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UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

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STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

| 1. Name and Address of | of Reportin | ng Perso | n * | 2. Issuer N | Name and | Tick | er or T | rading | Symbol | 5. Relationship of Reporting Pe (Check all applicable) | erson(s) to | Issuer | | |
|--|--------------------|-------------|----------------|---------------------------|------------------|------------|-------------------------------------|---|----------------------------|---|----------------------------|---|--|--|
| Zuckerberg Mark | ζ. | | | Faceboo | ok Inc [| FB |] | | | •• | | | | |
| (Last) (First) (Middle) C/O FACEBOOK, INC., 1601 WILLOW | | | | 3. Date of | | | | | D/YYYY) | X_ DirectorX_ 10% Owner X_ Officer (give title below) Other (specify below) COB and CEO | | | | |
| C/O FACEBOOK ROAD | i, INC., | 1601 | WILLOW | / | | 12/ | 31/202 | 20 | | | | | | |
| ROAD | 4. If Amer | ndment, D | ate (| Original | Filed | (MM/DD/YYY | Y) 6. Individual or Joint/Group Fil | 6. Individual or Joint/Group Filing (Check Applicable Lin | | | | | | |
| MENLO PARK, (City) | CA 9402 (State) | 25 (Zip) | | | | | | | | X _ Form filed by One Reporting Person Form filed by More than One Report | | | | |
| (CRy) | (State) | | abla I. Nan | Dominativa | Cognitiv | 25. 4. | nanirad | Dian | asad of an l | Panafiaially Owned | | | | |
| 1.Title of Security | | 1; | 2. Trans. Date | 2A. Deemed | 3. Trans. C | | | | uired (A) or | Beneficially Owned 5. Amount of Securities Beneficially Owned | 6. | 7. Nature of | | |
| (Instr. 3) | | | 2. Hans. Date | Execution Date, if any | (Instr. 8) | | Disposed (Instr. 3, | of (D) | | Following Reported Transaction(s) (Instr. 3 and 4) | Ownership Form: | | | |
| | | | | | C. A. | v | A | (A) or | D.:i.e. | | or Indirect (I) (Instr. | | | |
| | | | | | Code | V | Amount | (D) | Price | | 4) | By CZI | | |
| Class A Common Stock | | | 12/31/2020 | | С | | 29734 | A | \$0 | 29734 | I | Holdings, LLC (1) | | |
| Class A Common Stock | | | 12/31/2020 | | S ⁽²⁾ | | 2600 | D | \$270.8787 (3) | 27134 | I | By CZI Holdings, LLC (1) | | |
| Class A Common Stock | | | 12/31/2020 | | s ⁽²⁾ | | 12200 | D | \$271.8147 ⁽⁴⁾ | 14934 | I | By CZI Holdings, LLC (1) | | |
| Class A Common Stock | | | 12/31/2020 | | S ⁽²⁾ | | 7783 | D | \$272.7664 ⁽⁵⁾ | 7151 | I | By CZI Holdings, LLC (1) | | |
| Class A Common Stock | | | 12/31/2020 | | S ⁽²⁾ | | 2717 | D | \$273.5366 ⁽⁶⁾ | 4434 | I | By CZI Holdings, LLC (1) | | |
| Class A Common Stock | | | 12/31/2020 | | S ⁽²⁾ | | 2700 | D | \$275.0215 <u>(7)</u> | 1734 | I | By CZI Holdings, LLC (1) | | |
| Class A Common Stock | | | 12/31/2020 | | s ⁽²⁾ | | 1438 | D | \$276.1194 ⁽⁸⁾ | 296 | I | By CZI Holdings, LLC (1) | | |
| Class A Common Stock | | | 12/31/2020 | | S ⁽²⁾ | | 296 | D | \$276.53 | 0 | I | By CZI Holdings, LLC (1) | | |
| Class A Common Stock | | | 12/31/2020 | | S ⁽⁹⁾ | | 1150 | D | \$270.9407 ⁽¹⁰⁾ | 3500765 | I | By Chan Zuckerberg Initiative Foundation | | |
| Class A Common Stock | | | 12/31/2020 | | S ⁽⁹⁾ | | 4700 | D | \$271.8582 (12) | 3496065 | I | By Chan Zuckerberg Initiative Foundation | | |
| Class A Common Stock | | | 12/31/2020 | | S ⁽⁹⁾ | | 2750 | D | \$272.8149 (13) | 3493315 | I | By Chan Zuckerberg Initiative Foundation | | |
| Class A Common Stock | | | 12/31/2020 | | S ⁽⁹⁾ | | 950 | D | \$273.6499 (14) | 3492365 | I | By Chan Zuckerberg Initiative Foundation (11 | | |
| Class A Common Stock | | | 12/31/2020 | | S ⁽⁹⁾ | | 1049 | D | \$274.9179 (15) | 3491316 | I | By Chan Zuckerberg Initiative Foundation | | |
| Class A Common Stock | | | 12/31/2020 | | S ⁽⁹⁾ | | 710 | D | \$275.9564 (16) | 3490606 | I | By Chan Zuckerberg Initiative Foundation (11) | | |
| | | | | | 1 | | - | | | t e e e e e e e e e e e e e e e e e e e | t | i | | |

