

[] Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. *See* Instruction 1(b).

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

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STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

CASPIAN COURT 4. If Amendment, Date Original Filed (MM/DD/YYYY) 6. Individual or Joint/Group	Oth oup Filing (ng Person e Reporting P	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) D	·
Common Stock St/5/2020 Sund the first First (Middle) Sund to Earliest Fansaction (MM/DD/YYYY) X_ Officer (give title below) X_ Officer (give title below) Chief Operating Officer	Oth oup Filing (ng Person e Reporting P	(Check App) 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) D D	7. Nature of Indirect Beneficial Ownership
CASPIAN COURT 4. If Amendment, Date Original Filed (MM/DD/YYYY) 6. Individual or Joint/Group X_ Form filed by One Reporting Form filed by More than One I	oup Filing (ng Person e Reporting P	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) D	7. Nature of Indirect Beneficial Ownership
SUNNYVALE, CA 94089	ng Person e Reporting P	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) D	7. Nature of Indirect Beneficial Ownership
Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned	e Reporting P	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) D	of Indirect Beneficial Ownership
1.Title of Security (Instr. 3)	y Owned	Ownership Form: Direct (D) or Indirect (I) (Instr. 4) D D	of Indirect Beneficial Ownership
2. Trans. Date (Instr. 3)	y Owned	Ownership Form: Direct (D) or Indirect (I) (Instr. 4) D D	of Indirect Beneficial Ownership
Common Stock 5/5/2020 M 8771 A \$0 125567 Common Stock 5/5/2020 F 3034 D \$0 122533 Common Stock 5/5/2020 M 13094 A \$0 135627 Common Stock 5/5/2020 F 5639 D \$0 129988		or Indirect (I) (Instr. 4) D D	
Common Stock 5/5/2020 F 3034 D \$0 122533 Common Stock 5/5/2020 M 13094 A \$0 135627 Common Stock 5/5/2020 F 5639 D \$0 129988		D	
Common Stock 5/5/2020 M 13094 A \$0 135627 Common Stock 5/5/2020 F 5639 D \$0 129988			
Common Stock 5/5/2020 F 5639 D 80 129988			
		D	<u> </u>
Common Stock 5/5/2020 M 17/188 A 50 14/176		D D	-
Common Stock 5/5/2020 F 5944 D 80 141232		D	\vdash
Common Stock 5/5/2020 M 73334 A \$0 214566		D	+
Common Stock 5/5/2020 F 36359 D \$0 178207		D	
Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securit			
Price of Disposed of (D) (Instr. 3 and 4) (Instr. 5) Ber (Instr. 3, 4 and 5)	erivative ecurities Beneficially Owned		11. Nature of Indirect Beneficial Ownership (Instr. 4)
Date Expiration Exercisable Date Title Number of Trail	following Reported Fransaction(s) Instr. 4)	rted or Indirect action(s) (I) (Instr.	
Restricted Stock Units Common Stock 75000.0	75000	D	
Restricted Stock Units (1) 5/5/2020 M 17188 (3) Common Stock 17188.0 S0	34374	D	
Restricted Stock (1) (4) Common Stock 52500.0	52500	D	
Restricted Stock (1) 5/5/2020 M 73334 (5) Common Stock 73334.0 S0 1	146666	D	
Restricted Stock Units (1) 5/5/2020 M 8771 (6) (6) Common Stock 8771.0 S0	0	D	
Restricted Stock (1) 5/5/2020 M 13094 (7) Common Stock 13094.0 S0	0	D	
Restricted Stock (1) (8) Common 135000 0	125000	D	

Explanation of Responses:

- (1) Each restricted stock unit ("RSU") represents a contingent right to receive one share of common stock of Infinera Corporation (the "Company").
- (2) These RSUs vest in four annual installments beginning on July 5, 2018, subject to Mr. Heard's continued service to the Company through each applicable vesting date.
- (3) These RSUs vest in four annual installments beginning on May 5, 2019, subject to Mr. Heard's continued service to the Company through each applicable vesting date.
- (4) These RSUs vest in four annual installments beginning on October 5, 2019, subject to Mr. Heard's continued service to the Company through each applicable vesting date.

- (5) These RSUs vest in three annual installments beginning on May 5, 2020, subject to Mr. Heard's continued service to the Company through each applicable vesting date.
- (6) On June 8, 2017, Mr. Heard was granted a performance share unit ("PSU") award at target for 50,000 shares of common stock that could become eligible to vest in three equal separate installments, subject to the achievement of certain performance criteria in each of the three different performance periods. The shares are eligible to vest based on the total stockholder return ("TSR") performance of the Company in each performance period relative to that of the companies that comprise S&P North American Technology Multimedia Networking Index (the "S&P Networking Index"). The performance objective related to this award was partially achieved for the third and final performance period, as determined by the compensation committee per the terms of the original grant. As a result, 8,771 shares of common stock underlying this award vested on May 5, 2020, subject to Mr. Heard's continuous status as a service provider through such date.
- (7) On February 15, 2018, Mr. Heard was granted a PSU award at target for 45,833 shares of common stock that could become eligible to vest in three equal separate installments, subject to the achievement of certain performance criteria in each of the three different performance periods. The shares are eligible to vest based on the TSR performance of the Company in each performance period relative to that of the companies that comprise the S&P Networking Index. The performance objective related to this award was partially achieved for the second performance period, as determined by the compensation committee per the terms of the original grant. As a result, 13,094 shares of common stock underlying this award vested on May 5, 2020, subject to Mr. Heard's continuous status as a service provider through such date.
- (8) These RSUs vest as to one-third of the shares on the one year anniversary of the vesting commencement date, which is March 5, 2020, and 1/12th quarterly thereafter, subject to Mr. Heard's continued service to the Company through each applicable vesting date.

Reporting Owners

Reporting Owner Name / Address	Relationships				
	Director	10% Owner	Officer	Other	
HEARD DAVID W					
C/O INFINERA CORPORATION			Chief Onewating Officer		
140 CASPIAN COURT			Chief Operating Officer		
SUNNYVALE, CA 94089					

Signatures

/s/ Michael Post, by Power of Attorney

**Signature of Reporting Person

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

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