

[] Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. *See* Instruction 1(b).

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL OMB Number: 3235-0287 Estimated average burden hours per response... 0.5

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person *				2.]	2. Issuer Name and Ticker or Trading Symbol								5. Relationship of Reporting Person(s) to Issuer (Check all applicable)				
					AL(1E THI	ERA	PEU	UTICS	INC [Director	,,	10	% Owner		
(Last)	t) (First) (Middle)				3. Date of Earliest Transaction (MM/DD/YYYY)								X Officer (give title below) Other (specify below) SVP and Chief Medical Officer				
C/O HALOZ INC., 11388							1/5	5/20	17								
ROAD	SOILLE.	(10)11	LEELI														
	(Stre	eet)		4.]	lf Ar	nendme	ent, Date (Origin	al Fi	led (MM/	DD/YYYY)	6. Individual	or Joint/G	roup Filing	(Check Appl	licable Line)	
SAN DIEGO, CA 92121 (City) (State) (Zip)												X_Form filed by One Reporting Person Form filed by More than One Reporting Person					
	37 (4.1.			· Non-Dei	ivat	ive Sec	urities Ac	quire	ed, D	isposed	of, or Be	neficially Own	ed				
1. Title of Security (Instr. 3)			Trans. Date	Exec	Deemed cution , if any	3. Trans. C (Instr. 8)	or Dispo		sposed of (D)		Amount of Securities Beneficially Ovollowing Reported Transaction(s) (instr. 3 and 4)			6. Ownershij Form: Direct (D)	7. Nature of Indirect Beneficial Ownership		
							Code	v	Amo	ount (A)					or Indirect (I) (Instr. 4)		
Common Stock				1/5/2017			M		750	0 A	\$0		27481		D		
	Tab	le II - Der	ivative Se	ecurities l	Bene	eficially	Owned (e.g. ,	puts	s, calls, v	varrants	options, conve	ertible sec	urities)			
1. Title of Derivate Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Trans. Date	3A. Deeme Execution Date, if any	(Instr. 8)	Securities		ve es Acquired isposed of	6. Date Exercisable and Expiration Date			Underlying Security	derlying Derivative Security		Form of Derivative Security: Direct (D)	11. Nature of Indirect Beneficial Ownership (Instr. 4)		
				Code	v	(A)	(D)	Date Exerc	isable	Expiration Date	Title	Amount or Number of Shares		Reported Transaction(s) (Instr. 4)	or Indirect (I) (Instr. 4)		
Restricted Stock Units	\$0	1/5/2017		М			7500	(1)	<u>(1)</u>	Commo Stock	7500	\$0	15000	D		

Explanation of Responses:

(1) This transaction represents the vesting and settlement of restricted stock units in shares of common stock of the issuer.

Reporting Owners

Relationships							
Director	10% Owner	Officer	Other				
		SVP and Chief Medical Officer					
ŀ	Director		Relationships Director 10% Owner Officer SVP and Chief Medical Officer				

Signatures

/s/ James R. Oehler as attorney-in-fact for Athena Countouriotis

** Signature of Reporting Person

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.