

[] Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. *See* Instruction 1(b).

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL
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STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

| 1. Name and Address of Reporting Person * | | | | | 2. Is | 2. Issuer Name and Ticker or Trading Symbol | | | | | | 5. Relationship of Reporting Person(s) to Issuer (Check all applicable) | | | | | | |
|---|-------------------------|-------------------|---------|---|---|---|----------|--|---|---------|---|---|--|--|--|---|-------------------|------------|
| CARTER MILLER JOYCELYN | | | | | | PRINCIPAL FINANCIAL GROUP INC [PFG] | | | | | | _X_ Director | , | 10% | 6 Owner | | | |
| (Last) | (Last) (First) (Middle) | | | 3. E | 3. Date of Earliest Transaction (MM/DD/YYYY) | | | | | | Officer (giv | e title below |) Oth | er (specify b | pelow) | | | |
| 711 HIGH STREET | | | | | | 12/19/2022 | | | | | | | | | | | | |
| (Street) | | | | 4. I | 4. If Amendment, Date Original Filed (MM/DD/YYYY) | | | | | | 6. Individual or Joint/Group Filing (Check Applicable Line) | | | | | | | |
| DES MOINES, IA 50392 (City) (State) (Zip) | | | | | | | | | | | | X _ Form filed by One Reporting Person Form filed by More than One Reporting Person | | | | | | |
| | | | Table I | I - No | n-Deri | ivati | ve Secu | rities Acq | uire | ed, Dis | sposed of | f, or] | Ben | neficially Owne | d | | | |
| 1. Title of Security (Instr. 3) | | | | ate 2A. Deemed Execution Date, if any | | 3. Trans. Code (Instr. 8) | | 4. Securities Acqui or Disposed of (D) (Instr. 3, 4 and 5) | | | (A) 5. Amount of Secur Following Reporte (Instr. 3 and 4) | | ities Beneficially Owned I Transaction(s) | | | 7. Nature of Indirect Beneficial Ownership (Instr. 4) | | |
| | | | | | | | | Code | V | Amou | nt (A) or (D) | Pri | ce | | | | (I) (Instr. 4) | (IIIsu. 4) |
| Common Stock | | | | 12/19/ | 2022 | | | A | | 538 | A | \$0 | <u>(1)</u> | • | 71552 | | D | |
| | Tab | le II - Der | ivative | Secui | rities I | Bene | ficially | Owned (e | .g., | puts, | calls, wa | rran | ts, c | options, conver | tible secu | ırities) | | |
| | | Trans. (Instr. 8) | Code | 5. Number Derivative Acquired Disposed (Instr. 3, 4 | e Securities (A) or of (D) | | | | Securities U Derivative S (Instr. 3 and | | Underlying e Security | Derivative Security | Securities Beneficially Owned Following Reported | Security: Direct (D) or Indirect | 11. Nature of Indirect Beneficial Ownership (Instr. 4) | | | |
| | | | | | Code | V | (A) | (D) | | cisable | | Title | Sha | | | Transaction(s) (Instr. 4) | (1) (Instr. 4) | |

Explanation of Responses:

(1) Grant of restricted stock units.

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | | | | |
|--------------------------------|---------------|-----------|---------|-------|--|--|--|
| Reporting Owner Name / Address | Director | 10% Owner | Officer | Other | | | |
| CARTER MILLER JOYCELYN | | | | | | | |
| 711 HIGH STREET | X | | | | | | |
| DES MOINES, IA 50392 | | | | | | | |

Signatures

| Clint Woods | 12/21/2022 |
|---------------------------------|------------|
| **Signature of Reporting Person | Date |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.