

[] Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. *See* Instruction 1(b).

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

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STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person *				2.	2. Issuer Name and Ticker or Trading Symbol								5. Relationship of Reporting Person(s) to Issuer (Check all applicable)				
Whitaker C	orby C			A	SPI	EN AE	ROGE	LS l	INC	[ASI	PN]		,			
(Last) (Firs	st) (M	Iiddle)	3.	3. Date of Earliest Transaction (MM/DD/YYYY)							X Officer (give title below) Other (specify below)					
C/O ASPEN AEROGELS, INC., 30 FORBES ROAD, BUILDING B							2/2	28/20	018			SVP, Sales and Marketing					
	(Str	reet)		4.	If A	mendme	ent, Date (Origi	nal Fil	ed (MM	1/DI	D/YYYY)	6. Individual	or Joint/G	roup Filing	(Check Appl	icable Line)
NORTHBO		*											X Form filed by		rting Person One Reporting P	'erson	
(0	City) (S	tate) (Z	ip)										1 om med by	iviore man	nie Reporting i	CISOII	
			Table I	- Non-De	rivat	tive Sec	urities Ac	cauir	red. Di	ispose	d of	f. or Be	eneficially Own	ed			
				2A. Deemed Execution Date, if any		3. Trans. Co (Instr. 8)	•	4. Secu	urities A posed of 3, 4 and	cqui	red (A)	Amount of Securities Beneficially Owned 6.		Ownership Form:	Beneficial		
							Code	V	Amou	int (A)		Price					(Instr. 4)
Common Stock 2/28/201				2/28/2018			A		25543 (1)	A		\$0.00	101426 (2)		D		
Common Stock 2/28/2018				2/28/2018	F		F		3078	(3) I)	\$4.60	98348 (4)		D		
Common Stock 3/1/2018				3/1/2018			F		2954	<u>(3)</u> I)	\$4.57	95394 <u>(5)</u>		D		
	Tal	ole II - Der	ivative S	Securities	Ben	eficially	Owned (e.g.	, puts	, calls,	wa	ırrants	, options, conve	rtible sec	urities)		
1. Title of Derivate Security (Instr. 3)	Conversion or Exercise Price of Derivative	3. Trans. Date	3A. Deem Execution Date, if an	n (Instr. 8)	5. Code Derivative Acquired Disposed (Instr. 3, 4		re Securities l(A) or l of (D)		Date Exercisable and piration Date		S	Securities	Underlying Derivati e Security Security		f 9. Number of derivative Securities Beneficially Owned	Ownership Form of Derivative Security:	11. Nature of Indirect Beneficial Ownership (Instr. 4)
	Security			Code	v	(A)	(D)	Date Exerc	cisable l	Expiratio Date	on T	Γitle	Amount or Number of Shares		Following Reported Transaction(s) (Instr. 4)	Direct (D) or Indirect (I) (Instr. 4)	
Stock Options (Right to Buy)	\$4.60	2/28/2018		A		52735		1	<u>(6)</u>	2/28/202	28	Common Stock	n 52735	\$0.00	52735	D	

Explanation of Responses:

- (1) Each restricted stock unit ("RSU") represents the right to receive one share of common stock upon vesting. The RSUs vest as to one-third of the shares on February 28, 2019, an additional one-third of the shares on February 28, 2020, and the remaining one-third of the shares on February 28, 2021.
- (2) Represents 21,926 shares of common stock and 79,500 restricted stock units.
- (3) Shares withheld by Registrant to satisfy the minimum statutory tax withholding requirements on vesting of time-based restricted stock units.
- (4) Represents 27,704 shares of common stock and 70,644 restricted stock units.
- (5) Represents 33,939 shares of common stock and 61,455 restricted stock units.
- (6) The options vest as to one-third of the shares on February 28, 2019, an additional one-third of the shares on February 28, 2020, and the remaining one-third of the shares on February 28, 2021.

Reporting Owners

Reporting Owner Name / Address	Relationships							
Reporting Owner Name / Address	Director	10% Owner		Other				
Whitaker Corby C								
C/O ASPEN AEROGELS, INC.			SVP Salas and Markating					
30 FORBES ROAD, BUILDING B			SVF, Sales and Marketing					
NORTHBOROUGH, MA 01532								

Signatures

** Signature of Reporting Person

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.