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## UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

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## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

| 1. Name and Address of Reporting Person * |                           |              |                     | 2          | 2. Issuer Name and Ticker or Trading Symbol |           |            |        |  |                        |                     |                 |   | 5. Relationship of Reporting Person(s) to Issuer (Check all applicable) |  |             |                          |                                    |         |                              |
|---|---------------------------|--------------|---------------------|------------|---|-----------|------------|--------|--|------------------------|---------------------|-----------------|---|---|--|-------------|--------------------------|------------------------------------|---------|------------------------------|
| Schroepfer I                              | Michael T                 | Γodd         |                     |            | F   | Fac       | eb         | ook    | Inc [ F]                                 | B ]                    |                     |                 |   |   | - ·· ·· ·· ·· ·· ·· ·· ·· ·· ·· ·· ·· ·· | ,           |                          |                                    |         |                              |
| (Last                                     |                           |              | ddle)               |            | 3   | . Da      | ite (      | of Ea  | rliest Trai                              | nsac                   | tion (MM            | /DD/YYY         | YY)   |   | Director                                 |             | 1                        | 0% Owne                            | r       |                              |
| (=)                                       | , (                       | , (          |                     |            |   |           |            |        |  |                        |                     |                 |   |   | X_ Officer (gi                           |             |                          | Other (spe                         | ecify t | pelow)                       |
| C/O FACEBOOK INC., 1601 WILLOW<br>ROAD    |                           |              |                     |            | W   | 2/15/2021 |            |        |  |                        |                     |                 |   | (   | Chief Technology Officer                 |             |                          |                                    |         |                              |
|   | (Stre                     | eet)         |                     |            | 4   | If.       | Am         | nendn  | nent, Date                               | e Or                   | iginal Fi           | led (MM/        | DD/YYY  | YY) 6   | . Individual                             | or Joint/G  | roup Filir               | g (Check                           | Appl    | icable Line)                 |
| MENH O DA                                 | DIZ CA                    | 0.4025       |                     |            |   |           |            |        |  |                        |                     |                 |   |   |  |             |                          |                                    |         |                              |
| MENLO PA                                  |                           |              |                     |            |   |           |            |        |  |                        |                     |                 |   | F   | X _ Form filed by<br>Form filed by       |             |                          | g Person                           |         |                              |
| ((  | City) (Sta                | ate) (Zip    | <u>)</u>            |            |   |           |            |        |  |                        |                     |                 |   |   |  |             |                          |                                    |         |                              |
|   |                           |              | Table               | I - No     | n-D   | eriv      | ati        | ve Se  | curities A                               | Acq                    | uired, D            | isposed         | of, or  | Benef   | icially Own                              | ed          |                          |                                    |         |                              |
| 1. Title of Security                      |                           |              | 2.                  | . Trans. l |   | 2A. I     |            |        | 3. Trans. Co                             | ode                    |                     | ies Acquir      | red (A)   |   | ount of Securitie                        |             | y Owned                  | 6.                                 |         | Nature of                    |
| (Instr. 3)                                |                           |              |                     |            | Execution<br>Date, if any                   |           | (Instr. 8) |        | or Dispos<br>(Instr. 3,                  | sed of (D)<br>4 and 5) |                     |                 | Following Reported Transaction(s)<br>(Instr. 3 and 4) |   |  |             | Ind<br>Bei               | irect<br>neficial                  |         |                              |
|   |                           |              |                     |            |   |           |            | ,      |  |                        |                     |                 |   | 1   |  |             |                          | Direct (D)                         |         | nership<br>str. 4)           |
|   |                           |              |                     |            |   |           |            |        | ~ .                                      |                        |                     | (A) or          |   |   |  |             |                          | (I) (Instr.                        | (111.   | 311. 4)                      |
| Class A Common S                          | Stock                     |              | -                   | 2/15/202   | 21  |           |            |        | Code<br>M                                | V                      | Amount <b>26847</b> | (D)<br><b>A</b> | Price<br>\$0  |   | 55                                       | 1630        |                          | 4)<br><b>D</b>                     |         |                              |
| Class A Common S                          |                           |              |                     | 2/15/202   |   |           |            | M      |  | 8255                   | A                   | \$0<br>\$0      | 551639<br>559894                                      |   | D  |             |                          |                                    |         |                              |
| Class A Common S                          |                           |              |                     | 2/15/202   |   |           |            |        | M  |                        | 8432                | A               | \$0   |   |  | 3326        |                          | D                                  |         |                              |
| Class A Common S                          | Stock                     |              |                     | 2/15/202   | 21  |           |            |        | M  |                        | 9426                | A               | \$0   |   | 57                                       | 7752        |                          | D                                  |         |                              |
| Class A Common S                          | Stock                     |              |                     | 2/15/202   | 21  |           |            |        | F  |                        | 25706 (1)           | D               | \$270.50  |   | 552                                      | 2046        |                          | D                                  |         |                              |
|   |                           |              |                     |            |   |           |            |        |  |                        |                     |                 |   |   | 44.6                                     |             |                          |                                    |         | e HS Trust                   |
| Class A Common S                          | отоск                     |              |                     |            |   |           |            |        |  |                        |                     |                 |   |   | 41;                                      | 5573        |                          | I                                  |         | A/D<br>8/2011 <sup>(2)</sup> |
|   |                           |              |                     |            |   |           |            |        |  |                        |                     |                 |   |   |  |             |                          |                                    |         | e Michael                    |
| Class A Common Stock                      |                           |              |                     |            |   |           |            |        |  |                        |                     |                 | 24570   |   |  | I           |                          | roepfer<br>8 Annuity               |         |                              |
