

**UNITED STATES  
SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549**

**FORM 8-K**

**CURRENT REPORT**

**Pursuant to Section 13 or 15(d)  
of the Securities Exchange Act of 1934**

**Date of Report (Date of earliest event reported): December 1, 2020**

**CONNECTONE BANCORP, INC.**

(Exact name of registrant as specified in its charter)

**New Jersey**

(State or Other Jurisdiction of Incorporation)

**001-11486**

(Commission File Number)

**52-1273725**

(I.R.S. Employer Identification No.)

**301 Sylvan Avenue**

**Englewood Cliffs, New Jersey 07632**

(Address of Principal Executive Offices) (Zip Code)

**(201) 816-8900**

(Registrant's telephone number, including area code)

**N/A**

(Former name or former address, if changed since last report)

Check the appropriate box below if the Form 8-K filing is intended to simultaneously satisfy the filing obligation of the registrant under any of the following provisions:

- Written communications pursuant to Rule 425 under the Securities Act (17 CFR 230.425)
- Soliciting material pursuant to Rule 14a-12 under the Exchange Act (17 CFR 240.14a-12)
- Pre-commencement communications pursuant to Rule 14d-2(b) under the Exchange Act (17 CFR 240.14d-2(b))
- Pre-commencement communications pursuant to Rule 13e-4(c) under the Exchange Act (17 CFR 240.13e-4(c))

Securities registered pursuant to Section 12(b) of the Act:

Title of each class	Trading Symbol(s)	Name of each exchange on which registered
Common stock	CNOB	NASDAQ

Indicate by check mark whether the registrant is an emerging growth company as defined in Rule 405 of the Securities Act of 1933 (§230.405 of this chapter) or Rule 12b-2 of the Securities Exchange Act of 1934 (§240.12b-2 of this chapter).

Emerging growth company

If an emerging growth company, indicate by check mark if the registrant has elected not to use the extended transition period for complying with any new or revised financial accounting standards provided pursuant to Section 13(a) of the Exchange Act.

**Item 5.02. Departure of Directors or Certain Officers; Election of Directors; Appointment of Certain Officers; Compensatory Arrangements of Certain Officers.**

(c)

On December 1, 2020, the Registrant appointed Elizabeth Magennis as President of ConnectOne Bank, the Registrant's wholly owned bank subsidiary. Ms. Magennis previously served as the Executive Vice President and Chief Lending Officer of the Bank. The table below sets forth certain information about Ms. Magennis:

<i><u>Name and Position</u></i>	<i><u>Age</u></i>	<i><u>Principal Occupation for the Past Five Years</u></i>	<i><u>Officer Since</u></i>	<i><u>Term Expires</u></i>
Elizabeth Magennis, President ConnectOne Bank	51	Executive Vice President and Chief Lending Officer, ConnectOne Bank.	2006	N/A(1)

(1) Officers serve at the pleasure of the Board of Directors

There are no arrangements or understandings between Ms. Magennis and any other persons pursuant to which Ms. Magennis was selected as President of ConnectOne Bank. Ms. Magennis has no family relationship with any other director or executive officer of the Registrant, nor with any person nominated or chosen to serve as a director or executive officer of the Registrant. Ms. Magennis is not a director of any company with a class of securities registered pursuant to section 12, of the Securities Exchange Act of 1934, as amended (the "Act"), subject to the requirements of section 15(d) of the Act, or of any company under the Investment Company Act of 1940.

There are no "related party transactions" between Ms. Magennis and the Company or the Bank that require disclosure.

There are no material plans, contracts or other arrangements (or amendments thereto) to which Ms. Magennis is a party, or in which she participates, that was entered into or amended, in connection with Ms. Magennis being appointed as President of ConnectOne Bank. The Registrant is a party to a previously disclosed employment agreement with Ms. Magennis.

**Item 9.01. Financial Statements and Exhibits.**

[Exhibit 99.1. Press release dated December 1, 2020](#)

104 Cover Page Interactive Data File (embedded within the Inline XBRL document)

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**SIGNATURE**

Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

**ConnectOne Bancorp, Inc.**

Date: December 1, 2020

By: /s/ William S. Burns  
William S. Burns  
Executive Vice President and Chief Financial Officer

## ConnectOne Bancorp, Inc. Strengthens Executive Leadership Through Key Appointments

ENGLEWOOD CLIFFS, N.J., Dec. 01, 2020 (GLOBE NEWSWIRE) -- ConnectOne Bancorp, Inc. (Nasdaq: CNOB) (the "Company" or "ConnectOne"), parent company of ConnectOne Bank (the "Bank"), today announced three key appointments at the Bank level. Elizabeth Magennis has been elevated to President, Michael O'Malley has been hired as Executive Vice President and Chief Risk Officer, and Siya Vansia has been named Senior Vice President and Chief Brand and Innovation Officer.

