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# UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL OMB Number: 3235-0287 Estimated average burden hours per response... 0.5

# STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of	of Reporti	ng Perso	n *	2. Issuer N	ame and	Tick	er or Ti	rading	Symbol	5. Relationship of Reporting Pe (Check all applicable)	rson(s) to	Issuer
Zuckerberg Marl	k			Faceboo	k Inc [	FB	]					
(Last)	(First)	(Middl	e)	3. Date of	Earliest T	rans	action (	MM/DD	/YYYY)		_ 10% Owne	
										_X_ Officer (give title below) COB and CEO	_ Other (spe	cify below)
C/O FACEBOOK	K, INC.,	, 1601	WILLOW	7		11/2	20/202	20		COD and CEO		
ROAD	(Street)			4 TC A	1 D		· · · 1	F'1 1				
	(Sirect)			4. If Amer	iament, D	ate C	riginai	riied	(MM/DD/YYY	Y) 6. Individual or Joint/Group File	ing (Check	Applicable Line
MENLO PARK,	CA 940	25								X _ Form filed by One Reporting Person		
(City)	(State)	(Zip)								Form filed by More than One Report	ing Person	
		Т	abla I Non	Dorivativa	Saguritia	s A a	anirad	Dien	osad of ar E	Beneficially Owned		
1.Title of Security		17		2A. Deemed	3. Trans. C				uired (A) or	5. Amount of Securities Beneficially Owned	6	7. Nature of
(Instr. 3)			2. Halls, Date	Execution Date, if any	(Instr. 8)		Disposed	d of (D)	• • •	Following Reported Transaction(s)	Ownership Form:	
				Date, if any			(Instr. 3,	4 and 5		(ilisti. 3 alid 4)	Direct (D)	Ownership
								(A) or			or Indirect (I) (Instr.	(Instr. 4)
					Code	V	Amount	(D)	Price		4)	By CZI
Class A Common Stock			11/20/2020		C		29000	A	\$0	29000	I	Holdings,
												LLC (1) By CZI
Class A Common Stock			11/20/2020		$S^{(2)}$		6200	D	\$270.3319 (3)	22800	I	Holdings,
												LLC (1) By CZI
Class A Common Stock			11/20/2020		S <sup>(2)</sup>		14100	D	\$271.0924 (4)	8700	I	Holdings,
												LLC (1) By CZI
Class A Common Stock			11/20/2020		s <sup>(2)</sup>		8200	D	\$272.0467 (5)	500	I	Holdings,
												LLC (1) By CZI
Class A Common Stock			11/20/2020		s <sup>(2)</sup>		500	D	\$272.7730 <u>(6)</u>	0	I	Holdings,
												LLC (1) By Chan
Class A Common Stock			11/20/2020		s <sup>(7)</sup>		2450	D	\$270.3494 (8)	3853460	I	Zuckerberg
Canada i a commitori di com			11/20/2020		3		2.00		\$270.3474	3332100	_	Initiative Foundation (9)
												By Chan
Class A Common Stock			11/20/2020		S <sup>(7)</sup>		5150	D	\$271.1043 (10)	3848310	I	Zuckerberg Initiative
												Foundation (9)
Class A Common Stock			11/20/2020		s <sup>(7)</sup>		3050	D	\$272.0467 (11)	3845260	I	By Chan Zuckerberg
Class A Common Stock			11/20/2020		S		3030	D	\$2/2.046/	3643200	1	Initiative Foundation (9)
												By Chan
Class A Common Stock			11/20/2020		S <sup>(7)</sup>		350	D	\$272.8696 (12)	3844910	I	Zuckerberg Initiative
												Foundation (9)
												By Mark Zuckerberg,
					(12)				4.0			Trustee Of The Mark
Class A Common Stock			11/20/2020		S(13)		1080	D	\$270.3719 (14)	63099	I	Zuckerberg 2014 GRAT
												No. 3 Dated
											-	5/8/2014 (15) By Mark
												Zuckerberg,
Class A Company Start			11/20/2020		S(13)		2260	p.	6271 1041 (16)	60020		Trustee Of The Mark
Class A Common Stock			11/20/2020		8(13)		2260	D	\$271.1041 (16)	60839	I	Zuckerberg 2014 GRAT
												No. 3 Dated
							-				-	5/8/2014 (15) By Mark
												Zuckerberg, Trustee Of
I			ļ ļ	l	I	1	1	l	Į.	I	1	11 usice Of

