

[] Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. *See* Instruction 1(b).

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL
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## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

| Name and Address of Reporting Person *         |   |                   |  |                         | 2. Issuer Name <b>and</b> Ticker or Trading Symbol |                           |             |   |        |                    |                       |  | 5. Relationship of Reporting Person(s) to Issuer (Check all applicable) |             |  |  |  |
|--|---|-------------------|--|-------------------------|--|---------------------------|-------------|---|--------|--------------------|-----------------------|--|---|-------------|--|--|--|
| Desmond-Ho                                     | ellmann S   | Susan             |  | Fa                      | ceb  | ook I                     | nc [ FB     | ]   |        |                    |                       |  |   |             |  |  |  |
| (Last) (First) (Middle)                        |   |                   |  | 3. 1                    | 3. Date of Earliest Transaction (MM/DD/YYYY)       |                           |             |   |        |                    |                       |  | X _ Director10% Owner   |             |  |  |  |
|  |   |                   |  |                         |  |                           |             |   |        |                    |                       |  | Officer (give title below) Other (specify below)                        |             |  |  |  |
| C/O FACEB<br>ROAD                              | OOK, IN   | IC., 1601         | WILL                                   | OW                      |  |                           | 2/1         | 5/20  | 17     |                    |                       |  |   |             |  |  |  |
|  | (Stre   | et)               |  | 4. 1                    | lf Am  | endme                     | ent, Date ( | Origin  | al Fil | ed (MM/I           | DD/YYY                | 7) 6   | 5. Individual o   | or Joint/G  | roup Filing  | (Check Appl                                    | licable Line)  |
| MENLO PA                                       | RK, CA  | 94025             |  |                         |  |                           |             |   |        |                    |                       |  | X _ Form filed by   |             | orting Person One Reporting F  |  |  |
| (C   | ity) (Sta   | te) (Zip          | )                                      |                         |  |                           |             |   |        |                    |                       |  | Form filed by   | More than C | one Reporting P  | erson  |  |
|  |   |                   | Table I -                              | Non-Der                 | ivati  | ve Sec                    | urities Ac  | equire  | ed, Di | sposed             | of, or B              | ene  | ficially Own  | ed          |  |  |  |
| 1. Title of Security (Instr. 3) 2. Trans.      |   |                   | Γrans. Date                            | 2A. D<br>Execu<br>Date, |  | 3. Trans. C<br>(Instr. 8) |             | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) |        |                    | Foll                  | Following Reported Transaction(s)  Ownership of India (Instr. 3 and 4)  Ownership Form:  Benefic |   |             |  | Beneficial                                     |  |
|  |   |                   |  |                         |  |                           | Code        | v   | Amou   | (A) cont (D)       |                       |  |   |             |  | Direct (D)<br>or Indirect<br>(I) (Instr.<br>4) | Ownership<br>(Instr. 4)  |
| Class A Common Stock 2/15/201                  |   |                   |  | /15/2017                | 7  |                           | М           |   | 1250   | A                  | \$0                   |  | 25041   |             |  | I  | By<br>Hellmann<br>Family   |
|  |   |                   |  |                         |  |                           |             |   |        |                    |                       |  |   |             |  |  | Trust (1)  |
|  | Tabl  | le II - Deri      | vative Se                              | curities l              | Benef  | ficially                  | Owned (     | e.g. ,  | puts   | calls, w           | arrant                | s, op  | otions, conve   | rtible sec  | eurities)  |  |  |
| 1. Title of Derivate<br>Security<br>(Instr. 3) | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Trans.<br>Date | 3A. Deeme<br>Execution<br>Date, if any | Code                    | Derivative Securities (A) or D (D)                 |                           |             | 6. Date<br>Expira   |        |                    |                       |  |   |             | 9. Number of<br>derivative<br>Securities<br>Beneficially<br>Owned<br>Following | Security:<br>Direct (D)                        | 11. Nature<br>of Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |
|  |   |                   |  | Code                    | v  | (A)                       | (D)         | Date<br>Exerci  |        | Expiration<br>Date | Title                 |  | Amount or<br>Number of<br>Shares  |             | Reported<br>Transaction(s)<br>(Instr. 4)                                       | or Indirect<br>(I) (Instr.<br>4)               |  |
| Restricted Stock<br>Units (RSU) (Class<br>A)   | <u>(2)</u>  | 2/15/2017         |  | М                       |  |                           | 1250        | <u>(3</u>   | 3)     | 4/12/2023          | Class<br>Comr<br>Stoc | non  | 1250  | \$0         | 417  | D  |  |

## **Explanation of Responses:**

- The shares are held of record by Nicholas S. Hellmann and Susan D. Desmond-Hellmann as the co-trustees of Hellmann Family Trust.
- Each RSU represents a contingent right to receive 1 share of the Issuer's Class A Common Stock upon settlement.
- ( The RSU vests as to 1/4 of the total shares underlying the RSU on May 15, 2014 and 1/16 of the total shares underlying the RSU vest on each subsequent
- 3) quarterly vesting date, subject to the continued service to the issuer through each quarterly vesting date.

#### **Reporting Owners**

| Reporting Owner Name / Addres | 0        | Relationships |   |       |  |  |  |  |  |
|-------------------------------|----------|---------------|---|-------|--|--|--|--|--|
| Reporting Owner Name / Addres | Director | 10% Owner     | 1 | Other |  |  |  |  |  |
| Desmond-Hellmann Susan        |          |               |   |       |  |  |  |  |  |
| C/O FACEBOOK, INC.            | X        |               |   |       |  |  |  |  |  |
| 1601 WILLOW ROAD              | 11       |               |   |       |  |  |  |  |  |
| MENLO PARK, CA 94025          |          |               |   |       |  |  |  |  |  |

### **Signatures**

/s/ Michael Johnson as attorney-in-fact for Susan Desmond-Hellmann

2/15/2017

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.