| Class A Common Stock                      |                           |              |                     |            |   |           |            |        |  |                        |                     | 2.670           |   |   | _  | Tru         | ust U/A/D/               |                                    |         |                              |
|   |                           |              |                     |            |   |           |            |        |  |                        |                     |                 |   |   |  |             |                          |                                    |         | 15/2018 (3)<br>e Erin        |
|   |                           |              |                     |            |   |           |            |        |  |                        |                     |                 |   |   |  |             |                          |                                    | Ho      | ffmann                       |
| Class A Common Stock                      |                           |              |                     |            |   |           |            |        |  |                        |                     | 24570           |   |   |  | I           |                          | 18 Annuity<br>ust U/A/D/           |         |                              |
|   |                           |              |                     |            |   |           |            |        |  |                        |                     |                 |   |   |  |             |                          |                                    | 11/     | 15/2018 <sup>(4)</sup>       |
|   |                           |              |                     |            |   |           |            |        |  |                        |                     |                 |   |   |  |             |                          |                                    |         | e Clover<br>evocable         |
| Class A Common Stock                      |                           |              |                     |            |   |           |            |        |  |                        |                     | 656             |   |   | I  | No          | nexempt                  |                                    |         |                              |
|   |                           |              |                     |            |   |           |            |        |  |                        |                     |                 |   |   |  |             |                          | ust U/A/D<br>7/2011 <sup>(5)</sup> |         |                              |
|   |                           |              |                     |            |   |           |            |        |  |                        |                     | ı               |   | 1   |  |             |                          |                                    |         |                              |
|   | Tak                       | ole II - Der | ivativ              | e Secu     | ıritie                                      | es Bo     | ene        | ficial | lly Owne                                 | d ( <i>e</i> .         | g., puts            | calls, v        | varran  | ts, op  | tions, conve                             | rtible secu | ırities)                 |                                    |         |                              |
| 1. Title of Derivate                      |                           | 3. Trans.    |                     | eemed 4    |   | ns.       |            |        | nber of                                  |                        | Date Exer           |                 |   |   | mount of                                 |             | 9. Number                |                                    | 1.      | 11. Nature                   |
| Security<br>(Instr. 3)                    | Conversion<br>or Exercise | Date         | Executi<br>Date, if |            | Code<br>Instr.                              | :. 8) A   |            |        | Derivative Securities<br>Acquired (A) or |                        | xpiration L         | ate             |   |   | e Security Security                      |             | derivative<br>Securities | Owne<br>Form                       | of .    | of Indirect<br>Beneficial    |
|   | Price of<br>Derivative    |              |                     |            |   |           |            |        | osed of (D)<br>:. 3, 4 and 5)            |                        |                     |                 | (Instr.   | 3 and 4   | and 4) (Inst                             |             | Beneficially<br>Owned    | / Deriva                           |         | Ownership (Instr. 4)         |
|   | Security                  |              |                     | -          |   |           |            | (      | .,                                       | 1                      |                     | <b>.</b>        |   |   | Amount or                                | 1           | Following<br>Reported    | Direct<br>or Ind                   | (D)     | (                            |
|   |                           |              |                     |            |   |           |            |        |  |                        | ate<br>exercisable  | Expiration Date | Title   |   | Number of<br>Shares                      |             | Transaction              | (s) (I) (In                        |         |                              |
| Restricted Stock                          |                           |              |                     |            | Code  | e         | V          | (A)    | (D)                                      |                        |                     |                 | Cle   | ass A   | Shares                                   |             | (Instr. 4)               | 4)                                 |         |                              |
| Units (RSU)<br>(Class A)                  | <u>(6)</u>                | 2/15/2021    |                     |            | M   |           |            |        | 26847                                    |                        | <u>(7)</u>          | 5/5/2023        | Con   | nmon<br>ock   | 26847                                    | \$0         | 80542                    | D                                  |         |                              |
| Restricted Stock<br>Units (RSU)           | <u>(6)</u>                | 2/15/2021    |                     | N          |   | T         |            |        | 8255                                     |                        | <u>(8)</u>          | 3/15/2025       |   | ass A<br>nmon   | 8255                                     | \$0         | 0                        | D                                  |         |                              |
| (Class A)                                 | 324                       | 2/13/2021    |                     |            |   | 1         |            |        |  |                        | <u> </u>            | 3/13/2023       |   | nmon<br>ock   |  |             | U                        | "                                  |         |                              |
| Restricted Stock<br>Units (RSU)           | <u>(6)</u>                | 2/15/2021    |                     |            | М   |           |            |        | 9422                                     |                        | <u>(9)</u>          | 3/14/2026       |   | ass A<br>nmon   | 8432 \$0                                 |             | 02750                    | D                                  |         |                              |
| (Class A)                                 | 351                       | 2/15/2021    | L.                  |            |   | VI        |            |        | 8432                                     |                        | <u> </u>            | 3/14/2020       |   | nmon<br>ock   | 0432                                     | 30          | \$0 92758                |                                    |         |                              |
| Restricted Stock                          | (6)                       | 2/15/2021    |                     |            | 3.4   |           |            |        | 0426                                     |                        | <u>(10)</u>         | 2/14/2025       |   | ass A   | 0426                                     | 60          | (F00.4                   | -                                  |         |                              |
| Units (RSU) (6) 2/15/2021 (Class A)       |                           |              | N                   |            |   |           |            | 9426   |  | 1.01                   | 3/14/2027           |                 | nmon<br>ock   | 9426  | \$0 659                                  |             | 4 D                      |                                    |         |                              |
|   |                           |              |                     |            |   |           |            |        |  |                        |                     |                 |   |   |  |             |                          |                                    |         |                              |

