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# UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

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## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

| 1. Name and Address       | of Reportin | ng Perso | on *           | 2. Issuer 1  | Name and           | l Ticl   | ker or T   | rading   | g Symbol                  | 5. Relationship of Reporting Po<br>(Check all applicable)      | erson(s) to                | Issuer                        |
|---------------------------|-------------|----------|----------------|--------------|--------------------|----------|------------|----------|---------------------------|--|----------------------------|-------------------------------|
| Zuckerberg Mar            | k           |          |                | Faceboo      | ok Inc [           | FB       | ]          |          |                           |  |                            |                               |
| (Last)                    | (First)     | (Middl   | le)            | 3. Date of   | `Earliest T        | Γrans    | saction (  | (MM/D    | D/YYYY)                   |  | 10% Owr                    |                               |
| ~/o = / c== o o o         |             | 4 604 9  |                |              |                    | 2 /1     | 12/202     | 1        |                           | _X_ Officer (give title below)<br>COB and CEO                  | Otner (sp                  | ecify below)                  |
| C/O FACEBOOI              | K, INC.,    | 1601     | WILLOW         | V            |                    | 3/]      | 12/202     | 1        |                           |  |                            |                               |
| ROAD                      | (Street)    |          |                | 4 If Ama     | ndmont F           | )oto (   | Origina    | l Eilad  | l aaybbyyy                | (Y) 6. Individual or Joint/Group Fi                            | ling (Ch)                  | - A1:1:1- T :                 |
|                           | (Succe)     |          |                | 4. II Allie  | nument, L          | Jale     | Origina    | FIICO    | I (MM/DD/YYY              | o. marviduai or Jonit/Group Fr                                 | illig (Check               | Applicable Line               |
| MENLO PARK,               | CA 9402     | 25       |                |              |                    |          |            |          |                           | X Form filed by One Reporting Person                           |                            |                               |
| (City)                    | (State)     | (Zip)    |                |              |                    |          |            |          |                           | Form filed by More than One Repor                              | ting Person                |                               |
|                           |             | т.       | -LI-T N        | D            | . C <b>:</b> 4:    | <b>A</b> | •1         | . D:     |                           | D  |                            |                               |
| 1.Title of Security       |             | 12       | 2. Trans. Date |              | 3. Trans. C        |          |            |          | quired (A) or             | Beneficially Owned  5. Amount of Securities Beneficially Owned | 6                          | 7. Nature of                  |
| (Instr. 3)                |             |          | 2. Trans. Date | Execution    | (Instr. 8)         | oue      | Disposed   | d of (D) | •                         | Following Reported Transaction(s)                              | Ownership                  | Indirect                      |
|                           |             |          |                | Date, if any |                    | 1        | (Instr. 3, | 4 and 5  | )                         | (Instr. 3 and 4)   | Form:<br>Direct (D)        | Beneficial<br>Ownership       |
|                           |             |          |                |              |                    |          |            | (A) or   |                           |  | or Indirect<br>(I) (Instr. | (Instr. 4)                    |
|                           |             |          |                |              | Code               | V        | Amount     | (D)      | Price                     |  | 4)                         |                               |
| Class A Common Stock      |             |          | 3/12/2021      |              | C                  |          | 29000      | A        | \$0                       | 29000  | I                          | By CZI<br>Holdings,           |
|                           |             |          |                |              |                    |          |            |          |                           |  |                            | LLC (1)                       |
| Class A Common Stock      |             |          | 3/12/2021      |              | S(2)               |          | 3800       | D        | \$264.7698 (3)            | 25200  | I                          | By CZI<br>Holdings,           |
| Class 14 Common Stock     |             |          | 0/12/2021      |              | 3                  |          | 2000       |          | \$204.7098                | 23200  |                            | LLC (1)                       |
| Class A Common Stock      |             |          | 3/12/2021      |              | S(2)               |          | 6800       | D        | 0265 5524 (4)             | 18400  | I                          | By CZI<br>Holdings,           |
| Class A Common Stock      |             |          | 3/12/2021      |              | Star               |          | 0000       | В        | \$265.7524 (4)            | 10400  | 1                          | LLC (1)                       |
| Class A. Cassassas Starle |             |          | 2/12/2021      |              | -(2)               |          | 0.400      | n        | (5)                       | 0010   |                            | By CZI                        |
