

# FORM 4

[ ] Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL  
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### STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or  
Section 30(h) of the Investment Company Act of 1940

|  |  |   |  |  |  |
|--|--|---|--|--|--|
| 1. Name and Address of Reporting Person *          |  | 2. Issuer Name and Ticker or Trading Symbol       |  | 5. Relationship of Reporting Person(s) to Issuer<br>(Check all applicable)   |  |
| <b>Natalizia Michael J</b>                         |  | <b>AstroNova, Inc. [ ALOT ]</b>                   |  | <input type="checkbox"/> Director <input type="checkbox"/> 10% Owner<br><input checked="" type="checkbox"/> Officer (give title below) <input type="checkbox"/> Other (specify below)<br><b>Vice President and CTO</b> |  |
| (Last) (First) (Middle)                            |  | 3. Date of Earliest Transaction (MM/DD/YYYY)      |  |  |  |
| <b>C/O ASTRONOVA, INC., 600 E GREENWICH AVENUE</b> |  | <b>3/20/2021</b>                                  |  |  |  |
| (Street)   |  | 4. If Amendment, Date Original Filed (MM/DD/YYYY) |  | 6. Individual or Joint/Group Filing (Check Applicable Line)  |  |
| <b>W WARWICK, RI 02893</b>                         |  |   |  | <input checked="" type="checkbox"/> Form filed by One Reporting Person<br><input type="checkbox"/> Form filed by More than One Reporting Person  |  |
| (City) (State) (Zip)                               |  |   |  |  |  |

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

| 1. Title of Security (Instr. 3) | 2. Trans. Date | 2A. Deemed Execution Date, if any | 3. Trans. Code (Instr. 8) |   | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) |            |         | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |
|---------------------------------|----------------|-----------------------------------|---------------------------|---|---|------------|---------|---|--|---|
|                                 |                |                                   | Code                      | V | Amount  | (A) or (D) | Price   |   |  |   |
| Common Stock                    | 3/20/2021      |                                   | M                         |   | 530   | A          | \$0.00  | 29061 (1)   | D  |   |
| Common Stock                    | 3/20/2021      |                                   | F                         |   | 212   | D          | \$12.31 | 28849   | D  |   |
| Common Stock                    | 3/20/2021      |                                   | M                         |   | 21  | A          | \$0.00  | 28870   | D  |   |
| Common Stock                    | 3/20/2021      |                                   | F                         |   | 9   | D          | \$12.31 | 28861   | D  |   |
| Common Stock                    | 3/20/2021      |                                   | M                         |   | 669   | A          | \$0.00  | 29530   | D  |   |
| Common Stock                    | 3/20/2021      |                                   | F                         |   | 267   | D          | \$12.31 | 29263   | D  |   |

**Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)**

| 1. Title of Derivate Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Trans. Date | 3A. Deemed Execution Date, if any | 4. Trans. Code (Instr. 8) |   | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) |     | 6. Date Exercisable and Expiration Date |                 | 7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4) |                            | 8. Price of Derivative Security (Instr. 5) | 9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4) | 10. Ownership Form of Derivative Security: Direct (D) or Indirect (I) (Instr. 4) | 11. Nature of Indirect Beneficial Ownership (Instr. 4) |
|--|--|----------------|-----------------------------------|---------------------------|---|--|-----|---|-----------------|---|----------------------------|--|--|--|--|
|  |  |                |                                   | Code                      | V | (A)  | (D) | Date Exercisable                        | Expiration Date | Title   | Amount or Number of Shares |  |  |  |  |
| Restricted Stock Unit                    | (2)  | 3/20/2021      |                                   | M                         |   | 530  |     | (3)                                     | (3)             | Common Stock  | 530                        | \$0.00                                     | 0  | D  |  |
| Restricted Stock Unit                    | (2)  | 3/20/2021      |                                   | M                         |   | 21   |     | (4)                                     | (4)             | Common Stock  | 21                         | \$0.00                                     | 21   | D  |  |
| Restricted Stock Unit                    | (2)  | 3/20/2021      |                                   | M                         |   | 669  |     | (4)                                     | (4)             | Common Stock  | 669                        | \$0.00                                     | 672  | D  |  |

#### Explanation of Responses:

- Includes 1,602 shares acquired under the Amended and Restated AstroNova, Inc. Employee Stock Purchase Plan since June 4, 2020.
- Each restricted stock unit represents a contingent right to receive one share of ALOT common stock.
- All restricted stock units under this award have now vested as of March 20, 2021.
- The remaining restricted stock units vest on March 20, 2022.

#### Reporting Owners

| Reporting Owner Name / Address  | Relationships |           |                               |       |
|---|---------------|-----------|-------------------------------|-------|
|   | Director      | 10% Owner | Officer                       | Other |
| <b>Natalizia Michael J<br/>C/O ASTRONOVA, INC.<br/>600 E GREENWICH AVENUE<br/>W WARWICK, RI 02893</b> |               |           | <b>Vice President and CTO</b> |       |

#### Signatures

/s/ Ania Gomez, by power of attorney

3/26/2021

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\*\*Signature of Reporting Person

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Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

\* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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