

☐ Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. *See* Instruction 1(b).

□ Check this box to indicate that a transaction was made pursuant to a contract, instruction or written plan that is intended to satisfy the affirmative defense conditions of Rule 10b5-1(c). See Instruction 10. OMB APPROVAL OMB Number: 3235-0287 Estimated average burden hours per response... 0.5

Reported

Instr. 4)

Transaction(s

Amount or Number of

or Indirect

(I) (Instr.

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person -	2. Issuer Name and Ticker or Trading Symbol	5. Relationship of Reporting Person(s) to Issuer	
		(Check all applicable)	
Bhatia Kamal	PRINCIPAL FINANCIAL GROUP INC		
	[PFG]	Director 10% Owner	
(Last) (First) (Middle)	3. Date of Earliest Transaction (MM/DD/YYYY)	X_ Officer (give title below) Other (specify below)	
		President and CEO - PAM	
711 HIGH STREET	3/28/2024		
(Street)	4. If Amendment, Date Original Filed (MM/DD/YYYY)	6. Individual or Joint/Group Filing (Check Applicable Line)	
DES MOINES, IA 50392		X_Form filed by One Reporting Person Form filed by More than One Reporting Person	
(City) (State) (Zip)		Form filed by More than One Reporting Person	

Table 1 - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned										
1. Title of Security (Instr. 3)	2. Trans. Date	2A. Deemed Execution Date, if any	3. Trans. Co (Instr. 8)	1 ()			5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D)	Beneficial	
			Code	v	Amount	(A) or (D)	Price	Ť	or Indirect (I) (Instr. 4)	(Instr. 4)

3/28/2024 308 \$0 <u>(1)</u> 47,719 D Common Stock Α A Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities) 1. Title of Derivate 3. Trans 3A. Deemed 4. Trans. Code 5. Number of 6. Date Exercisable 7. Title and Amount of 8. Price of 9. Number of 10. 11. Nature Conversion Derivative Securities Securities Underlying of Indirect Security Date Execution Instr. 8) and Expiration Date Derivative derivative Ownership (Instr. 3) or Exercise Date, if any Acquired (A) or Derivative Security Security Securities Form of Beneficial Price of Disposed of (D) (Instr. 3 and 4) (Instr. 5) Beneficially Derivativ Ownership (Instr. 4) Derivative (Instr. 3, 4 and 5) Owned Security: Security Following Direct (D)

(D)

Date

Exercisable

Expiration

Date

Title

Shares

Explanation of Responses:

(1) Grant of restricted stock units.

Reporting Owners

Reporting Owner Name / Address	Relationships			
Reporting Owner Name / Address	Director	10% Owner	Officer	Other
Bhatia Kamal				
711 HIGH STREET			President and CEO - PAM	
DES MOINES, IA 50392				

Signatures

Chris Agbe-Davies as Attorney-in-Fact	4/1/2024
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Signature of Reporting Person

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Date

v

(A)

Code

* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.