| Class A Common Stock      |             |          | 3/12/2021      |              | S <sup>(2)</sup>   |          | 8490       | D        | \$266.6843 (5)            | 9910   | I                          | Holdings,<br>LLC (1)          |
|                           |             |          |                |              | (0)                |          |            |          | (0)                       |  | 1 _                        | By CZI                        |
| Class A Common Stock      |             |          | 3/12/2021      |              | $\mathbf{S}^{(2)}$ |          | 6110       | D        | \$267.6815 (6)            | 3800   | I                          | Holdings,<br>LLC (1)          |
|                           |             |          |                |              |                    |          |            |          |                           |  |                            | By CZI                        |
| Class A Common Stock      |             |          | 3/12/2021      |              | $s^{(2)}$          |          | 3400       | D        | \$268.5531 <sup>(7)</sup> | 400  | I                          | Holdings,<br>LLC (1)          |
|                           |             |          |                |              |                    |          |            |          |                           |  |                            | By CZI                        |
| Class A Common Stock      |             |          | 3/12/2021      |              | $S^{(2)}$          |          | 400        | D        | \$269.3000 (8)            | 0  | I                          | Holdings,<br>LLC (1)          |
|                           |             |          |                |              |                    |          |            |          |                           |  |                            | By Chan                       |
| Class A Common Stock      |             |          | 3/12/2021      |              | S <sup>(9)</sup>   |          | 1200       | D        | \$264.7337 (10)           | 2959744  | I                          | Zuckerberg<br>Initiative      |
|                           |             |          |                |              |                    |          |            |          |                           |  |                            | Foundation (11                |
|                           |             |          |                |              |                    |          |            |          |                           |  |                            | By Chan<br>Zuckerberg         |
| Class A Common Stock      |             |          | 3/12/2021      |              | S <sup>(9)</sup>   |          | 2657       | D        | \$265.7381 (12)           | 2957087  | I                          | Initiative                    |
|                           |             |          |                |              |                    |          |            |          |                           |  | <u> </u>                   | Foundation (11                |
| Class A Common Stock      |             |          | 3/12/2021      |              | S <sup>(9)</sup>   |          | 3200       | D        | \$266.6212 (13)           | 2953887  | I                          | By Chan<br>Zuckerberg         |
| Class A Common Stock      |             |          | 3/12/2021      |              | 3                  |          | 3200       | В        | \$200.0212                | 2733667  | 1                          | Initiative<br>Foundation (11) |
|                           |             |          |                |              |                    |          |            |          |                           |  |                            | By Chan                       |
| Class A Common Stock      |             |          | 3/12/2021      |              | S <sup>(9)</sup>   |          | 2500       | D        | \$267.6529 (14)           | 2951387  | I                          | Zuckerberg<br>Initiative      |
|                           |             |          |                |              |                    |          |            |          |                           |  |                            | Foundation (11                |
|                           |             |          |                |              | -                  |          |            |          |                           |  |                            | By Chan<br>Zuckerberg         |
| Class A Common Stock      |             |          | 3/12/2021      |              | $S^{(9)}$          |          | 1243       | D        | \$268.4796 (15)           | 2950144  | I                          | Initiative                    |
|                           |             |          |                |              |                    |          |            |          |                           |  | <u> </u>                   | Foundation (11) By Chan       |
| Class A Common Stock      |             |          | 3/12/2021      |              | S(9)               |          | 200        | D        | \$269.33                  | 2949944  | I                          | Zuckerberg                    |
| Sand I Common Stock       |             |          | 0,12,2021      |              | 3                  |          | 200        |          | Q207.00                   | 2/1//11  | -                          | Initiative<br>Foundation (11  |
|                           |             |          |                |              |                    |          |            |          |                           |  | <u> </u>                   | By Mark                       |
|                           |             |          |                |              |                    |          |            |          |                           |  |                            | Zuckerberg,<br>Trustee Of The |
| •                         |             |          |                | •            | •                  | •        | •          | •        | •                         | •  | •                          | •                             |

