned by the reporting person for the purposes of estate planning and not a sale of securities.

Foundation

- (2) Shares held of record by Mark Zuckerberg, Trustee of the Mark Zuckerberg GRAT No. 2, dated 5/8/2014.
- (3) Shares held of record by Mark Zuckerberg, Trustee of The Mark Zuckerberg Trust dated July 7, 2006 ("2006 Trust").
- (4) Shares held of record by CZI Holdings, LLC ("CZI"). Mark Zuckerberg, Trustee of the 2006 Trust, is the sole member of CZI. Mr. Zuckerberg is the sole trustee of the 2006 Trust and, therefore, is deemed to have sole voting and investment power over the securities held by CZI.
- (5) Represents shares of Class A Common Stock that CZI donated as a gift to Silicon Valley Community Foundation ("SVCF"). Neither CZI nor the reporting person exercises voting or investment control, directly or indirectly, over SVCF or any of its affiliates, or over the donated shares following this transfer. Neither CZI nor the reporting person has any pecuniary interest in any shares held by SVCF.
- (6) The sales reported were effected by Mark Zuckerberg, Trustee and Settlor of the Chan Zuckerberg Initiative Foundation, formerly known as the Chan Zuckerberg Foundation ("CZI Foundation") and CZI, as applicable, pursuant to their Rule 10b5-1 trading plans.
- (7) The reported price in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$278.60 to \$278.72 per share. The holder undertakes to provide to the issuer, any security holder of the issuer, or the staff of the Securities and Exchange Commission, upon written request, full information regarding the number of shares sold at each separate price within the range set forth in this footnote.
- (8) Shares held of record by CZI Foundation. The reporting person is deemed to have voting and investment power over the shares held by CZI Foundation, but has no pecuniary interest in these shares.

- (9) The reported price in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$279.70 to \$280.665 per share, inclusive. The holder undertakes to provide to the issuer, any security holder of the issuer, or the staff of the Securities and Exchange Commission, upon written request, full information regarding the number of shares sold at each separate price within the range set forth in this footnote.
- (10) The reported price in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$280.87 to \$281.06 per share. The holder undertakes to provide to the issuer, any security holder of the issuer, or the staff of the Securities and Exchange Commission, upon written request, full information regarding the number of shares sold at each separate price within the range set forth in this footnote.
- (11) The reported price in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$282.13 to \$283.025 per share. The holder undertakes to provide to the issuer, any security holder of the issuer, or the staff of the Securities and Exchange Commission, upon written request, full information regarding the number of shares sold at each separate price within the range set forth in this footnote.
- (12) She reported price in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$283.14 to \$284.06 per share. The holder undertakes to provide to the issuer, any security holder of the issuer, or the staff of the Securities and Exchange Commission, upon written request, full information regarding the number of shares sold at each separate price within the range set forth in this footnote.
- (13) The reported price in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$284.29 to \$284.73 per share. The holder undertakes to provide to the issuer, any security holder of the issuer, or the staff of the Securities and Exchange Commission, upon written request, full information regarding the number of shares sold at each separate price within the range set forth in this footnote.
- (14) The reported price in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$285.33 to \$286.055 per share. The holder undertakes to provide to the issuer, any security holder of the issuer, or the staff of the Securities and Exchange Commission, upon written request, full information regarding the number of shares sold at each separate price within the range set forth in this footnote.
- (15) The reported price in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$286.33 to \$287.28 per share. The holder undertakes to provide to the issuer, any security holder of the issuer, or the staff of the Securities and Exchange Commission, upon written request, full information regarding the number of shares sold at each separate price within the range set forth in this footnote.
- (16) The reported price in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$287.37 to \$288.33 per share. The holder undertakes to provide to the issuer, any security holder of the issuer, or the staff of the Securities and Exchange Commission, upon written request, full information regarding the number of shares sold at each separate price within the range set forth in this footnote.
- (17) The reported price in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$288.44 to \$289.415 per share. The holder undertakes to provide to the issuer, any security holder of the issuer, or the staff of the Securities and Exchange Commission, upon written request, full information regarding the number of shares sold at each separate price within the range set forth in this footnote.
- (18) The reported price in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$289.50 to \$290.38 per share. The holder undertakes to provide to the issuer, any security holder of the issuer, or the staff of the Securities and Exchange Commission, upon written request, full information regarding the number of shares sold at each separate price within the range set forth in this footnote.
- (19) The reported price in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$290.575 to \$291.46 per share. The holder undertakes to provide to the issuer, any security holder of the issuer, or the staff of the Securities and Exchange Commission, upon written request, full information regarding the number of shares sold at each separate price within the range set forth in this footnote.
- (20) The reported price in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$291.82 to \$292.12 per share. The holder undertakes to provide to the issuer, any security holder of the issuer, or the staff of the Securities and Exchange Commission, upon written request, full information regarding the number of shares sold at each separate price within the range set forth in this footnote.
- (21) The Class B Common Stock is convertible into the issuer's Class A Common Stock on a 1-for-1 basis (a) at the holder's option or (b) upon certain transfers of such shares and has no expiration date.

### **Reporting Owners**

Paparting Owner Name / Address	Relationships							
Reporting Owner Name / Address	Director	10% Owner	Officer	Other				
Zuckerberg Mark C/O FACEBOOK, INC. 1601 WILLOW ROAD MENLO PARK, CA 94025	X		COB and CEO					

#### **Signatures**

/s/ Michael Johnson, attorney-in-fact for Mark Zuckerberg

\*\*Signature of Reporting Person

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.