

[] Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. *See* Instruction 1(b).

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

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## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person *				2.	2. Issuer Name and Ticker or Trading Symbol							ool	5. Relationship of Reporting Person(s) to Issuer (Check all applicable)				
NICHOLS ROY J					ADTRAN INC [ ADTN ]								X Director	,	10%	ó Owner	
(Last) (First) (Middle)				3.	3. Date of Earliest Transaction (MM/DD/YYYY)							Y)	Officer (give title below) Other (specify below)				
ADTRAN, 901 EXPLORER BLVD.					4/22/2019												
(Street)				4.	4. If Amendment, Date Original Filed (MM/DD/YYYY)							DD/YYYY)	6. Individual or Joint/Group Filing (Check Applicable Line)				
HUNTSVILLE, AL 35806 (City) (State) (Zip)												X_Form filed by One Reporting Person Form filed by More than One Reporting Person					
(6	(Sury)	- · · · · · · ·		Non-De	riva	tive Sec	urities	Ac	quir	ed, D	isposed (	of, or Be	neficially Owne	ed			
1. Title of Security (Instr. 3) 2. Trans. Da				Exec	Deemed ution if any	3. Trans. Cod (Instr. 8)		de 4. Securities Ac Disposed of (D) (Instr. 3, 4 and		ed of (D)	ired (A) or	Following Reported Transaction(s) (Instr. 3 and 4)		6. Ownership Form: Direct (D)	Beneficial		
							Code	:	v	Amoun	(A) or (D)	Price					Ownership (Instr. 4)
Common Stock 4/22/2019				2/2019			S			12485	D	\$17.4993	57371			D	
	Tab			,									options, conver				
1. Title of Derivate Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	Date Ex	3A. Deemed Execution Date, if any	4. Trans. Code (Instr. 8)		5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)			6. Date Exercisable and Expiration Date			Underlying Security		9. Number of derivative Securities Beneficially Owned	Ownership Form of Derivative Security:	11. Nature of Indirect Beneficial Ownership (Instr. 4)	
				Code	le V	(A)		(D)	Date Exer	cisable	Expiration Date	Title	Amount or Number of Shares		Following Reported Transaction(s) (Instr. 4)	Direct (D) or Indirect (I) (Instr. 4)	
Dividend Equivalent Rights	<u>(1)</u>	3/5/2020		A		102.11	12			<u>(1)</u>	<u>(1)</u>	Common Stock	102.112	\$0	102.112	D	

#### **Explanation of Responses:**

(1) Settlement of dividend equivalent rights in connection with the vesting of restricted stock units. The rights accrue when and as dividends are paid on the Company's common stock and are paid in whole shares of common stock with the remainder paid in cash. Each dividend equivalent right is the equivalent of one share of the Company's common stock.

### **Reporting Owners**

Reporting Owner Name / Address	'c	Relationships						
Reporting Owner Ivame / Address	Director	10% Owner	Officer	Other				
NICHOLS ROY J								
ADTRAN	X							
901 EXPLORER BLVD.	Λ							
HUNTSVILLE, AL 35806								

#### **Signatures**

Amy Moss, by power of attorney	3/9/2020			
**Signature of Reporting Person	Date			

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.