

[] Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. *See* Instruction 1(b).

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

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## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person *					2. 1	2. Issuer Name <b>and</b> Ticker or Trading Symbol								5. Relationship of Reporting Person(s) to Issuer (Check all applicable)				
Brossoit Jean-Francois						CMS ENERGY CORP [ CMS ]												
(Last) (First) (Middle)  ONE ENERGY PLAZA					3. 1	3. Date of Earliest Transaction (MM/DD/YYYY)  3/20/2020								Director 10% Owner  _X Officer (give title below) Other (specify below)  Senior Vice President				
(Street)						4. If Amendment, Date Original Filed (MM/DD/YYYY)							(Y) 6. In	6. Individual or Joint/Group Filing (Check Applicable Line)				
JACKSON, MI 49201 (City) (State) (Zip)													_ <b>X</b> F	X _ Form filed by One Reporting Person Form filed by More than One Reporting Person				
			Table l	I - No	n-Der	ivati	ve Seci	ırities Acc	quir	ed, Di	sposed o	f, or	Beneficia	ally Own	ed			
(Instr. 3)				I		Deemed ation if any	3. Trans. Co. (Instr. 8)	de	4. Securities Acqui or Disposed of (D) (Instr. 3, 4 and 5)			Followin	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)		Ownership of In Form: Bene Direct (D) Own	7. Nature of Indirect Beneficial Ownership (Instr. 4)		
								Code	V	Amour		Pric	e					
Common Stock         3/20/2020           Common Stock         3/20/2020								A F		1726 (1 2660	) A D	\$0 \$49.5		62647 59987			D (2)	
Common Stock	Tab	le II - Dei		Secu	rities			Owned (	_	puts,	calls, wa	rran	ts, optio	ıs, conve	rtible sec		D	
Security (Instr. 3)	2. Conversion or Exercise Price of Derivative		3A. Deer Execution Date, if a	ecution (Instr. 8)			5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)			6. Date Exercisable and Expiration Date			le and Amou ities Underlative Securi 3 and 4)	ying	8. Price of Derivative Security (Instr. 5)	Securities Beneficially Owned	Ownership Form of Derivative Security:	11. Nature of Indirect Beneficial Ownership (Instr. 4)
	Security					V	(A)	(D)	Date Exer	cisable	Expiration Date	Title	Amount or Shares	Number of		Following Reported Transaction(s) (Instr. 4)	Direct (D) or Indirect (I) (Instr. 4)	

## **Explanation of Responses:**

- (1) Shares of Common Stock of CMS Energy Corporation ("CMS") acquired as a result of CMS exceeding certain performance criteria established under the 2017 Restricted Stock Award granted to the reporting person in accordance with the provisions of the CMS Performance Incentive Stock Plan.
- (2) The total holdings reflect an adjustment of 227 additional shares of Restricted Stock purchased on behalf of the reporting person as a result of automatic acquisition of Restricted Stock in lieu of cash dividends pursuant to the terms of the award granted to the reporting person in accordance with the provisions of the CMS Performance Incentive Stock Plan.

#### **Reporting Owners**

Panarting Owner Name / Address	Relationships								
Reporting Owner Name / Address	Director	10% Owner	Officer	Other					
Brossoit Jean-Francois									
ONE ENERGY PLAZA			Senior Vice President						
JACKSON, MI 49201									

### **Signatures**

Rhonda M. Morris, Attny-in-Fact

\*\*Signature of Reporting Person

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.