| 1.Title of Security (Instr. 3) | | | 2. Trans | ns. Date | Execu | A. Deemed Execution Date, if any | 3. Trans. C (Instr. 8) | ode | 4. Secur Dispose (Instr. 3. | | | A) or | 5. Amount of Securities Bene Following Reported Transact (Instr. 3 and 4) | | | Ownership Form: | Beneficial |
|---|-----------------------------|--------------|---------------------------|---------------------------------|--------|--|---------------------------|-------------------------|-----------------------------------|------------------|----------|---------------------------|---|--|--|--|--|
| | | | | | | | Code | v | Amount | (A) or (D) | Dr | ice | | | | Direct (D) or Indirect (I) (Instr. 4) | Ownership (Instr. 4) |
| Class A Commor | ı Stock | | 12/31/ | 2020 | | | С | | 4915 | A | \$ | | | 4915 | | I | By Mark Zuckerberg, Trustee Of Th Mark Zuckerberg Trust Dated July 7, 2006 (17) |
| Class A Common | ı Stock | | 12/31/ | 2020 | | | S ⁽¹⁸⁾ | | 420 | D | \$270.89 | 901 ⁽¹⁹⁾ | | 4495 | | I | By Mark Zuckerberg, Trustee Of Th Mark Zuckerberg Trust Dated July 7, 2006 (17) |
| Class A Commor | ı Stock | | 12/31/ | 2020 | | | S ⁽¹⁸⁾ | | 2020 | D | \$271.81 | 151 ⁽²⁰⁾ | | 2475 | | I | By Mark Zuckerberg, Trustee Of Th Mark Zuckerberg Trust Dated July 7, 2006 (17) |
| Class A Commor | ı Stock | | 12/31/ | 2020 | | | S ⁽¹⁸⁾ | | 1260 | D | \$272.71 | 183 ⁽²¹⁾ | | 1215 | | I | By Mark Zuckerberg, Trustee Of Th Mark Zuckerberg Trust Dated July 7, 2006 (17) |
| Class A Commor | ı Stock | | 12/31/ | 2020 | | | S ⁽¹⁸⁾ | | 470 | D | \$273.60 | 637 ⁽²²⁾ | | 745 | | I | By Mark Zuckerberg, Trustee Of Th Mark Zuckerberg Trust Dated July 7, 2006 (17) |
| Class A Commor | ı Stock | | 12/31/ | 2020 | | | S ⁽¹⁸⁾ | | 372 | D | \$274.80 | 694 ⁽²³⁾ | | 373 | | I | By Mark Zuckerberg, Trustee Of Th Mark Zuckerberg Trust Dated July 7, 2006 (17) |
| Class A Commor | ı Stock | | 12/31/ | 2020 | | | S ⁽¹⁸⁾ | | 373 | D | \$275.70 | 698 ⁽²⁴⁾ | | 0 | | I | By Mark Zuckerberg, Trustee Of Th Mark Zuckerberg Trust Dated July 7, 2006 (17) |
| | Т | able II - De | erivative S | Securi | ties I | Benefi | cially Ow | ned | (<i>e.g.</i> , p | uts, ca | lls, wa | arrant | ts, options, c | onvertible | e securities) | | |
| 1. Title of Derivate Security Instr. 3) | 2. 3. Trans. 3A. Deemed Coo | | 4. Tra Code (Instr. | Deriv Secur (A) or (D) | | | | Date Exer piration D | | Secu Deri | | | 8. Price of Derivative Security (Instr. 5) | 9. Number of derivative Securities Beneficially Owned Following | 10. Ownership Form of Derivative Security: Direct (D) | 11. Nature of Indirect Beneficial Ownership (Instr. 4) | |
| Class B | | | | Cod | e V | (A) | (D) | Da Ex | te ercisable | Expirati Date | 1 Itie | | Amount or Number of Shares | | Reported Transaction(s) (Instr. 4) | or Indirect (I) (Instr. 4) | By CZI |
| Common Stock (25) | (25) | 12/31/2020 | | С | | | 29734 | | (25) | (25) | Co | Class A ommon Stock | 29734 | \$0 | 354544317 | I | Holdings, LLC (1) |
| Class B Common Stock (25) | <u>(25)</u> | 12/31/2020 | | C | | | 4915 | | (25) | (25) | Co | Class A ommon Stock | 4915 | \$0 | 5669204 | I | By Mark Zuckerberg, Trustee Of Th Mark Zuckerberg Trust Dated July 7, 2006 (17) |

| Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities) | | | | | | | | | | | | | | | |
|--|---|-------------------|--|---------------------------------|---|------------|-----|---------------------|--------------------|--|----------------------------------|---|--|------------------------------------|--|
| 1. Title of Derivate Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Trans. Date | 3A. Deemed Execution Date, if any | 4. Trans. Code (Instr. 8) | | (A) or (D) | | 1 | | 7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4) | | 8. Price of Derivative Security (Instr. 5) | derivative Securities Beneficially | Ownership Form of Derivative | Beneficial |
| | | | | Code | V | (A) | (D) | Date Exercisable | Expiration Date | Title | Amount or Number of Shares | | Reported Transaction(s) (Instr. 4) | or Indirect (I) (Instr. 4) | |
| Class B Common Stock (25) | (25) | | | | | | | (25) | (25) | Class A Common Stock | 1908602 | | 1908602 | I | By Chan Zuckerberg Initiative Foundation (11) |

Explanation of Responses:

- (1) Shares held of record by CZI Holdings, LLC ("CZI"). Mark Zuckerberg, Trustee of the Mark Zuckerberg Trust dated July 7, 2006 ("2006 Trust"), is the sole member of CZI. Mr. Zuckerberg is the sole trustee of the 2006 Trust and, therefore, is deemed to have sole voting and investment power over the securities held by CZI.
- (2) The sales reported were effected by CZI pursuant to its Rule 10b5-1 trading plan.
- (3) The reported price in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$270.24 to \$271.23 per share. The holder undertakes to provide to the issuer, any security holder of the issuer, or the staff of the Securities and Exchange Commission, upon written request, full information regarding the number of shares sold at each separate price within the range set forth in this footnote.
- (4) The reported price in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$271.24 to \$272.20 per share. The holder undertakes to provide to the issuer, any security holder of the issuer, or the staff of the Securities and Exchange Commission, upon written request, full information regarding the number of shares sold at each separate price within the range set forth in this footnote.
- (5) The reported price in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$272.24 to \$273.22 per share. The holder undertakes to provide to the issuer, any security holder of the issuer, or the staff of the Securities and Exchange Commission, upon written request, full information regarding the number of shares sold at each separate price within the range set forth in this footnote.
- (6) The reported price in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$273.29 to \$274.22 per share. The holder undertakes to provide to the issuer, any security holder of the issuer, or the staff of the Securities and Exchange Commission, upon written request, full information regarding the number of shares sold at each separate price within the range set forth in this footnote.
- (7) The reported price in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$274.51 to \$275.50 per share. The holder undertakes to provide to the issuer, any security holder of the issuer, or the staff of the Securities and Exchange Commission, upon written request, full information regarding the number of shares sold at each separate price within the range set forth in this footnote.
- (8) The reported price in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$275.53 to \$276.51 per share. The holder undertakes to provide to the issuer, any security holder of the issuer, or the staff of the Securities and Exchange Commission, upon written request, full information regarding the number of shares sold at each separate price within the range set forth in this footnote.
- (9) The sales reported were effected by Mark Zuckerberg, Trustee and Settlor of the Chan Zuckerberg Initiative Foundation ("CZI Foundation"), formerly known as the Chan Zuckerberg Foundation, pursuant to its Rule 10b5-1 trading plan.
- (10) The reported price in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$270.315 to \$271.31 per share. The holder undertakes to provide to the issuer, any security holder of the issuer, or the staff of the Securities and Exchange Commission, upon written request, full information regarding the number of shares sold at each separate price within the range set forth in this footnote.
- (11) Shares held of record by CZI Foundation. The reporting person is deemed to have voting and investment power over the shares held by CZI Foundation, but has no pecuniary interest in these shares.
- (12) The reported price in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$271.32 to \$272.31 per share. The holder undertakes to provide to the issuer, any security holder of the issuer, or the staff of the Securities and Exchange Commission, upon written request, full information regarding the number of shares sold at each separate price within the range set forth in this footnote.
