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# UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

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## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address o	f Reporti	ng Person *		2. Issuer N	lame and	Tick	er or T	rading	Symbol	5. Relationship of Reporting Pe (Check all applicable)	erson(s) to	Issuer
Zuckerberg Mark	ζ.			Faceboo	k Inc [	FB	1					
)	(First)	(Middle)		3. Date of				MM/DI	D/YYYY)	X_ DirectorX	10% Own	ner
(Eust)	(1130)	(midule)					`		,	_X_ Officer (give title below)	_ Other (sp	ecify below)
C/O FACEBOOK ROAD	, INC.,	, 1601 WI	LLOW	7		11/	18/202	20		COB and CEO		
KOND	(Street)			4. If Amer	ndment. D	ate (	Original	Filed	(MM/DD/YYY	Y) 6. Individual or Joint/Group Fil	ing (Check	Applicable Line)
MENI O DADIZ	G + 0 40				,					, and a supplied the supplied to the supplied	8 (* **	11,
MENLO PARK,										X Form filed by One Reporting Person Form filed by More than One Report		
(City)	(State)	(Zip)		1								
		Table	e I - Non-	Derivative	Securition	es Ac	equired	, Disp	osed of, or l	Beneficially Owned		
1.Title of Security		2. T		2A. Deemed	3. Trans. C	ode			quired (A) or	5. Amount of Securities Beneficially Owned		7. Nature of
(Instr. 3)				Execution Date, if any	(Instr. 8)		Disposed (Instr. 3,		)	Following Reported Transaction(s) (Instr. 3 and 4)	Ownership Form:	Beneficial
												Ownership (Instr. 4)
					Code	V	Amount	(A) or (D)	Price		(I) (Instr. 4)	
						,	Timount	(2)				By CZI
Class A Common Stock		11,	/18/2020		C		35200	A	\$0	35200	I	Holdings, LLC (1)
					(2)				(2)		_	By CZI
Class A Common Stock		11,	/18/2020		<u>s<sup>(2)</sup></u>		873	D	\$272.3282 (3)	34327	I	Holdings, LLC (1)
					(2)				(4)		_	By CZI
Class A Common Stock		11,	/18/2020		S <sup>(2)</sup>		4900	D	\$273.4714 (4)	29427	I	Holdings, LLC (1)
Class A Common Stool		11	/19/2020		~(2)		10075	n	(5)	19352	I	By CZI Holdings,
Class A Common Stock		11,	/18/2020		S <sup>(2)</sup>		100/5	D	\$274.3284 (5)	19352	1	LLC (1)
Class A Common Stock		11	/18/2020		S(2)		14300	D	0277 2400 (6)	5052	I	By CZI Holdings,
Class A Common Stock		11,	/18/2020		Star		14300	ע	\$275.2108 <sup>(6)</sup>	3032		LLC (1)
Class A Common Stock		11.	/18/2020		S <sup>(2)</sup>		3800	D	\$276.2718 (7)	1252	I	By CZI Holdings,
Class 11 Common Stock		11,	710/2020		S—		2000		\$276.2718	1202		LLC (1)
Class A Common Stock		11.	/18/2020		<u>s(2)</u>		1252	D	\$277.0182 (8)	0	I	By CZI Holdings,
					5				9277.0102	·		LLC (1)
			/10/2020		S <sup>(9)</sup>		250		(10)	2000212		By Chan Zuckerberg
Class A Common Stock		11,	/18/2020		Sizi		250	D	\$272.1819 (10)	3880313	I	Initiative Foundation (11)
												By Chan
Class A Common Stock		11.	/18/2020		S <sup>(9)</sup>		2800	D	\$273.6510 (12)	3877513	I	Zuckerberg Initiative
												Foundation (11)
Class A Common Stock		11	/18/2020		<u>s<sup>(9)</sup></u>		5200	D	\$274.6859 (13)	3872313	I	By Chan Zuckerberg
Class A Common Stock		11,	/18/2020		5—		3200	D	32/4.0039	36/2313	1	Initiative Foundation (11)
												By Chan
Class A Common Stock		11.	/18/2020		S <sup>(9)</sup>		3853	D	\$275.4182 (14)	3868460	I	Zuckerberg Initiative
												Foundation (11) By Chan
Class A Common Stock		11	/18/2020		S <sup>(9)</sup>		1500	D	\$276.5792 (15)	3866960	I	Zuckerberg
Cass is Common Stock			, 10, 202U		5		1500	D	#410.3174	2000/00		Initiative Foundation (11)
												By Chan
Class A Common Stock		11.	/18/2020		<b>S</b> (9)		50	D	\$277.0700	3866910	I	Zuckerberg Initiative
											<del>                                     </del>	Foundation (11)
												By Mark Zuckerberg,
		1			1							Trustee Of The