1.Title of Security (Instr. 3)			2. Trans	. Date	2A. Deemed Execution Date, if any		3. Trans. Coc (Instr. 8)		Dispose	rities Acquired (A) or ed of (D) 3, 4 and 5)		ed (A) or	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)		Ownership Form:	7. Nature of Indirect Beneficial Ownership		
								Code	V	Amount	(A) or (D)		Price					(Instr. 4)
Class A Common	Stock		11/20/	2020				<b>S</b> <sup>(13)</sup>		1300	D	\$2'	72.1204 (17)		59539		Ĭ	The Mark Zuckerberg 2014 GRAT No. 3 Dated 5/8/2014 (15)
Class A Common	Stock		11/20/	2020				S <sup>(13)</sup>		110	D	\$2*	72.8341 <sup>(18)</sup>		59429		I	By Mark Zuckerberg, Trustee Of The Mark Zuckerberg 2014 GRAT No. 3 Dated 5/8/2014 (15)
Class A Common	Stock		11/20/	2020				<b>S</b> <sup>(19)</sup>		1030	D	\$2	70.3392 (20)		50070		I	By Chan Zuckerberg Initiative Advocacy (21)
Class A Common	Stock		11/20/	2020				S <sup>(19)</sup>		2460	D	\$2	71.0945 (22)		47610		I	By Chan Zuckerberg Initiative Advocacy (21)
Class A Common	Stock		11/20/	2020				<b>S</b> <sup>(19)</sup>		1370	D	\$2	72.1033 (23)		46240		I	By Chan Zuckerberg Initiative Advocacy (21)
Class A Common	Stock		11/20/	2020				<b>S</b> (19)		140	D	\$2'	72.8964 <sup>(24)</sup>		46100		I	By Chan Zuckerberg Initiative Advocacy (21)
Class A Common	Stock														89059		I	By Mark Zuckerberg, Trustee Of The Mark Zuckerberg Trust Dated July 7, 2006 (25)
	Ta	able II - De	rivative S	ecuri	ities	Bene	efici	ially Owr	ied	( <i>e.g.</i> , pı	ıts, ca	lls,	warrants	s, options, co	nvertible	securities)		
1. Title of Derivate Security (Instr. 3)	Title of 2. 3. Trans. 3A. Deemed 4. Execution Conversion Date		Code	Deriv Secur (A) or (D)							Jnderlying Derivative derivative Security Securities		Ownership Form of Derivative Security: Direct (D)	Beneficial				
				Coo	de	V (	A)	(D)	Da Ex	ite ercisable	Expirati Date	ion	Title	Amount or Number of Shares		Reported Transaction(s) (Instr. 4)	or Indirect (I) (Instr. 4)	
Class B Common Stock (26)	<u>(26)</u>	11/20/2020		С				29000		(26)	<u>(26)</u>	!	Class A Common Stock	29000	\$0	355461025	I	By CZI Holdings, LLC (1)
Class B Common Stock <sup>(26)</sup>	<u>(26)</u>									(26)	<u>(26)</u>	!	Class A Common Stock	5676058		5676058	I	By Mark Zuckerberg, Trustee Of The Mark Zuckerberg Trust Dated July 7, 2006 (25)
Class B Common Stock (26)	(26)									(26)	(26)	!	Class A Common Stock	1908602		1908602	I	By Chan Zuckerberg Initiative Foundation

#### **Explanation of Responses:**

- (1) Shares held of record by CZI Holdings, LLC ("CZI"). Mark Zuckerberg, Trustee of the Mark Zuckerberg Trust dated July 7, 2006 ("2006 Trust"), is the sole member of CZI. Mr. Zuckerberg is the sole trustee of the 2006 Trust and, therefore, is deemed to have sole voting and investment power over the securities held by CZI.
- (2) The sales reported were effected by CZI pursuant to its Rule 10b5-1 trading plan.
- (3) The reported price in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$269.61 to \$270.60 per share. The holder undertakes to provide to the issuer, any security holder of the issuer, or the staff of the Securities and Exchange Commission, upon written request, full information regarding the number of shares sold at each separate price within the range set forth in this footnote.
- (4) The reported price in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$270.61 to \$271.60 per share. The holder undertakes to provide to the issuer, any security holder of the issuer, or the staff of the Securities and Exchange Commission, upon written