- (13) The reported price in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$272.36 to \$273.35 per share. The holder undertakes to provide to the issuer, any security holder of the issuer, or the staff of the Securities and Exchange Commission, upon written request, full information regarding the number of shares sold at each separate price within the range set forth in this footnote.
- (14) The reported price in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$273.37 to \$274.16 per share. The holder undertakes to provide to the issuer, any security holder of the issuer, or the staff of the Securities and Exchange Commission, upon written request, full information regarding the number of shares sold at each separate price within the range set forth in this footnote.
- (15) The reported price in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$274.52 to \$275.40 per share. The holder undertakes to provide to the issuer, any security holder of the issuer, or the staff of the Securities and Exchange Commission, upon written request, full information regarding the number of shares sold at each separate price within the range set forth in this footnote.
- (16) The reported price in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$275.56 to \$276.53 per share. The holder undertakes to provide to the issuer, any security holder of the issuer, or the staff of the Securities and Exchange Commission, upon written request, full information regarding the number of shares sold at each separate price within the range set forth in this footnote.
- (17) Shares held of record by Mark Zuckerberg, Trustee of the 2006 Trust.
- (18) The sales reported were effected by Mark Zuckerberg, Trustee of the 2006 Trust pursuant to its Rule 10b5-1 trading plan.
- (19) The reported price in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$270.24 to \$271.22 per share. The holder undertakes to provide to the issuer, any security holder of the issuer, or the staff of the Securities and Exchange Commission, upon written

- request, full information regarding the number of shares sold at each separate price within the range set forth in this footnote.
- (20) The reported price in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$271.24 to \$272.23 per share. The holder undertakes to provide to the issuer, any security holder of the issuer, or the staff of the Securities and Exchange Commission, upon written request, full information regarding the number of shares sold at each separate price within the range set forth in this footnote.
- (21) The reported price in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$272.26 to \$273.25 per share. The holder undertakes to provide to the issuer, any security holder of the issuer, or the staff of the Securities and Exchange Commission, upon written request, full information regarding the number of shares sold at each separate price within the range set forth in this footnote.
- (22) The reported price in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$273.26 to \$274.21 per share. The holder undertakes to provide to the issuer, any security holder of the issuer, or the staff of the Securities and Exchange Commission, upon written request, full information regarding the number of shares sold at each separate price within the range set forth in this footnote.
- (23) The reported price in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$274.38 to \$275.32 per share. The holder undertakes to provide to the issuer, any security holder of the issuer, or the staff of the Securities and Exchange Commission, upon written request, full information regarding the number of shares sold at each separate price within the range set forth in this footnote.
- (24) The reported price in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$275.54 to \$276.43 per share. The holder undertakes to provide to the issuer, any security holder of the issuer, or the staff of the Securities and Exchange Commission, upon written request, full information regarding the number of shares sold at each separate price within the range set forth in this footnote.
- (25) The Class B Common Stock is convertible into the issuer's Class A Common Stock on a 1-for-1 basis (a) at the holder's option or (b) upon certain transfers of such shares and has no expiration date.

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | | | | | | |
|---|---------------|-----------|-------------|-------|--|--|--|--|--|
| Reporting Owner Name / Address | Director | 10% Owner | Officer | Other | | | | | |
| Zuckerberg Mark C/O FACEBOOK, INC. 1601 WILLOW ROAD MENLO PARK, CA 94025 | X | X | COB and CEO | | | | | | |

Signatures

/s/ Michael Johnson, attorney-in-fact for Mark Zuckerberg

**Signature of Reporting Person

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.