

[] Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. *See* Instruction 1(b).

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL
OMB Number: 3235-0287
Estimated average burden
hours per response... 0.5

## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person *					2. I	2. Issuer Name and Ticker or Trading Symbol						mbol	5. Relationship of Reporting Person(s) to Issuer (Check all applicable)				
Huber Linda					M	MOODYS CORP /DE/ [ MCO ]							( and the second				
(Last) (First) (Middle)					3. I	3. Date of Earliest Transaction (MM/DD/YYYY)							Director 10% Owner				
(First) (First) (Middle)					,							X Officer (give title below) (  Executive VP and CFO			Other (speci	fy below)	
7 WORLD TRADE CENTER, 250						9/6/2016							Executive v P	and Cr	,		
GREENWI																	
	(Stre	eet)			4. I	f Ar	nendr	nent, Date	Ori	ginal Fi	led (MM	M/DD/YYYY)	6. Individual of	or Joint/G	roup Filing	(Check Appl	icable Line)
NEW YORK, NY 10007													X Form filed by One Reporting Person				
(City) (State) (Zip)													Form filed by More than One Reporting Person				
					•												
			Table	e I - N	on-Der	ivat	ive Se	ecurities A	Acqu	iired, D	ispose	d of, or Be	neficially Own	ed			
1. Title of Security (Instr. 3)				A. Deemed Execution		3. Trans. Code (Instr. 8)					5. Amount of Securities Beneficially Owned Following Reported Transaction(s)			6. Ownership	7. Nature of Indirect		
						Date, if any		(msa. o)			4 and 5)		(Instr. 3 and 4)			Form:	Beneficial
																or Indirect	Ownership (Instr. 4)
								Code	V	Amount	(A) or (D)	Price				(I) (Instr. 4)	
Common Stock				9/6/20	16			M (1)		7078	A	\$26.78		63637		D	
Common Stock				9/6/20	16			s (1)		7078	D	\$110.021 (2)		56559		D	
Common Stock				9/6/20	16			M (1)		35100	A	\$30.01	91659			D	
Common Stock				9/6/20	16	S (1)				35100	D	\$110.172 (3)		56559		D	
Common Stock				9/6/20	16	M		M (1)		30284	A	\$38.61		86843		D	
Common Stock				9/6/20	16			s (1)		30284	D	\$110.221 <sup>(4)</sup>		56559		D	
Common Stock			9/6/20	16			M (1)		85000	A	\$38.07	141559		D			
Common Stock				9/6/20	16	S (1)			85000	D	\$110.075 <sup>(5)</sup>			D			
Common Stock			9/6/2016		M (1)			25133	A	\$25.37	81692		D				
Common Stock				9/6/2016				S (1)		25133	D	\$110.17		56559		D	
	Tab	le II - Der	ivativ	e Secı	ırities E	Bene	eficial	ly Owned	( e.	g. , put:	s, calls,	warrants,	options, conve	rtible sec	urities)		
1. Title of Derivate	2.	3. Trans.	3A. De		4. Trans.	Code						nd 7. Title and			9. Number of	10.	11. Nature
Security (Instr. 3)	Conversion or Exercise Price of Derivative Security	Date	Execut Date, i		(Instr. 8)	Acqui Dispo		vative Securities lired (A) or osed of (D) r. 3, 4 and 5)		xpiration I	Jate	Securities U Derivative S	Security Security	Security	derivative Securities Beneficially Owned	Form of	of Indirect Beneficial
												(Instr. 3 an	d 4)	(Instr. 5)		Derivative Security:	Ownership (Instr. 4)
				•					D	ate	Expiration	\n_	Amount or		Following Reported	Direct (D) or Indirect	
					Code	V	(4)	(D)		ercisable		Title	Number of Shares		Transaction(s) (Instr. 4)		
Employee Stock Option (right to	\$26.78	9/6/2016			M (1)		(A)	7078	2.	/9/2011 (6)	2/9/2020	Common Stock		\$0.00	0	<b>D</b>	
buy)						-			-	127		Stock					
Employee Stock Option (right to buy)	\$30.01	9/6/2016			M (1)			35100	2,	/8/2012 (6)	2/8/2021	Common Stock	35100	\$0.00	0	D	
Employee Stock Option (right to buy)	\$38.61	9/6/2016			M (1)			30284	2/	13/2013	2/13/202	Common Stock	30284	\$0.00	0	D	
Employee Stock Option (right to buy)	\$38.07	9/6/2016			M (1)			85000	2/	12/2009 (6)	2/12/201	18 Common Stock	85000	\$0.00	0	D	
Employee Stock Option (right to buy)	\$25.37	9/6/2016			M (1)			25133	2/	10/2010	2/10/201	19 Common Stock	25133	\$0.00	0	D	

### **Explanation of Responses:**

- Exercise and sale of shares pursuant to Rule 10b5-1 Plan.
- ( The price reported in Column 4 is a weighted average sales price. The shares were sold in multiple transactions at prices ranging from \$110.00 to \$110.21.
- 2) The Reporting Person will provide upon request, to the SEC, the Issuer or security holder of the Issuer, full information regarding the number of shares sold at

each separate price.

- ( The price reported in Column 4 is a weighted average sales price. The shares were sold in multiple transactions at prices ranging from \$110.07 to \$110.23.
- 3) The Reporting Person will provide upon request, to the SEC, the Issuer or security holder of the Issuer, full information regarding the number of shares sold at each separate price.
- ( The price reported in Column 4 is a weighted average sales price. The shares were sold in multiple transactions at prices ranging from \$110.22 to \$110.26.
- 4) The Reporting Person will provide upon request, to the SEC, the Issuer or security holder of the Issuer, full information regarding the number of shares sold at each separate price.
- ( The price reported in Column 4 is a weighted average sales price. The shares were sold in multiple transactions at prices ranging from \$110.00 to \$110.16.
- 5) The Reporting Person will provide upon request, to the SEC, the Issuer or security holder of the Issuer, full information regarding the number of shares sold at each separate price.
- One fourth of options vest each year beginning with the date indicated.

### **Reporting Owners**

reporting owners								
Paparting Owner Name / Address	Relationships							
Reporting Owner Name / Address	Director	10% Owner	Officer	Other				
Huber Linda								
7 WORLD TRADE CENTER			Executive VP and CFO					
250 GREENWICH STREET			Executive VF and CFO					
NEW YORK, NY 10007								

#### **Signatures**

John J. Goggins, by power of attorney for Linda Huber	9/7/2016		
** Signature of Reporting Person	Date		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

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