- request, full information regarding the number of shares sold at each separate price within the range set forth in this footnote.
- (5) The reported price in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$271.61 to \$272.505 per share. The holder undertakes to provide to the issuer, any security holder of the issuer, or the staff of the Securities and Exchange Commission, upon written request, full information regarding the number of shares sold at each separate price within the range set forth in this footnote.
- (6) The reported price in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$272.66 to \$272.95 per share. The holder undertakes to provide to the issuer, any security holder of the issuer, or the staff of the Securities and Exchange Commission, upon written request, full information regarding the number of shares sold at each separate price within the range set forth in this footnote.
- (7) The sales reported were effected by Mark Zuckerberg, Trustee and Settlor of the Chan Zuckerberg Initiative Foundation ("CZI Foundation"), formerly known as the Chan Zuckerberg Foundation, pursuant to its Rule 10b5-1 trading plan.
- (8) The reported price in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$269.61 to \$270.60 per share. The holder undertakes to provide to the issuer, any security holder of the issuer, or the staff of the Securities and Exchange Commission, upon written request, full information regarding the number of shares sold at each separate price within the range set forth in this footnote.
- (9) Shares held of record by CZI Foundation. The reporting person is deemed to have voting and investment power over the shares held by CZI Foundation, but has no pecuniary interest in these shares.
- (10) The reported price in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$270.62 to \$271.60 per share. The holder undertakes to provide to the issuer, any security holder of the issuer, or the staff of the Securities and Exchange Commission, upon written request, full information regarding the number of shares sold at each separate price within the range set forth in this footnote.
- (11) The reported price in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$271.63 to \$272.56 per share. The holder undertakes to provide to the issuer, any security holder of the issuer, or the staff of the Securities and Exchange Commission, upon written request, full information regarding the number of shares sold at each separate price within the range set forth in this footnote.
- (12) The reported price in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$272.75 to \$272.98 per share. The holder undertakes to provide to the issuer, any security holder of the issuer, or the staff of the Securities and Exchange Commission, upon written request, full information regarding the number of shares sold at each separate price within the range set forth in this footnote.
- (13) The sales reported were effected by Mark Zuckerberg, Trustee of The Mark Zuckerberg 2014 GRAT No. 3, dated 5/8/2014 pursuant to its Rule 10b5-1 trading plan.
- (14) The reported price in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$269.61 to \$270.60 per share. The holder undertakes to provide to the issuer, any security holder of the issuer, or the staff of the Securities and Exchange Commission, upon written request, full information regarding the number of shares sold at each separate price within the range set forth in this footnote.
- (15) Shares held of record by Mark Zuckerberg, Trustee of The Mark Zuckerberg 2014 GRAT No. 3, dated 5/8/2014.
- (16) The reported price in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$270.61 to \$271.60 per share. The holder undertakes to provide to the issuer, any security holder of the issuer, or the staff of the Securities and Exchange Commission, upon written request, full information regarding the number of shares sold at each separate price within the range set forth in this footnote.
- (17) The reported price in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$271.62 to \$272.61 per share. The holder undertakes to provide to the issuer, any security holder of the issuer, or the staff of the Securities and Exchange Commission, upon written request, full information regarding the number of shares sold at each separate price within the range set forth in this footnote.
- (18) The reported price in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$272.75 to \$272.88 per share. The holder undertakes to provide to the issuer, any security holder of the issuer, or the staff of the Securities and Exchange Commission, upon written request, full information regarding the number of shares sold at each separate price within the range set forth in this footnote.
- (19) The sales reported were effected by Chan Zuckerberg Initiative Advocacy ("CZI Advocacy"), formerly known as Chan Zuckerberg Advocacy, pursuant to its Rule 10b5-1 trading plan.
- (20) The reported price in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$269.61 to \$270.58 per share. The holder undertakes to provide to the issuer, any security holder of the issuer, or the staff of the Securities and Exchange Commission, upon written request, full information regarding the number of shares sold at each separate price within the range set forth in this footnote.
- (21) Shares held of record by CZI Advocacy. The reporting person is deemed to have voting and investment power over the shares held by CZI Advocacy, but has no pecuniary interest in these shares.
- (22) The reported price in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$270.61 to \$271.585 per share. The holder undertakes to provide to the issuer, any security holder of the issuer, or the staff of the Securities and Exchange Commission, upon written request, full information regarding the number of shares sold at each separate price within the range set forth in this footnote.
- (23) The reported price in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$271.64 to \$272.56 per share. The holder undertakes to provide to the issuer, any security holder of the issuer, or the staff of the Securities and Exchange Commission, upon written request, full information regarding the number of shares sold at each separate price within the range set forth in this footnote.
- (24) The reported price in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$272.83 to \$272.98 per share. The holder undertakes to provide to the issuer, any security holder of the issuer, or the staff of the Securities and Exchange Commission, upon written request, full information regarding the number of shares sold at each separate price within the range set forth in this footnote.
- (25) Shares held of record by Mark Zuckerberg, Trustee of the 2006 Trust.
- (26) The Class B Common Stock is convertible into the issuer's Class A Common Stock on a 1-for-1 basis (a) at the holder's option or (b) upon certain transfers of such shares and has no expiration date.

### **Reporting Owners**

Reporting Owner Name / Address	Relationships					
Reporting Owner Name / Address	Director 10% Owner	Officer	Other			

Zuckerberg Mark				
C/O FACEBOOK, INC.	v	v	COB and CEO	
1601 WILLOW ROAD	Λ	Λ	СОБ апа СЕО	
MENLO PARK, CA 94025				

## **Signatures**

/s/ Michael Johnson, attorney-in-fact for Mark Zuckerberg 11/23/2020 Date

\*\*Signature of Reporting Person

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

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