

[] Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. *See* Instruction 1(b).

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

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## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

| Name and Address of Reporting Person *         |   |                |                                      |  | 2. Issuer Name <b>and</b> Ticker or Trading Symbol |   |                            |                    |                                 |                    |   |                                  | 5. Relationship of Reporting Person(s) to Issuer (Check all applicable)             |   |  |  |
|--|---|----------------|--------------------------------------|--|--|---|----------------------------|--------------------|---------------------------------|--------------------|---|----------------------------------|---|---|--|--|
| CROSBY R                                       | RALPH I   | ) JR           |                                      |  |  | RICA<br>AEP   |                            | CTF                | RIC                             | POWE               | R CO  | ` .                              | ,   | 10%   | ó Owner  |  |
| (Last) (First) (Middle)                        |   |                |                                      | 3.   | 3. Date of Earliest Transaction (MM/DD/YYYY)       |   |                            |                    |                                 |                    |   | Officer (giv                     | e title below   | (r)Oth  | er (specify b                                  | pelow)   |
| 1 RIVERSI                                      | DE PLA  | ZA             |                                      |  |  |   | 3/3                        | 31/20              | )21                             |                    |   |                                  |   |   |  |  |
|  | (St   | reet)          |                                      | 4.   | If Aı  | nendme  | nt, Date                   | Origin             | nal Fil                         | led (MM/E          | D/YYYY  | 6. Individual o                  | or Joint/G  | roup Filing   | Check Appl                                     | icable Line)   |
| COLUMBUS, OH 43215 (City) (State) (Zip)        |   |                |                                      |  | 4/1/2021   |   |                            |                    |                                 |                    |   |                                  | X _ Form filed by One Reporting Person Form filed by More than One Reporting Person |   |  |  |
|  |   | ŗ              | Γable Ι -                            | - Non-De   | rivat  | ive Seci  | urities A                  | equir              | ed, D                           | isposed (          | of, or B  | eneficially Owne                 | ed  |   |  |  |
| 1.Title of Security (Instr. 3)                 |   |                |                                      | ate 2A. Deemed Execution Date, if any 3. Trans. Co. (Instr. 8) |  |   | ode                        | or Disposed of (D) |                                 |                    | Amount of Securities Beneficially Owned ollowing Reported Transaction(s) nstr. 3 and 4) |                                  |   |   | Beneficial<br>Ownership                        |  |
|  |   |                |                                      |  |  |   | Code                       | v                  | Amou                            | (A) or (D)         | r<br>Price  |                                  |   |   | or Indirect<br>(I) (Instr.<br>4)               | (Instr. 4)   |
|  | Ta  | ıble II - Deri | ivative S                            | Securities   | Ben  | eficially   | Owned                      | (e.g.,             | puts,                           | , calls, wa        | arrants   | , options, conver                | tible secu  | ırities)  |  |  |
| 1. Title of<br>Derivate Security<br>(Instr. 3) | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative | se             | 3A. Deem<br>Execution<br>Date, if an | Code   |  | 5. Numbo<br>Derivativ<br>Acquired<br>Disposed<br>(Instr. 3, | e Securities (A) or of (D) |                    | ate Exercisable and ration Date |                    | Securitie   | s Underlying<br>e Security       | rlying Derivative   |   | Form of<br>Derivative<br>Security:             | 11. Nature<br>of Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |
|  | Security  |                |                                      | Code   | v  | (A)   | (D)                        | Date<br>Exerc      | cisable                         | Expiration<br>Date | Title   | Amount or<br>Number of<br>Shares |   | Following<br>Reported<br>Transaction(s)<br>(Instr. 4) | Direct (D)<br>or Indirect<br>(I) (Instr.<br>4) |  |
| Phantom Stock<br>Units (1)                     | \$0   | 3/31/2021 (2)  |                                      | A  |  | 481.11  | 1                          | 1                  | (3)                             | (3)                | Commo<br>Stock  |                                  | \$84.7 (4)  | 22637.33 (5)  | D  |  |

## **Explanation of Responses:**

- (1) Amounts shown represent value in AEP Stock Plan under the AEP Stock Unit Accumulation Plan for Non-Employee Directors. Each share of phantom stock represents the right to receive the cash value of one share of AEP common stock.
- (2) Lines 5,7,8 and 9 were incorrectly reported on the initial 4/1/2021 filing.
- (3) Shares of phantom stock are payable in cash following termination of the reporting person's service on the Board. The reporting person may transfer the phantom stock in the AEP Stock Plan account into an alternative investment account at any time.
- (4) Was AEP Stock Price at the time of this transaction.
- (5) Includes units of reinvested dividends acquired pursuant to a dividend reinvestment feature of the Plan.

#### Reporting Owners

| Reporting Owners               |               |           |  |       |  |  |  |  |  |  |
|--------------------------------|---------------|-----------|--|-------|--|--|--|--|--|--|
| Reporting Owner Name / Address | Relationships |           |  |       |  |  |  |  |  |  |
| Reporting Owner Name / Address | Director      | 10% Owner |  | Other |  |  |  |  |  |  |
| CROSBY RALPH D JR              |               |           |  |       |  |  |  |  |  |  |
| 1 RIVERSIDE PLAZA              | X             |           |  |       |  |  |  |  |  |  |
| COLUMBUS, OH 43215             |               |           |  |       |  |  |  |  |  |  |

### **Signatures**

/s/ David C. House, Attorney-in-Fact for Ralph D. Crosby, Jr.

4/1/2021

\*\*Signature of Reporting Person

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.