### FORM 4

☐ Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. *See* Instruction 1(b).

☐ Check this box to indicate that a transaction was made pursuant to a contract, instruction or written plan that is intended to satisfy the affirmative defense conditions of Rule 10b5-1(c). See Instruction 10.

OMB APPROVAL
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# UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person *					2. Issuer Name <b>and</b> Ticker or Trading Symbol								5. Relationship of Reporting Person(s) to Issuer (Check all applicable)				
Mills Scott					PRINCIPAL FINANCIAL GROUP INC [ PFG ]								X_ Director 10% Owner				
(Last) (First) (Middle)				3. Г	3. Date of Earliest Transaction (MM/DD/YYYY)								Officer (give title below) Other (specify below)				
711 HIGH STREET						3/28/2024											
	(Stre	eet)		4. I:	f An	nendmen	t, Date C	Prigin	al Fil	led (MM/	DD/YYYY	7) (	6. Individua	or Joint	Group Filing	(Check App	licable Line)
DES MOINES, IA 50392													X _ Form filed by One Reporting Person Form filed by More than One Reporting Person				
((	City) (Sta	ate) (Zip)	)														
			Table I -	Non-Deri	ivati	ive Secu	rities Ac	quire	ed, D	isposed	of, or Bo	enef	ficially Owi	ned			
1. Title of Security (Instr. 3)				Exec		3. Trans. Code (Instr. 8) 4. Securities Acquired (A or Disposed of (D) (Instr. 3, 4 and 5)				D)	Fol	Following Reported Transaction(s)  Ownership of Form: Direct (D)			Beneficial Ownership		
							Code	V	Amo	unt (A)						or Indirect (I) (Instr. 4)	(Instr. 4)
Common Stock			:	3/28/2024			A		2	211 A	\$0 <u>(1)</u>	)			26,552	D	
	Tab	ole II - Deri	ivative S	ecurities I	Bene	eficially (	Owned (	e.g.,	puts,	calls, w	arrants	, op	tions, conv	ertible se	curities)		
1. Title of Derivate Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Trans. Date	3A. Deem Execution Date, if an	Code		5. Number Derivative Acquired Disposed (Instr. 3, 4	e Securities (A) or of (D)		6. Date Exercisable and Expiration Date			s Un ve Se	Inderlying Derivat Security Security		of 9. Number of derivative Securities Beneficially Owned Following	Ownership Form of Derivative	Beneficial
	Security			Code	V	(A)	(D)	(D) Date Exerc		Expiration Date	Title		Amount or Number of Shares		Reported Transaction(s) (Instr. 4)	or Indirect	
Phantom Stock Units	(2)	3/28/2024		A		125	i	!	<u>(3)</u>	<u>(3)</u>	Commo Stock		12	5 \$86.3	15,801	D	

#### **Explanation of Responses:**

- (1) Grant of restricted stock units.
- (2) The units convert to common stock on a one-for-one basis.
- (3) The reported phantom stock units were acquired pursuant to the Principal Deferred Compensation Plan for Non-Employee Directors and may be transferred at any time into another investment alternative under the Plan. Interests under the Plan will be settled upon the reporting person's retirement.

### **Reporting Owners**

Panorting Owner Name / Address	ug.	Relationships							
Reporting Owner Name / Address	Director	10% Owner	Officer	Other					
Mills Scott									
711 HIGH STREET	X								
DES MOINES, IA 50392									

#### **Signatures**

Chris Agbe-Davies as Attorney-in-Fact

4/1/2024

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.