| 1.Title of Security<br>(Instr. 3)              |   |                   | 2. Tran                                 | 2. Trans. Date           |      | . Deeme<br>ecution<br>te, if an |      | 3. Trans. Code<br>(Instr. 8)                                  |          | 4. Securities Acquired (A) or<br>Disposed of (D)<br>(Instr. 3, 4 and 5) |                   |           |                          | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) |   |  | 6.<br>Ownership<br>Form:   | 7. Nature of<br>Indirect<br>Beneficial   |
|--|---|-------------------|---|--------------------------|------|---------------------------------|------|---|----------|---|-------------------|-----------|--------------------------|---|---|--|--|--|
|  |   |                   |   | 5                        | ,    | ,                               | Code | v   | Amount   | (A) or  | Price             |           | (msu. 9 unu 1)           |   |   |  | Ownership  |  |
| Class A Common Stock                           |   |                   | 3/12/                                   | 2021                     |      |                                 |      | C   | V        | 4750  | A                 | \$0       |                          |   | 4750  |  | I  | Mark Zuckerberg Trust Dated July 7, 2006 (16)  |
| Class A Common Stock                           |   |                   | 3/12/                                   | 2021                     |      |                                 |      | S <sup>(17)</sup>   |          | 600   | D                 | \$264.910 | 0 (18)                   |   | 4150  |  | I  | By Mark<br>Zuckerberg,<br>Trustee Of Th<br>Mark<br>Zuckerberg<br>Trust Dated<br>July 7,<br>2006 (16) |
| Class A Common Stock                           |   |                   | 3/12/                                   | 2021                     |      |                                 |      | S <sup>(17)</sup>   |          | 1070  | D                 | \$265.743 | <b>4</b> <sup>(19)</sup> |   | 3080  |  | I  | By Mark<br>Zuckerberg,<br>Trustee Of Th<br>Mark<br>Zuckerberg<br>Trust Dated<br>July 7,<br>2006 (16) |
| Class A Common Stock                           |   |                   | 3/12/                                   | 2021                     |      |                                 |      | S <sup>(17)</sup>   |          | 1260  | D                 | \$266.602 | 6 <sup>(20)</sup>        | 1820  |   |  | 1  | By Mark<br>Zuckerberg,<br>Trustee Of Th<br>Mark<br>Zuckerberg<br>Trust Dated<br>July 7,<br>2006 (16) |
| Class A Common Stock                           |   |                   | 3/12/                                   | 2021                     |      |                                 |      | S <sup>(17)</sup>   |          | 1230  | D                 | \$267.638 | 9 ( <u>21)</u>           | 590   |   |  | I  | By Mark<br>Zuckerberg,<br>Trustee Of Th<br>Mark<br>Zuckerberg<br>Trust Dated<br>July 7,<br>2006 (16) |
| Class A Common Stock                           |   |                   | 3/12/                                   | 2021                     |      |                                 |      | s <sup>(17)</sup>   |          | 500 D S2  |                   | \$268.490 | 7 <sup>(22)</sup>        | 90  |   | I  | By Mark<br>Zuckerberg,<br>Trustee Of Th<br>Mark<br>Zuckerberg<br>Trust Dated<br>July 7,<br>2006 (16) |  |
| Class A Common Stock                           |   |                   | 3/12/                                   | 2021                     |      |                                 |      | S <sup>(17)</sup>   |          | 90  | D \$269,2989 (23) |           | 0                        |   | I   | By Mark<br>Zuckerberg,<br>Trustee Of Th<br>Mark<br>Zuckerberg<br>Trust Dated<br>July 7,<br>2006 (16) |  |  |
|  | T   | able II - De      | erivative S                             | Secur                    | itie | s Ben                           | efic | cially Ow   | ned      | ( <i>e.g.</i> , p   | uts, ca           | ılls, war | rant                     | ts, options, c  | onvertible  | e securities)  |  |  |
| 1. Title of<br>Derivate Security<br>(Instr. 3) | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Trans.<br>Date | 3A. Deemed<br>Execution<br>Date, if any | 4. Tra<br>Code<br>(Instr |      | 8) Der<br>Sec<br>(A)<br>(D)     |      | nber of<br>tive<br>ties Acquire<br>Disposed of<br>3, 4 and 5) | d Ex     | Date Exer<br>piration D   |                   | Securi    | ities U<br>ative S       | Amount of<br>Inderlying<br>Security<br>4)   | 8. Price of<br>Derivative<br>Security<br>(Instr. 5) | 9. Number of<br>derivative<br>Securities<br>Beneficially<br>Owned<br>Following                       | Form of  | 11. Nature of<br>Indirect<br>Beneficial<br>Ownership<br>(Instr. 4)                                   |
|  |   |                   |   | Cod                      | le   |                                 | A)   | (D)   | Da<br>Ex | te<br>ercisable   | Expirati<br>Date  | on Title  |                          | Amount or<br>Number of<br>Shares  |   | Reported<br>Transaction(s)<br>(Instr. 4)   | or Indirect  |  |
| Class B<br>Common<br>Stock (24)                | (24)  | 3/12/2021         |   | С                        |      |                                 |      | 29000   |          | (24)  | (24)              | Con       | nss A<br>nmon<br>ock     | 29000   | \$0   | 353122564  | I  | By CZI<br>Holdings,<br>LLC (1)   |
| Class B<br>Common<br>Stock <sup>(24)</sup>     | (24)  | 3/12/2021         |   | С                        |      |                                 |      | 4750  |          | (24)  | <u>(24)</u>       | Con       | nss A<br>nmon<br>ock     | 4750  | \$0   | 5434810  | I  | By Mark<br>Zuckerberg,<br>Trustee Of Th<br>Mark<br>Zuckerberg<br>Trust Dated<br>July 7,<br>2006 (16) |
| Class B<br>Common<br>Stock (24)                | (24)  |                   |   |                          |      |                                 |      |   |          | (24)  | (24)              | Con       | ass A<br>nmon<br>ock     | 1908602   |   | 1908602  | I  | By Chan Zuckerberg Initiative Foundation (1)   |