- (1) Represents the number of shares of Class A Common Stock that have been withheld by the issuer to satisfy its income tax withholding and remittance obligations in connection with the net settlement of the Restricted Stock Units ("RSUs") and does not represent a sale.
- (2) Shares held of record by Michael Schroepfer and Erin Hoffmann, Co-Trustees of The HS Trust U/A/D 9/28/2011.
- (3) Shares held of record by Michael Schroepfer, Trustee of The Michael Schroepfer 2018 Annuity Trust U/A/D 11/15/2018, a grantor retained annuity trust for the benefit of the reporting person.
- (4) Shares held of record by Erin Hoffmann, Trustee of The Erin Hoffmann 2018 Annuity Trust U/A/D 11/15/2018, a grantor retained annuity trust for the benefit of the reporting person's spouse.
- (5) Shares held of record by Michael Schroepfer and Erin Hoffmann, Co-Trustees of The Clover Irrevocable Nonexempt Trust U/A/D 6/27/2011.
- (6) Each RSU represents a contingent right to receive 1 share of the issuer's Class A Common Stock upon settlement.
- (7) The RSUs vest quarterly as to 1/16th of the total shares, beginning on February 15, 2018, subject to continued service through each vesting date.
- (8) The RSUs vest quarterly as to 1/16th of the total shares, beginning on May 15, 2017, subject to continued service through each vesting date.
- (9) The RSUs vest quarterly as to 1/16th of the total shares, beginning on February 15, 2020, subject to continued service through each vesting date.
- (10) The RSUs vest quarterly as to 1/16th of the total shares, beginning on February 15, 2019, subject to continued service through each vesting date.

## Reporting Owners

| Keporting Owners               |               |                      |                            |       |  |  |  |  |  |
|--------------------------------|---------------|----------------------|----------------------------|-------|--|--|--|--|--|
| Paparting Owner Name / Address | Relationships |                      |                            |       |  |  |  |  |  |
| Reporting Owner Name / Address | Director      | 10% Owner            | Officer                    | Other |  |  |  |  |  |
| Schroepfer Michael Todd        |               |                      |                            |       |  |  |  |  |  |
| C/O FACEBOOK INC.              |               | Chief Technology Off |                            |       |  |  |  |  |  |
| 1601 WILLOW ROAD               |               |                      | Cilier reclinology Officer |       |  |  |  |  |  |
| MENLO PARK, CA 94025           |               |                      |                            |       |  |  |  |  |  |

## **Signatures**

/s/ Michael Johnson, attorney-in-fact for Michael Schroepfer

\*\*Signature of Reporting Person

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

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