1.Title of Security (Instr. 3)			2. Trans.	I	2A. Deemed Execution Date, if any	3. Trans. C (Instr. 8)	Code	Dispose	rities Acced of (D)	quired (A) or		unt of Securities Beneficially ong Reported Transaction(s) and 4)		Ownership Form:	Beneficial	
						Code	v	Amount	(A) or (D)	Price				Direct (D) or Indirect (I) (Instr. 4)	Ownership (Instr. 4)	
Class A Common	Stock		11/18/20	020		S <sup>(16)</sup>		147	D	\$271.9578 (17)		74812		I	Mark Zuckerberg 2014 GRAT No. 3 Dated 5/8/2014 (18)	
Class A Common	ı Stock		11/18/20	020		S <sup>(16)</sup>		1383	D	\$273.7496 <sup>(19)</sup>		73429		I	By Mark Zuckerberg, Trustee Of The Mark Zuckerberg 2014 GRAT No. 3 Dated 5/8/2014 (18)	
Class A Common	ı Stock		11/18/20	020		S <sup>(16)</sup>		2550	D	\$274.7922 (20)		70879		I	By Mark Zuckerberg, Trustee Of The Mark Zuckerberg 2014 GRAT No. 3 Dated 5/8/2014 (18)	
Class A Common	ı Stock		11/18/20	020		S <sup>(16)</sup>		1470	D	\$275.6047 (21)		69409		I	By Mark Zuckerberg, Trustee Of The Mark Zuckerberg 2014 GRAT No. 3 Dated 5/8/2014 (18)	
Class A Common	ı Stock		11/18/20	020		S <sup>(16)</sup>		480	D	\$276.7853 (22)		68929		I	By Mark Zuckerberg, Trustee Of The Mark Zuckerberg 2014 GRAT No. 3 Dated 5/8/2014 (18)	
Class A Common	Stock		11/18/20	020		S <sup>(23)</sup>		137	D	\$271.8558 (24)		61863		I	By Chan Zuckerberg Initiative Advocacy (25)	
Class A Common	Stock		11/18/20	020		S <sup>(23)</sup>		1350	D	\$273.6832 <sup>(26)</sup>		60513		I	By Chan Zuckerberg Initiative Advocacy (25)	
Class A Common	Stock		11/18/20	020		S <sup>(23)</sup>		2510	D	\$274.7372 <sup>(27)</sup>		58003		I	By Chan Zuckerberg Initiative Advocacy (25)	
Class A Common	Stock		11/18/20	020		S <sup>(23)</sup>		1343	D	\$275.5698 (28)		56660		I	By Chan Zuckerberg Initiative Advocacy (25)	
Class A Common	Stock		11/18/20	020		S <sup>(23)</sup>		560	D	\$276.7104 (29)		56100		I	By Chan Zuckerberg Initiative Advocacy (25)	
Class A Common	Stock											89059		I	By Mark Zuckerberg, Trustee Of The Mark Zuckerberg Trust Dated July 7, 2006 (30)	
	Т	able II - De	erivative Se	curit	ies Benef	icially Ov	vned	( <i>e.g.</i> , n	outs, ca	ılls, warrant	s, options, co	onvertible	securities)			
1. Title of Derivate Security Conversion or Exercise Date Date DE		3A. Deemed	4. Tran Code (Instr. 8	s. 5. N Deri Secu (A) (D)	umber of vative urities Acquire or Disposed o	6. Ex		rcisable a	7. Title and Securities U	7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		9. Number of derivative Securities Beneficially Owned Following	Ownership Form of Derivative Security: Direct (D)	11. Nature of Indirect Beneficial Ownership (Instr. 4)		
Class P				Code	V (A	(D)	Da Ex	te ercisable	Expirat Date	Title	Amount or Number of Shares		Transaction(s)	or Indirect (I) (Instr. 4)		
Class B Common Stock (31)	(31)	11/18/2020		C		35200		(31)	(31)	Class A Common	35200	\$0	355519025	I	By CZI Holdings, LLC (1)	