Frank Sorrentino, ConnectOne's Chairman and Chief Executive Officer stated, "I am proud of the caliber of our entire senior team, who each play a critical role in our ability to remain a people-first modern financial services company. These new appointments reinforce our mission to build "a better place to be" for our clients and will support our investments in the future of banking, including partnerships with leading Fintech companies, our BoeFly subsidiary, and the creation of a secondary digital banking brand."

Magennis, who was previously Executive Vice President and Chief Lending Officer, assumes her new role immediately. She is a 14-year veteran at the Bank, and has been a key player in its record organic growth, robust loan portfolio and superior client experience. In her new role, she will oversee the growth and strategic direction of the Bank reporting directly to Frank Sorrentino. Magennis has also been appointed to the Bank's Board of Directors.

O'Malley joins ConnectOne from OnDeck Capital, Inc., where he served as Director of Enterprise Risk and Strategic Initiatives and Head of Operational Risk. He has extensive risk management experience at global financial institutions, including leaders in Fintech lending, global banking organizations, commercial banks, investment banks, broker-dealers and wealth managers including MUFG Securities Americas, Ernst & Young, Bank Leumi and Trillium Trading. O'Malley will be responsible for providing effective and proactive risk leadership and ensuring the organization is fully prepared to comply with the regulatory standards applicable to larger banking organizations.

Vansia's appointment to Chief Brand and Innovation Officer underscores the Company's ongoing commitment to and investment in technology. Vansia has been with ConnectOne for more than a decade and will guide the Company through its digital transformation, identifying future advancements in products and services.

Reflecting the depth and diversity of its leadership, the Bank also announced today the promotions of nine employees to Senior Vice President. Mr. Sorrentino concluded, "ConnectOne's success is built on our entrepreneurial, people-first culture. These appointments strengthen our commitment, allowing us to further our competitive position in the market as we continue to evolve."

### About ConnectOne Bancorp, Inc.

ConnectOne Bancorp, Inc., through its subsidiary, ConnectOne Bank offers a full suite of both commercial and consumer banking and lending products and services through its banking offices located across New York and New Jersey. ConnectOne Bancorp, Inc. is traded on the Nasdaq Global Market under the trading symbol "CNOB," and information about ConnectOne may be found at <https://www.connectonebank.com>.

### Forward-Looking Statements

This news release contains certain forward-looking statements which are based on certain assumptions and describe future plans, strategies and expectations of the Company. These forward-looking statements are generally identified by use of the words "believe," "expect," "intend," "anticipate," "estimate," "project," or similar expressions. The Company's ability to predict results or the actual effect of future plans or strategies is inherently uncertain. Factors which could have a material adverse effect on the operations of the Company and its subsidiaries include, but are not limited to, those factors set forth in Item 1A – Risk Factors of the Company's Annual Report on Form 10-K, as filed with the Securities Exchange Commission, as supplemented by the Company's subsequent filings with the Securities and Exchange Commission, and changes in interest rates, general economic conditions, legislative/regulatory changes, monetary and fiscal policies of the U.S. Government, including policies of the U.S. Treasury and the Federal Reserve Board, the quality or composition of the loan or investment portfolios, demand for loan products, deposit flows, competition, demand for financial services in the Company's market area, changes in accounting principles and guidelines and the impact of the COVID-19 pandemic on the Company, its employees and operations, and its customers. These risks and uncertainties should be considered in evaluating forward-looking statements and undue reliance should not be placed on such statements. The Company does not undertake, and specifically disclaims any obligation, to publicly release the result of any revisions which may be made to any forward-looking statements to reflect events or circumstances after the date of such statements or to reflect the occurrence of anticipated or unanticipated events.

### Investor Contact:

William S. Burns  
Executive VP & CFO  
201.816.4474; [bburns@cnob.com](mailto:bburns@cnob.com)

Media Contact:  
Emily Holtzman, MWWPR  
631.742.9568; [eholtzman@mww.com](mailto:eholtzman@mww.com)