

[] Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. *See* Instruction 1(b).

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL
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## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person *				2. I	2. Issuer Name and Ticker or Trading Symbol							5. Relationship of Reporting Person(s) to Issuer (Check all applicable)						
Wilder Thomas				Pe	Penumbra Inc [ PEN ]								,					
(Last) (First) (Middle)				3. I	3. Date of Earliest Transaction (MM/DD/YYYY)							X_ Director10% Owner  Officer (give title below) Other (specify below)						
ONE PENUMBRA PLACE					2/18/2022												·	
(Street)				4. I	4. If Amendment, Date Original Filed (MM/DD/YYYY)							6. Individual or Joint/Group Filing (Check Applicable Line)						
ALAMEDA, CA 94502 (City) (State) (Zip)												X _ Form filed by One Reporting Person Form filed by More than One Reporting Person						
			Table	I - No	n-Der	ivati	ive Secı	ırities Ac	quir	ed, Di	sposed o	f, or	Ben	neficially Owne	ed			
1.Title of Security (Instr. 3)  2. Trans. D			s. Date	Exec	Deemed ution if any	3. Trans. Co (Instr. 8)	de	4. Securities Acquor Disposed of (D (Instr. 3, 4 and 5)			F	5. Amount of Securiti Following Reported T Instr. 3 and 4)	urities Beneficially Owned ed Transaction(s)		Ownership Form:	Beneficial		
						Code	v	Amou	(A) or (D)	Pric	ce					Ownership (Instr. 4)		
Common Stock 2/18/202			2022			A		710	) A	\$0	)	,	710 <u>(2)</u>					
Common Stock													4007 (3)			I	By Trust (4)	
	Tab	le II - De	rivativ	e Secu	ırities	Bene	eficially	Owned (	e.g.,	puts,	calls, wa	rran	ıts, c	options, conver	tible secu	urities)		
Security (Instr. 3)	2. Conversion or Exercise Price of Derivative	3. Trans. Date	Execution	A. Deemed (Xecution Date, if any		Acquire Dispose		e Securities (A) or	6. Date Exercisable and Expiration Date Securities Derivative (Instr. 3 ar			Underlying e Security	8. Price of Derivative Security (Instr. 5)	Securities Beneficially Owned	Ownership Form of Derivative Security:	11. Nature of Indirect Beneficial Ownership (Instr. 4)		
	Security				Code	V	(A)	(D)	Date Exer	cisable	Expiration Date		Amo	nount or Number of		Following Reported Transaction(s) (Instr. 4)	Direct (D) or Indirect (I) (Instr. 4)	

#### **Explanation of Responses:**

- (1) Restricted stock units vest as to 1/4 on each of March 31, 2022, June 30, 2022, September 30, 2022, and December 31, 2022, subject to Mr. Wilder's continued service as director through such dates.
- (2) A portion of these shares is subject to vesting.
- (3) Any changes between direct and indirect holdings through the Thomas and Catharine Wilder Family Trust dated March 31, 2006 are in transactions exempt from Section 16 pursuant to Rule 16a-13 under the Securities Exchange Act of 1934 as mere changes in form of beneficial ownership.
- (4) Shares are held by the Thomas and Catharine Wilder Family Trust dated March 31, 2006.

#### **Reporting Owners**

Panarting Owner Name / Address	Relationships						
Reporting Owner Name / Address	Director	10% Owner	Officer	Other			
Wilder Thomas							
ONE PENUMBRA PLACE	X						
ALAMEDA, CA 94502							

### **Signatures**

/s/ Emma J. Purdy, as attorney-in-fact for Thomas C. Wilder

2/23/2022 Date

\*\*Signature of Reporting Person

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.