

# FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549

OMB APPROVAL  
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[ ] Check this box if no longer  
subject to Section 16. Form 4 or  
Form 5 obligations may  
continue. See Instruction 1(b).

## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or  
Section 30(h) of the Investment Company Act of 1940

|   |  |   |  |   |  |
|---|--|---|--|---|--|
| 1. Name and Address of Reporting Person * |  | 2. Issuer Name and Ticker or Trading Symbol       |  | 5. Relationship of Reporting Person(s) to Issuer<br>(Check all applicable)  |  |
| HNA Eagle Holdco LLC                      |  | BrightSphere Investment Group plc [BSIG]          |  | <input type="checkbox"/> Director <input checked="" type="checkbox"/> 10% Owner<br><input type="checkbox"/> Officer (give title below) <input type="checkbox"/> Other (specify below) |  |
| (Last) (First) (Middle)                   |  | 3. Date of Earliest Transaction (MM/DD/YYYY)      |  |   |  |
| 850 THIRD AVENUE, 16TH FLOOR,             |  | 11/19/2018  |  |   |  |
| (Street)                                  |  | 4. If Amendment, Date Original Filed (MM/DD/YYYY) |  | 6. Individual or Joint/Group Filing (Check Applicable Line)   |  |
| NEW YORK, NY 10022                        |  |   |  | <input type="checkbox"/> Form filed by One Reporting Person<br><input checked="" type="checkbox"/> Form filed by More than One Reporting Person                                       |  |
| (City) (State) (Zip)                      |  |   |  |   |  |

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

| 1. Title of Security<br>(Instr. 3)           | 2. Trans. Date | 2A. Deemed Execution Date, if any | 3. Trans. Code (Instr. 8) |   | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) |            |         | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |
|--|----------------|-----------------------------------|---------------------------|---|---|------------|---------|---|--|---|
|  |                |                                   | Code                      | V | Amount  | (A) or (D) | Price   |   |  |   |
| Ordinary Shares, par value \$0.001 per share | 11/19/2018     |                                   | S                         |   | 4598566<br><a href="#">(1)</a>                                    | D          | \$12.80 | 22776663  | D <a href="#">(2)</a>                                    |   |

**Table II - Derivative Securities Beneficially Owned ( e.g. , puts, calls, warrants, options, convertible securities)**

| 1. Title of Derivate Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Trans. Date | 3A. Deemed Execution Date, if any | 4. Trans. Code (Instr. 8) |   | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 6. Date Exercisable and Expiration Date |     | 7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4) |                 | 8. Price of Derivative Security (Instr. 5) | 9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4) | 10. Ownership Form of Derivative Security: Direct (D) or Indirect (I) (Instr. 4) | 11. Nature of Indirect Beneficial Ownership (Instr. 4) |
|--|--|----------------|-----------------------------------|---------------------------|---|--|---|-----|---|-----------------|--|--|--|--|
|  |  |                |                                   | Code                      | V |  | (A)                                     | (D) | Date Exercisable  | Expiration Date |  |  |  |  |

### Explanation of Responses:

- The ordinary shares of BrightSphere Investment Group plc (the "Issuer"), par value \$0.001 per share (the "Ordinary Shares"), were sold to Paulson & Co., Inc. ("Paulson") pursuant to a share purchase agreement, dated as of November 16, 2018.
- The Ordinary Shares reported herein are directly owned by HNA Eagle Holdco LLC ("HNA Eagle") and indirectly owned by HNA Group Co., Ltd. ("HNA Group"), HNA Capital Group Co., Ltd. ("HNA Parent"), HNA Capital (Hong Kong) Holding Co., Ltd. ("HNA HK"), Aleron Investments, Ltd. ("Aleron") and HNA Capital (U.S.) Holding LLC ("HNA Capital", together with HNA Eagle, HNA Group, HNA HK, and Aleron, the "Reporting Persons"). HNA Eagle is a direct wholly-owned subsidiary of HNA Capital. HNA Capital is a direct wholly-owned subsidiary of Aleron. Aleron is a wholly-owned subsidiary of HNA HK. HNA HK is a wholly-owned subsidiary of HNA Parent. HNA Parent is 88.5% owned by HNA Group. The Reporting Persons disclaim beneficial ownership over the Ordinary Shares reported herein except to the extent of their respective pecuniary interest therein.

### Reporting Owners

| Reporting Owner Name / Address   | Relationships |           |         |       |
|--|---------------|-----------|---------|-------|
|  | Director      | 10% Owner | Officer | Other |
| HNA Eagle Holdco LLC<br>850 THIRD AVENUE, 16TH FLOOR<br>NEW YORK, NY 10022   |               | X         |         |       |
| HNA Capital (U.S.) Holding LLC<br>850 THIRD AVENUE, 16TH FLOOR<br>NEW YORK, NY 10022   |               | X         |         |       |
| HNA Capital Group Co., Ltd.<br>HNA BUILDING, NO. 7 GUOXING ROAD<br>HAIKOU, F4 570203   |               | X         |         |       |
| HNA Capital (Hong Kong) Holding Co., Ltd.<br>23/F., ARION COMMERCIAL CENTRE<br>2-12 QUEEN'S ROAD WEST<br>HONG KONG, K3 00000 |               | X         |         |       |
| Aleron Investments, Ltd.   |               |           |         |       |

|  |  |   |  |  |
|--|--|---|--|--|
| 23/F HNA PLAZA, JIA NO. 26<br>XIAOYUN ROAD, CHAOYANG DISTRICT<br>BEIJING, F4 100125    |  | X |  |  |
| HNA Group Co., Ltd.<br>HNA BUILDING<br>NO. 7 GUOXING ROAD<br>HAIKOU, HAINAN, F4 570203 |  | X |  |  |

**Signatures**

**HNA GROUP CO., LTD. By: /s/ Xiaofeng Chen, Board Director and Vice Chief Executive Officer, HNA Group Co., Ltd.** **11/21/2018**

--Signature of Reporting Person

Date

**HNA CAPITAL GROUP CO., LTD. By: /s/ Chuan Jin, President, HNA Capital Group Co., Ltd.; Executive Chairman of the Board of Directors, HNA Capital Group Co., Ltd.** **11/21/2018**

--Signature of Reporting Person

Date

**HNA CAPITAL (HONG KONG) HOLDING CO., LTD. By: /s/ Zhisheng Tong, Board Director, HNA Capital (Hong Kong) Holding Co., Ltd.** **11/21/2018**

--Signature of Reporting Person

Date

**ALERON INVESTMENTS, LTD. By: /s/ Kai Ren, Board Director, Aleron Investments Ltd.; Chief Financial Officer, HNA Capital Group Co., Ltd.** **11/21/2018**

--Signature of Reporting Person

Date

**HNA CAPITAL (U.S.) HOLDING LLC By: /s/ Enrico Marini Fichera, Chief Executive Officer, HNA Capital (U.S.) Holding LLC** **11/21/2018**

--Signature of Reporting Person

Date

**HNA EAGLE HOLDCO LLC By: /s/ Enrico Marini Fichera, Chief Executive Officer, HNA Eagle Holdco LLC** **11/21/2018**

--Signature of Reporting Person

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

\* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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