

[] Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. *See* Instruction 1(b).

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL OMB Number: 3235-0287 Estimated average burden hours per response... 0.5

## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

| 1. Name and Address of Reporting Person *  |           |            |                                     |                        | 2. Issuer Name and Ticker or Trading Symbol       |                                |                            |  |  |                    | ol                           |   | 5. Relationship of Reporting Person(s) to Issuer (Check all applicable)  |  |  |  |
|--|-----------|------------|-------------------------------------|------------------------|---|--------------------------------|----------------------------|--|--|--------------------|------------------------------|---|--|--|--|--|
| MITCHELL THOMAS J.   |           |            |                                     |                        |   | TRI Pointe Group, Inc. [ TPH ] |                            |  |  |                    |                              |   |  |  |  |  |
| (Last) (First) (Middle)  |           |            |                                     | 3                      | 3. Date of Earliest Transaction (MM/DD/YYYY)      |                                |                            |  |  |                    |                              | Director  |  |  |  |  |
|  |           |            |                                     |                        |   |                                |                            |  |  |                    |                              |   | XOfficer (give title below)Other (specify below)  President and COO  |  |  |  |
| C/O TRI POINTE GROUP, INC., 19540  |           |            |                                     |                        | 2/15/2018   |                                |                            |  |  |                    |                              | President and   | 1000   |  |  |  |
| JAMBOREE ROAD, SUITE 300   |           |            |                                     |                        |   |                                |                            |  |  |                    |                              |   |  |  |  |  |
| (Street)   |           |            |                                     | 4                      | 4. If Amendment, Date Original Filed (MM/DD/YYYY) |                                |                            |  |  |                    |                              | Y) 6. Individual of   | 6. Individual or Joint/Group Filing (Check Applicable Line)  |  |  |  |
| IRVINE, CA 92612   |           |            |                                     |                        |   |                                |                            |  |  |                    |                              |   | _ X _ Form filed by One Reporting Person Form filed by More than One Reporting Person                                  |  |  |  |
| (C   | ity) (Sta | te) (Zi    | p)                                  |                        |   |                                |                            |  |  |                    |                              |   |  | 1 0  |  |  |
| Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned |           |            |                                     |                        |   |                                |                            |  |  |                    |                              |   |  |  |  |  |
| 1. Title of Security (Instr. 3)  |           |            |                                     | . Trans. Date          | 2A. Deemed<br>Execution<br>Date, if any           |                                | 3. Trans. Co<br>(Instr. 8) | de   | de 4. Securities Acquir<br>or Disposed of (D)<br>(Instr. 3, 4 and 5) |                    |                              | 5. Amount of Securi<br>Following Reported<br>(Instr. 3 and 4) | ties Beneficially Owned<br>Transaction(s)  |  | Ownership of I<br>Form: Ber                      | 7. Nature<br>of Indirect<br>Beneficial                             |
|  |           |            |                                     |                        |   |                                | Code                       | V  | Amoun  | (A) or (D)         | Price                        |   |  |  |  | Ownership<br>(Instr. 4)  |
| Common Stock 2/15/2018   |           |            |                                     | 2/15/2018              |   |                                | A                          |  | 71040<br>(1)   | A                  | \$0                          | 21  | 217235 (2)   |  | D  |  |
| Common Stock 2/15/2018   |           |            |                                     | 2/15/2018              |   |                                | F                          |  | 26580<br>(3)   | D                  | \$17.35                      | 190655  |  | D  |  |  |
| Common Stock   |           |            |                                     |                        |   |                                |                            |  |  |                    |                              | 610000  |  | I  | See Note   |  |
|  | Tahl      | e II - Der | ivative !                           | Securitie              | s Rene  | ficially                       | Owned (                    | ρσ   | nuts   | calls w            | arran                        | ts, options, conve  | rtible sec   | ourities)  |  |  |
| 1. Title of Derivate 2. Security 2. Conversion Date Execut                       |           |            | 3A. Deen<br>Execution<br>Date, if a | ned 4. Tran<br>(Instr. | ans. Code 5. Number of                            |                                |                            | 6. Date Exercisable and Expiration Date Security Derivatives |  |                    | 7. Title<br>Securi<br>Deriva |   | 8. Price of Derivative Security (Instr. 5)  8. Number or derivative Securities Securities Beneficially Owned Following | 9. Number of<br>derivative<br>Securities<br>Beneficially<br>Owned<br>Following | Form of<br>Derivative<br>Security:<br>Direct (D) | 11. Nature<br>of Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |
|  |           |            |                                     | Code                   | e V   | (A)                            | (D)                        | Dat<br>Exe   | e<br>ercisable   | Expiration<br>Date | Title                        | Amount or Number of<br>Shares                                 |  | Reported<br>Transaction(s)<br>(Instr. 4)                                       | or Indirect<br>(I) (Instr.<br>4)                 |  |

## **Explanation of Responses:**

- (1) Represents shares of common stock issued pursuant to the vesting of performance-based restricted stock units granted to the reporting person on March 9, 2015 that were eligible to vest based on attainment of certain earnings per share performance goals (the "RSU Awards"). The Compensation Committee of the issuer's board of directors determined that, based on the issuer's performance over the performance period, 71,040 RSU Awards would vest and be settled into an equal number of shares of common stock, in accordance with the terms of the RSU Awards.
- (2) 128,117 performance-based restricted stock units granted to the reporting person on March 9, 2015, and previously reported in Table I of Form 4 on March 11, 2015, were forfeited on February 15, 2018 for no value.
- (3) Withholding of shares incident to vesting of the RSU Awards.
- (4) By The Mitchell Family Trust

**Reporting Owners** 

| Reporting Owner Name / Address                               | Relationships |           |                   |       |  |  |  |
|--|---------------|-----------|-------------------|-------|--|--|--|
| Reporting Owner Name / Address                               | Director      | 10% Owner | Officer           | Other |  |  |  |
| MITCHELL THOMAS J.   |               |           |                   |       |  |  |  |
| C/O TRI POINTE GROUP, INC.<br>19540 JAMBOREE ROAD, SUITE 300 |               |           | President and COO |       |  |  |  |
| IRVINE, CA 92612   |               |           |                   |       |  |  |  |

## **Signatures**

/s/ Glenn J. Keeler, attorney-in-fact

2/20/2018

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.