#### **Explanation of Responses:**

- (1) Shares held of record by CZI Holdings, LLC ("CZI"). Mark Zuckerberg, Trustee of the Mark Zuckerberg Trust dated July 7, 2006 ("2006 Trust"), is the sole member of CZI. Mr. Zuckerberg is the sole trustee of the 2006 Trust and, therefore, is deemed to have sole voting and investment power over the securities held by CZI.
- (2) The sales reported were effected by CZI pursuant to its Rule 10b5-1 trading plan.
- (3) The reported price in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$264.24 to \$265.21 per share. The holder undertakes to provide to the issuer, any security holder of the issuer, or the staff of the Securities and Exchange Commission, upon written request, full information regarding the number of shares sold at each separate price within the range set forth in this footnote.
- (4) The reported price in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$265.24 to \$266.22 per share. The holder undertakes to provide to the issuer, any security holder of the issuer, or the staff of the Securities and Exchange Commission, upon written request, full information regarding the number of shares sold at each separate price within the range set forth in this footnote.
- (5) The reported price in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$266.25 to \$267.24 per share. The holder undertakes to provide to the issuer, any security holder of the issuer, or the staff of the Securities and Exchange Commission, upon written request, full information regarding the number of shares sold at each separate price within the range set forth in this footnote.
- (6) The reported price in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$267.25 to \$268.24 per share. The holder undertakes to provide to the issuer, any security holder of the issuer, or the staff of the Securities and Exchange Commission, upon written request, full information regarding the number of shares sold at each separate price within the range set forth in this footnote.
- (7) The reported price in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$268.25 to \$269.19 per share. The holder undertakes to provide to the issuer, any security holder of the issuer, or the staff of the Securities and Exchange Commission, upon written request, full information regarding the number of shares sold at each separate price within the range set forth in this footnote.
- (8) The reported price in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$269.26 to \$269.34 per share. The holder undertakes to provide to the issuer, any security holder of the issuer, or the staff of the Securities and Exchange Commission, upon written request, full information regarding the number of shares sold at each separate price within the range set forth in this footnote.
- (9) The sales reported were effected by Mark Zuckerberg, Trustee and Settlor of the Chan Zuckerberg Initiative Foundation ("CZI Foundation"), formerly known as the Chan Zuckerberg Foundation, pursuant to its Rule 10b5-1 trading plan.
- (10) The reported price in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$264.14 to \$265.125 per share. The holder undertakes to provide to the issuer, any security holder of the issuer, or the staff of the Securities and Exchange Commission, upon written request, full information regarding the number of shares sold at each separate price within the range set forth in this footnote.
- (11) Shares held of record by CZI Foundation. The reporting person is deemed to have voting and investment power over the shares held by CZI Foundation, but has no pecuniary interest in these shares.
- (12) The reported price in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$265.14 to \$266.11 per share. The holder undertakes to provide to the issuer, any security holder of the issuer, or the staff of the Securities and Exchange Commission, upon written request, full information regarding the number of shares sold at each separate price within the range set forth in this footnote.
- (13) The reported price in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$266.14 to \$267.135 per share. The holder undertakes to provide to the issuer, any security holder of the issuer, or the staff of the Securities and Exchange Commission, upon written request, full information regarding the number of shares sold at each separate price within the range set forth in this footnote.