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)															
1. Title of Derivate Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Trans. Date	3A. Deemed Execution Date, if any	4. Trans. Code (Instr. 8)	e Derivative		Expiration I	Date	7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		Derivative Security (Instr. 5)	derivative Securities Beneficially Owned	Ownership Form of Derivative	11. Nature of Indirect Beneficial Ownership (Instr. 4)	
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		Reported Transaction(s) (Instr. 4)	or Indirect (I) (Instr. 4)	
Class B Common Stock (31)	(31)							(31)	(31)	Class A Common Stock	5676058		5676058	I	Zuckerberg, Trustee Of The Mark Zuckerberg Trust Dated July 7, 2006 (30)
Class B Common Stock (31)	(31)							(31)	(31)	Class A Common Stock	1908602		1908602	I	By Chan Zuckerberg Initiative Foundation (11)

#### **Explanation of Responses:**

- (1) Shares held of record by CZI Holdings, LLC ("CZI"). Mark Zuckerberg, Trustee of the Mark Zuckerberg Trust dated July 7, 2006 ("2006 Trust"), is the sole member of CZI. Mr. Zuckerberg is the sole trustee of the 2006 Trust and, therefore, is deemed to have sole voting and investment power over the securities held by CZI.
- (2) The sales reported were effected by CZI pursuant to its Rule 10b5-1 trading plan.
- (3) The reported price in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$271.84 to \$272.785 per share. The holder undertakes to provide to the issuer, any security holder of the issuer, or the staff of the Securities and Exchange Commission, upon written request, full information regarding the number of shares sold at each separate price within the range set forth in this footnote.
- (4) The reported price in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$272.855 to \$273.82 per share. The holder undertakes to provide to the issuer, any security holder of the issuer, or the staff of the Securities and Exchange Commission, upon written request, full information regarding the number of shares sold at each separate price within the range set forth in this footnote.
- (5) The reported price in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$273.86 to \$274.84 per share. The holder undertakes to provide to the issuer, any security holder of the issuer, or the staff of the Securities and Exchange Commission, upon written request, full information regarding the number of shares sold at each separate price within the range set forth in this footnote.
- (6) The reported price in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$274.86 to \$275.845 per share. The holder undertakes to provide to the issuer, any security holder of the issuer, or the staff of the Securities and Exchange Commission, upon written request, full information regarding the number of shares sold at each separate price within the range set forth in this footnote.
- (7) The reported price in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$275.90 to \$276.87 per share. The holder undertakes to provide to the issuer, any security holder of the issuer, or the staff of the Securities and Exchange Commission, upon written request, full information regarding the number of shares sold at each separate price within the range set forth in this footnote.
- (8) The reported price in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$276.95 to \$277.15 per share. The holder undertakes to provide to the issuer, any security holder of the issuer, or the staff of the Securities and Exchange Commission, upon written request, full information regarding the number of shares sold at each separate price within the range set forth in this footnote.
- (9) The sales reported were effected by Mark Zuckerberg, Trustee and Settlor of the Chan Zuckerberg Initiative Foundation ("CZI Foundation"), formerly known as the Chan Zuckerberg Foundation, pursuant to its Rule 10b5-1 trading plan.
- (10) The reported price in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$271.84 to \$272.83 per share. The holder undertakes to provide to the issuer, any security holder of the issuer, or the staff of the Securities and Exchange Commission, upon written request, full information regarding the number of shares sold at each separate price within the range set forth in this footnote.
- (11) Shares held of record by CZI Foundation. The reporting person is deemed to have voting and investment power over the shares held by CZI Foundation, but has no pecuniary interest in these shares.
- (12) The reported price in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$273.05 to \$274.04 per share. The holder undertakes to provide to the issuer, any security holder of the issuer, or the staff of the Securities and Exchange Commission, upon written request, full information regarding the number of shares sold at each separate price within the range set forth in this footnote.
- (13) The reported price in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$274.07 to \$275.065 per share. The holder undertakes to provide to the issuer, any security holder of the issuer, or the staff of the Securities and Exchange Commission, upon written request, full information regarding the number of shares sold at each separate price within the range set forth in this footnote.
- (14) The reported price in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$275.07 to \$276.06 per share. The holder undertakes to provide to the issuer, any security holder of the issuer, or the staff of the Securities and Exchange Commission, upon written request, full information regarding the number of shares sold at each separate price within the range set forth in this footnote.
- (15) The reported price in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$276.07 to \$277.06 per share. The holder undertakes to provide to the issuer, any security holder of the issuer, or the staff of the Securities and Exchange Commission, upon written request, full information regarding the number of shares sold at each separate price within the range set forth in this footnote.
- (16) The sales reported were effected by Mark Zuckerberg, Trustee of The Mark Zuckerberg 2014 GRAT No. 3, dated 5/8/2014 pursuant to its Rule 10b5-1 trading plan.

