

[] Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. *See* Instruction 1(b).

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

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## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person *						2. Issuer Name <b>and</b> Ticker or Trading Symbol									5. Relationship of Reporting Person(s) to Issuer (Check all applicable)				
HEARD DAVID W								Corp [	•				Director		100/	0			
(Last) (First) (Middle)					3. I	Date	of Earl	iest Trans	action	n (MM	//DD/	/YYYY		Director10% Owner  X Officer (give title below) Other (specify below)					
C/O INFINERA CORPORATION, 140								10/	/5/20	119				Chief Operating Officer					
CASPIAN C		KI OKA	11011,	170				10,	0,20										
	(Str	eet)			4. I	f Ar	nendme	ent, Date (	Origin	nal Fi	led (	(MM/D	D/YYYY	6. Individual or Joint/Group Filing (Check Applicable Line)					
SUNNYVAI	E. CA 9	4089												X Form filed b	v One Renor	ting Person			
(City) (State) (Zip)													Form filed by More than One Reporting Person						
					•									<b>!</b>					
			Table I	- Non	-Der									neficially Own			1		
1.Title of Security (Instr. 3)				Date	Exec	Deemed eution , if any	3. Trans. C (Instr. 8)	ode	4. Securities Acq or Disposed of (I (Instr. 3, 4 and 5)				ities Beneficially Owned Transaction(s)		Form:	Beneficial			
								Code	v	Amo	ount	(A) or (D)	Price				Direct (D) or Indirect (I) (Instr. 4)	Ownership (Instr. 4)	
Common Stock				10/5/20	019	9		M	<u> </u>	17500		A	\$0	122848			D		
Common Stock 10/5/201					019	9		F		6052		D	\$5.38	116796		D			
	Tal	ble II - Der	ivative S	Secur	ities	Ben	eficiall	y Owned	(e.g.,	puts	, cal	lls, wa	arrants,	options, conve	rtible secu	urities)			
1. Title of Derivate Security (Instr. 3)	2. Conversion or Exercise Price of Derivative	3. Trans. Date	3A. Deem Execution Date, if an	ı Co	Trans. de str. 8)		Acquired Disposed	ve Securities d (A) or		Date Exercisable and piration Date				Underlying Security	8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	Form of Derivative Security:	11. Nature of Indirect Beneficial Ownership (Instr. 4)	
	Security			(	Code	le V (A)	(D)	Date Exerc	isable		iration	Title	Amount or Number of Shares	Direct (D) or Indirect (I) (Instr. 4)					
Restricted Stock Units	<u>(1)</u>								<u>(2</u>	<u>2)</u>	<u>(</u>	<u>(2)</u>	Common Stock	75000.0		75000	D		
Restricted Stock Units	<u>(1)</u>								C	3)	(	<u>(3)</u>	Common	51562.0		51562	D		
Restricted Stock Units	<u>(1)</u>	10/5/2019			M			17500	<u>(</u> 4	<u>4)</u>	<u>(</u>	<u>(4)</u>	Common	17500.0	\$0	52500	D		
Restricted Stock Units	<u>(1)</u>								<u>(</u> :	<u>5)</u>	(	<u>(5)</u>	Common Stock	220000.0		220000	D		

### **Explanation of Responses:**

- (1) Each restricted stock unit ("RSU") represents a contingent right to receive one share of common stock of Infinera Corporation.
- (2) These RSUs vest in four annual installments beginning on July 5, 2018.
- (3) These RSUs vest in four annual installments beginning on May 5, 2019.
- (4) These RSUs vest in four annual installments beginning on October 5, 2019.
- (5) These RSUs vest in three annual installments beginning on May 5, 2020.

#### **Reporting Owners**

Reporting Owner Name / Address	Relationships								
Reporting Owner Name / Address	Director	10% Owner	Officer	Other					
HEARD DAVID W									
C/O INFINERA CORPORATION			Chief Operating Officer						
140 CASPIAN COURT			Ciliei Operating Officer						
SUNNYVALE, CA 94089									

#### **Signatures**

/s/ Michael Post, by Power of Attorney

10/7/2019

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.