- (14) The reported price in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$267.16 to \$268.15 per share. The holder undertakes to provide to the issuer, any security holder of the issuer, or the staff of the Securities and Exchange Commission, upon written request, full information regarding the number of shares sold at each separate price within the range set forth in this footnote.
- (15) The reported price in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$268.17 to \$269.04 per share. The holder undertakes to provide to the issuer, any security holder of the issuer, or the staff of the Securities and Exchange Commission, upon written request, full information regarding the number of shares sold at each separate price within the range set forth in this footnote.
- (16) Shares held of record by Mark Zuckerberg, Trustee of the 2006 Trust.
- (17) The sales reported were effected by Mark Zuckerberg, Trustee of the 2006 Trust pursuant to its Rule 10b5-1 trading plan.
- (18) The reported price in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$264.16 to \$265.15 per share. The holder undertakes to provide to the issuer, any security holder of the issuer, or the staff of the Securities and Exchange Commission, upon written request, full information regarding the number of shares sold at each separate price within the range set forth in this footnote.
- (19) The reported price in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$265.17 to \$266.12 per share. The holder undertakes to provide to the issuer, any security holder of the issuer, or the staff of the Securities and Exchange Commission, upon written request, full information regarding the number of shares sold at each separate price within the range set forth in this footnote.
- (20) The reported price in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$266.18 to \$267.165 per share. The holder undertakes to provide to the issuer, any security holder of the issuer, or the staff of the Securities and Exchange Commission, upon written request, full information regarding the number of shares sold at each separate price within the range set forth in this footnote.
- (21) The reported price in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$267.18 to \$268.14 per share. The holder undertakes to provide to the issuer, any security holder of the issuer, or the staff of the Securities and Exchange Commission, upon written request, full information regarding the number of shares sold at each separate price within the range set forth in this footnote.
- (22) The reported price in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$268.21 to \$268.90 per share. The holder undertakes to provide to the issuer, any security holder of the issuer, or the staff of the Securities and Exchange Commission, upon written request, full information regarding the number of shares sold at each separate price within the range set forth in this footnote.
- (23) The reported price in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$269.25 to \$269.33 per share. The holder undertakes to provide to the issuer, any security holder of the issuer, or the staff of the Securities and Exchange Commission, upon written

- request, full information regarding the number of shares sold at each separate price within the range set forth in this footnote.
- (24) The Class B Common Stock is convertible into the issuer's Class A Common Stock on a 1-for-1 basis (a) at the holder's option or (b) upon certain transfers of such shares and has no expiration date.

### **Reporting Owners**

| Reporting Owner Name / Address  | Relationships |           |             |       |  |  |  |  |  |
|---|---------------|-----------|-------------|-------|--|--|--|--|--|
| Reporting Owner Name / Address  | Director      | 10% Owner | Officer     | Other |  |  |  |  |  |
| Zuckerberg Mark<br>C/O FACEBOOK, INC.<br>1601 WILLOW ROAD<br>MENLO PARK, CA 94025 | X             | X         | COB and CEO |       |  |  |  |  |  |

#### **Signatures**

/s/ Michael Johnson, attorney-in-fact for Mark Zuckerberg

\*\*Signature of Reporting Person

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

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