- (17) The reported price in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$271.84 to \$272.525 per share. The holder undertakes to provide to the issuer, any security holder of the issuer, or the staff of the Securities and Exchange Commission, upon written request, full information regarding the number of shares sold at each separate price within the range set forth in this footnote.
- (18) Shares held of record by Mark Zuckerberg, Trustee of The Mark Zuckerberg 2014 GRAT No. 3, dated 5/8/2014.
- (19) The reported price in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$273.14 to \$274.13 per share. The holder undertakes to provide to the issuer, any security holder of the issuer, or the staff of the Securities and Exchange Commission, upon written request, full information regarding the number of shares sold at each separate price within the range set forth in this footnote.
- (20) The reported price in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$274.15 to \$275.14 per share. The holder undertakes to provide to the issuer, any security holder of the issuer, or the staff of the Securities and Exchange Commission, upon written request, full information regarding the number of shares sold at each separate price within the range set forth in this footnote.
- (21) The reported price in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$275.16 to \$276.14 per share. The holder undertakes to provide to the issuer, any security holder of the issuer, or the staff of the Securities and Exchange Commission, upon written request, full information regarding the number of shares sold at each separate price within the range set forth in this footnote.
- (22) The reported price in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$276.48 to \$277.29 per share. The holder undertakes to provide to the issuer, any security holder of the issuer, or the staff of the Securities and Exchange Commission, upon written request, full information regarding the number of shares sold at each separate price within the range set forth in this footnote.
- (23) The sales reported were effected by Chan Zuckerberg Initiative Advocacy ("CZI Advocacy"), formerly known as Chan Zuckerberg Advocacy, pursuant to its Rule 10b5-1 trading plan.
- (24) The reported price in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$271.85 to \$271.89 per share. The holder undertakes to provide to the issuer, any security holder of the issuer, or the staff of the Securities and Exchange Commission, upon written request, full information regarding the number of shares sold at each separate price within the range set forth in this footnote.
- (25) Shares held of record by CZI Advocacy. The reporting person is deemed to have voting and investment power over the shares held by CZI Advocacy, but has no pecuniary interest in these shares.
- (26) The reported price in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$273.06 to \$274.04 per share. The holder undertakes to provide to the issuer, any security holder of the issuer, or the staff of the Securities and Exchange Commission, upon written request, full information regarding the number of shares sold at each separate price within the range set forth in this footnote.
- (27) The reported price in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$274.17 to \$275.15 per share. The holder undertakes to provide to the issuer, any security holder of the issuer, or the staff of the Securities and Exchange Commission, upon written request, full information regarding the number of shares sold at each separate price within the range set forth in this footnote.
- (28) The reported price in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$275.17 to \$276.10 per share. The holder undertakes to provide to the issuer, any security holder of the issuer, or the staff of the Securities and Exchange Commission, upon written request, full information regarding the number of shares sold at each separate price within the range set forth in this footnote.
- (29) The reported price in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$276.1875 to \$277.12 per share. The holder undertakes to provide to the issuer, any security holder of the issuer, or the staff of the Securities and Exchange Commission, upon written request, full information regarding the number of shares sold at each separate price within the range set forth in this footnote.
- (30) Shares held of record by Mark Zuckerberg, Trustee of the 2006 Trust.
- (31) The Class B Common Stock is convertible into the issuer's Class A Common Stock on a 1-for-1 basis (a) at the holder's option or (b) upon certain transfers of such shares and has no expiration date.

### **Reporting Owners**

Panarting Owner Name / Address	Relationships							
Reporting Owner Name / Address	Director	10% Owner	Officer	Other				
Zuckerberg Mark C/O FACEBOOK, INC. 1601 WILLOW ROAD MENLO PARK, CA 94025	X		COB and CEO					

#### **Signatures**

/s/ Michael Johnson, attorney-in-fact for Mark Zuckerberg 11/18/2020

\*\*Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.