

[] Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. *See* Instruction 1(b).

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL
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## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person *					2. Is	2. Issuer Name <b>and</b> Ticker or Trading Symbol							5. Relationship of Reporting Person(s) to Issuer (Check all applicable)					
Auerbach Jonathan						PRINCIPAL FINANCIAL GROUP INC [ PFG ]								, , ,	,	109	% Owner	
(Last) (First) (Middle)				3. D	3. Date of Earliest Transaction (MM/DD/YYYY)							Officer (giv	e title below	Oth	ner (specify b	elow)		
711 HIGH STREET						12/19/2022												
(Street)				4. If	4. If Amendment, Date Original Filed (MM/DD/YYYY)							6. Individual or Joint/Group Filing (Check Applicable Line)						
DES MOINES, IA 50392 (City) (State) (Zip)												X _ Form filed by One Reporting Person Form filed by More than One Reporting Person						
			Table I	- No	n-Deri	vati	ve Secu	rities Acq	uire	ed, Di	sposed of	f, or l	Ben	neficially Owne	d			
1. Title of Security (Instr. 3)				2A. Deemed Execution Date, if any		3. Trans. Coo (Instr. 8)	4. Securities Acqu or Disposed of (D (Instr. 3, 4 and 5)		) Fo		Amount of Securities Beneficially Owned ollowing Reported Transaction(s) nstr. 3 and 4)			Ownership of Form: B Direct (D) O	7. Nature of Indirect Beneficial Ownership (Instr. 4)			
								Code	V	Amou	(A) or (D)	Pri	ice				(I) (Instr. 4)	(IIISU. 4)
Common Stock				12/19	0/2022			A		94	A	\$0	<u>(1)</u>	1	10867		D	
	Tab	le II - Der	rivative	Secu	rities E	Bene	ficially	Owned (e	.g.,	puts,	calls, wa	rran	ts, o	options, conver	tible secu	ırities)		
			4. Trans. ( (Instr. 8)	Code			6. Date Exercisable and Expiration Date  Date Expiration			Securities U Derivative S (Instr. 3 and		Underlying e Security nd 4)	derlying curity Security (Instr. 5)		Ownership Form of Derivative Security: Direct (D) or Indirect	11. Nature of Indirect Beneficial Ownership (Instr. 4)		
					Code	V	(A)	(D)		cisable	Expiration Date		Sha	nount or Number of ares		Transaction(s) (Instr. 4)	(I) (Instr. 4)	

## **Explanation of Responses:**

(1) Grant of restricted stock units.

**Reporting Owners** 

Treporting o where										
Panarting Owner Name / Address	10	Relationships								
Reporting Owner Name / Address	Director	10% Owner	Officer	Other						
Auerbach Jonathan										
711 HIGH STREET	X									
DES MOINES, IA 50392										

## Signatures

Clint Woods 12/21/2022

\*\*Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.